Neutrinos

Dar Charles

TRISEP 2024 Summer School

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My Career Path

Studied Physics at the Technical University Munich (2001 – 2011)

- Undergraduate research project
- Diploma thesis (MSc equivalent)
 - Investigation of positronium formation on cold surfaces
- PhD project, stationed at TRIUMF, Vancouver
 - In-trap decay spectroscopy with the TITAN EBIT
- Post doctoral research fellow at Stanford (2011 2015)
 - EXO-200, nEXO, and Ba-tagging
- Assistant professor at McGill (2015 2020)
 - EXO-200, nEXO, Ba-tagging, and in-trap decay spectroscopy

Associate professor at McGill (2020 – now)

• nEXO, Ba-tagging, and in-trap decay spectroscopy



(Condensed matter physics)

Atomic physics

Nuclear physics (decay spectroscopy and mass measurements)

Particle/neutrino/nuclear physics

McGill University in Montreal





Outline

Lecture 1: <u>Historical overview –</u> <u>What we know</u>

- Birth and discovery of neutrinos
- Neutrino sources
- Wu and Goldhaber experiment
- Solar neutrino problem
- Neutrino oscillations

Lecture 2:

What we would like to know

- Neutrino mass measurements
 - KATRIN
 - PROJECT 8
- Sterile neutrinos

Lecture 3

<u>Neutrinos as messengers –</u> <u>What we can learn from</u> <u>studying neutrinos</u>

• Neutrinos as messenger particles in astrophysics

Goal: Give an overview on our understanding of neutrinos

Resources & Acknowledgements

• Thanks to all the people that knowingly and unknowingly provided material and slides for these lectures, in particular M. Dolinski, D. Moore, and K. Leach.

Useful resources:

... and of course Wikipedia ;-)

Graduate Texts in Physics dvanced Series on Directions in High Energy Physics — Vol. 28 **Bogdan Povh** Neutrino **Klaus Rith** THE STATE OF THE ART **Christoph Scholz OF NEUTRINO PHYSICS** Physics Frank Zetsche A Tutorial for Graduate Students and Werner Rodejohann Young Researchers THIRD EDITION Particles Antonio Ereditato and Nuclei An Introduction to the Physical Concepts Seventh Edition Kai Zuber Springer **CRC** Press World Scientific

Likely available at your institutional library

Neutrinos in the Standard Model

• Neutrinos in the SM:

- Fundamental Spin 1/2 particle
- Are Leptons
- Only interact via the weak force
- Electrically neutral
- Most abundant particles with mass in the universe, yet we do not even know their mass
- 60 billion solar neutrinos penetrate us per cm² every second
- The Standard Model has been extremely successful in describing particle physics experiments and even predicting the existence of particles.



Some of the Big Questions in Cosmology and Particle Physics



Figure: NASA

- 95% of the mass/energy density of the Universe is of as yet unknow composition
- What is Dark Energy?
- What is Dark Matter?
- Why is matter so abundant? (and dominant over anti-matter)?
- Why is gravity so weak?
- Why are neutrinos so light?

Neutrinos may hold the key to answering some of these questions.

How it all started

Discovery of different types of radiation

- α and β decay were discovered over a century ago.
- While studying β decays scientists made a surprising discovery: β decay does not seem to conserve energy!



Energy and angular momentum conservation



G. J. Neary, Roy. Phys. Soc. (London), A175, 71 (1940).

Pauli invents neutrinos 1930 to explain nuclear β decay

Dear Radioactive Ladies and Gentlemen,

... I have hit upon a desperate remedy to save the "exchange theorem" of statistics and the law of conservation of energy [in β decay].

0 pauli

I have done a terrible thing. I have postulated a particle that cannot be detected. #Desperate-measure





How do we detect neutrinos?

We know of 4 types of fundamental interactions in nature

Strength

- Gravity: every object is affected
 - (it is actually a property of space-time)
- <u>Weak force</u>: affects most particles including neutrinos
- <u>Electromagnetism</u>: affects all particles with charge
- <u>Strong force</u>: affect only quarks

Neutrinos having no electric charge interact only by the weak force (and gravity) → interact very little

Neutrino detection not impossible – only challenging

Neutrino-Matter Cross Section

Photon-Matter Cross Section



Sources of neutrinos: artificial and natural



v detectors require massive shielding against cosmogenic BGNDs



Radioprotection from neutrinos ?

• Mean free path of neutrinos from a reactor in lead is ~ 0.3 light years !



 A big nuclear reactor makes 6 x 10²⁰ neutrinos/s: at 20 meter distance (just outside the building) only one neutrino every 3 sec interacts with our body !



Bethe & Peierls 1934: "... this implies that one evidently never will be able to detect Neutrinos."

1956: Cowan and Reines detects neutrinos

Detection through inverse β decay



Detected 3 neutrinos per hour.

- Reactor off \rightarrow signal disappeared
- Predicted cross-section of $6 \times 10^{-44} \text{ cm}^2 \rightarrow$ measured cross-section was 6.3 x 10⁻⁴⁴ cm²

Detection of the Free Neutrino: a Confirmation Science**124**,103-104(1956) 18 DOI:10.1126/science.124.3212.103

1956: Cowan and Reines detects neutrinos

"[Prof. Pauli], we are happy to inform you that we have definitely **detected neutrinos** from fission fragments by observing inverse beta decay of protons." - F. Reines and C. Cowan (1956)

"Everything comes to him who knows how to wait." - W. Pauli (1956)



Nobel Price 1995 F. Reines



Neutrino = anti neutrino?

• **Question**: How to determine whether $v = \bar{v}$?

Neutrino = anti neutrino?

• How to determine whether $v = \bar{v}$?

- Ray Davis searches for $\bar{v}_e + {}^{37}Cl \rightarrow {}^{37}Ar + e^-$ at Brookhaven Reactor in 4000 l CCl_{4.}
- Non-observation led to result:

$$\bar{\sigma}(\bar{\nu}_e + {}^{37}\text{Cl} \rightarrow e^- + {}^{37}\text{Ar}) \le 2 \times 10^{-42} \text{ cm}^2 \text{ per atom}$$

Discover new flavor of neutrinos







Nobel Prize 1988

Leon M. Lederman

Melvin Schwartz Jack Steinberger

1962, first neutrino beam at Brookhaven National Lab.





Tau neutrino detected directly at Fermilab in 2000.

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Three types of \boldsymbol{v}

1953 (confirmed 1956) - Reines and Cowan discover the electron neutrino (Nobel Prize for Fred Reines in 1995).



1962 - Danby, et. al., discover the muon neutrino (Nobel Prize 1988).

2000 - DONUT collaboration discovers the tau neutrino.



Curves indicate predicted cross-section for two, three and four neutrino species with SM couplings and negligible mass

- Hadron production cross-section around the Z resonance suggests three v
- Cosmology measures the total number of neutrino species, consistent with 3! TRISEP 2024 - Neutrinos





- You might think the laws of physics should remain unchanged in our world or in a mirror world, but weak interactions violate that symmetry dramatically [Wu, et al. Phys. Rev. 105, 1413]. Maybe CP is the right conserved quantity?
- We know that CP is also violated by weak interactions in the quark sector, but it's too weak to explain the matter-antimatter asymmetry.



Goldhaber Experiment

- Goal to measure the <u>helicity of neutrinos</u>
- Helicity of a particle :

$$h = \vec{s} \cdot \frac{\vec{p}}{\|\vec{s}\| \|\vec{p}\|}$$



Maurice Goldhaber

- → If h = 1, the particle is <u>right</u>-handed → If h = -1, the particle is <u>left</u>-handed
- The reaction : ${}^{152m}Eu + e^- \rightarrow {}^{152}Sm^* + \nu_e \rightarrow {}^{152}Sm + \nu_e + \gamma$

Goldhaber Experiment: What is the helicity of neutrinos?

$$152m Eu + e^{-} \rightarrow 152Sm^{*} + v_{e}$$

$$152m Eu, J=0 + e^{-}$$

$$152Sm^{*}, J=1 + v$$

$$-\vec{p}_{v} = \vec{p}_{Sm^{*}}$$

$$\vec{p}_{Sm^{*}} = \vec{p}_{Sm^{*}}$$

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152 -

Goldhaber Experiment: What is the helicity of neutrinos?

- Spin of ¹⁵²Sm* is opposite to spin of neutrino!
- Photon spin parallel to that of ¹⁵²Sm* prior decay.
- Direction of spin of photon is opposite of neutrino!



Emitted in direction of Sm*

$$h(\gamma) = h(v_e)$$

Emitted in opposite direction of Sm*

$$h(\gamma) = -h(v_e)$$

Goldhaber Experiment: What is the helicity of neutrinos?

Need to measure:

- 1) Direction of emission of the photon
- 2) Polarization of the photon



Emitted in direction of Sm*

V

 $h(\gamma) = h(v_e)$

Emitted in opposite direction of Sm*

$$h(\gamma) = -h(v_e)$$

Goldhaber Experiment: 1) Photon direction

- Resonant scattering:
 - Photon energy must be slightly larger than 960 keV
 - This is the case for photons which have been emitted in the direction of the Eu → Sm recoil (doppler effect)

→ Resonant scattering only possible for "forward" emitted photons which carry polarization of the Sm* and thus polarization of neutrinos.



Goldhaber Experiment: 2) Photon polarization

¹⁵²Eu^m Source

Pb

Magnet

Fe + Pb

Shielding

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Photomultiplier

- Measurement of polarization of photons:
 - Transmission through magnetized iron is polarization dependent
 - Compton scattering in magnetized iron:



Photons with polarization anti-parallel to magnetization undergo less absorption

Results of the experiment

- Only resonantly scattered photons detected $\rightarrow h(\gamma) = h(v_{\rho})$
- B-field in flight direction of $\gamma \rightarrow$ measure LH γ
- B-field opposite flight direction of $\gamma \rightarrow$ measure RH γ

Determine polarization of photon:

 $h(\gamma) = h(v_e) = -1$

- Neutrinos are LH
- Anti-neutrinos are RH

Helicity of Neutrinos*

M. GOLDHABER, L. GRODZINS, AND A. W. SUNYAR Brookhaven National Laboratory, Upton, New York (Received December 11, 1957)

A COMBINED analysis of circular polarization and resonant scattering of γ rays following orbital electron capture measures the helicity of the neutrino. We have carried out such a measurement with Eu^{152m}, which decays by orbital electron capture. If we assume the most plausible spin-parity assignment for this isomer compatible with its decay scheme,¹0-, we find that the neutrino is "left-handed," i.e., $\sigma_{\nu} \cdot \hat{p}_{\nu} = -1$ (negative helicity).



Figure 14: Photograph of Lee Grodzins with the helicity-of-neutrino experimental set-up.



Solar neutrino problem

- As we already discussed, the most ubiquitous source of MeV neutrinos at Earth is the Sun
 - The "standard solar model" (SSM) was worked out by John Bahcall and others at Caltech in the 1960's, but is quite complex



Slide from D. Moore

Detecting Neutrinos from the Sun

 ${}^{37}\text{Cl} + v_e = {}^{37}\text{Ar} + e^{-1}$

Reaction threshold: 0.814 MeV $T_{\frac{1}{2}}(^{37}Ar) = 35.01 \text{ days} (100\% \text{ EC})$

600 tons of dry clean fluid (perchloroethylene C₂Cl₄) Produced only 15 atoms per month !



The Homestake detector was built by Ray Davis to test John Bahcall's Standard Solar Model.



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Solar Neutrino Puzzle

Solar Neutrino Unit (SNU): v flux producing 10⁻³⁶ captures/s/target atom



Photo: Courtesy of Raymond Davis, Jr. and John Bahcall



Average (1970–1994) $2.56 \pm 0.16_{stat} \pm 0.16_{sys}$ SNU (SNU = Solar Neutrino Unit = 1 Absorption / sec / 10³⁶ Atoms) Theoretical Prediction 6–9 SNU "Solar Neutrino Problem" since 1968

Far too few (~1/3) solar neutrinos were seen compared to predicted solar production !

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Solar neutrino problem

 First experimental measurement was from Ray Davis in the Homestake experiment

(Threshold 0.814 MeV)

- Counted roughly ~30 ³⁷Ar atoms produced in a tank with ~600 tons of perchloroethylene (first results in 1968)
 - Only ~1/3 of what Bahcall had predicted from solar model!
 - No one believed Davis did the experiment right
 - And if they did, they didn't believe Bahcall's solar model
- The deficit of solar neutrinos was confirmed by Kamiokande (late 1980s)

 $\nu + e^- \rightarrow \nu + e^-$ (sensitive to all flavors, but cross-section is ~6x higher for ν_e)

• Further confirmation of a deficit from "gallium" experiments Gallex and SAGE:

$$\nu_e + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^-$$
 (Threshold 0.233 MeV

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R. Davis



Where are the missing neutrinos?



Astrophysics Wrong? Experiment Wrong?



Neutrino Change Flavors?

Bruno Pontecorvo

Neutrino Flavor Oscillation



- Flavor change is a result of matter wave interference
- Neutrinos need to have different mass for this interference to take place

Neutrinos need to have non-zero mass!

The Super-Kamiokande Detector



SUPERKAMIOKANDE INSTITUTE FOR COSMIC RAY RESEARCH UNIVERSITY OF TOKYO



50,000 tonnes of ultra clean water (20 times larger mass than Kamiokande)

11,200 photomultiplier tubes

NIKKEN SEKKE

The Super-Kamiokande Detector



50,000 tonnes of ultra clean water (20 times larger mass than Kamiokande)

11,200 photomultiplier tubes

Atmospheric Neutrino

Graphics: SuperK





SuperK results



Takaaki Kajita Nobel Prize 2015



Takaaki Kajita, Nobel Prize Lecture, 2015

Number of events plotted:

531 events

5485 events

The Sun imaged with neutrinos!

SuperK cannot provide solution to the missing v_e from the sun, but...

Question: While this is a cool pictures, why should we care about taking a neutrino-picture of the sun?

Question: How long does it take a neutrino to leave the sun?



The Sun imaged with neutrinos!

SuperK cannot provide solution to the missing v_e from the sun, but...

Question: While this is a cool pictures, why should we care about taking a neutrino-picture of the sun?

Question: How long does it take a neutrino to leave the sun?

Question: and light?



The Sun imaged with neutrinos!

SuperK cannot provide solution to the missing v_e from the sun, but...

While sunlight takes about 30,000 – 100,000 years to work its way out from the center to the surface of the Sun, neutrinos take just two seconds

 \rightarrow Neutrinos can be used as probes for nuclear processes



Sudbury Neutrino Observatory (SNO)



Sudbury Neutrino Observatory

Sudbury Neutrino Observatory: combine CC, NC sensitivity

- Measure both v_e disappearance AND total v_X flux
- Confirm where missing electron neutrinos went

1000 tonnes of ultra-pure heavy water (D_2O) housed in a clear acrylic vessel 12 m in diameter, located a mile underground in a nickel mine in Sudbury, Ontario, Canada.

Solving the solar neutrino problem (2002)





Art MacDonald Nobel Prize (2015)

Sudbury Neutrino Observatory with 1,000 tonnes of D₂O



Missing neutrinos changed into other flavors!

Solar neutrino problem

- Only ~5 years after the proposal, SNO began construction in Sudbury, Ontario (with loan of ~\$300M D₂O from Canadian nuclear energy agency)
- Measured 3 types of interactions:

 $u_e + d \rightarrow p + p + e^- \quad (CC)$ $u_x + e^- \rightarrow \nu'_x + e'^- \quad (ES, CC&NC)$ $u_x + d \rightarrow \nu'_x + n + p \quad (NC)$

• Perfect agreement with SSM for NC, deficit for CC (2002)!





https://www.sciencedirect.com/science/article/pii/S0550321316300736

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Neutrino mixing, mass, and 0vßß

In Quantum Mechanics there are 2 representations for our neutrinos if $m_v \neq 0$:

 $(\begin{matrix} \mathbf{v}_{e} \\ \mathbf{v}_{\mu} \\ \mathbf{v}_{\tau} \end{matrix}) = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} \mathbf{v}_{m 1} \\ \mathbf{v}_{m 2} \\ \mathbf{v}_{m 3} \end{pmatrix}$

"Weak interaction eigenstate" this is the state of definite flavor: interactions couple to this state

"Mass eigenstate" this is the state of definite energy: propagation happens in this state

 $V_{m1}(t) = e^{-i[E_1t - p_1L)}V_{m1}$ $V_{m2}(t) = e^{-i(E_2t - p_2L)}V_{m2}$ $V_{m3}(t) = e^{-i(E_3t - p_3L)}V_{m3}$

E_i=m_ic² Evolve in time with m_i & E

- The elements of the MNSP matrix are determined in oscillation experiments.
- Oscillation experiments can only determine Δm_{ij}^2 , the squared mass difference between two eigenstates.

Many exciting results in neutrino oscillations (partial list)

Atmospheric neutrino oscillation experiments





Accelerator based neutrino oscillation experiments







3 flavor(type) neutrino oscillation experiments

















MNSP Matrix

(Maki, Nakagawa, Sakata, Pontecorvo)

| $\sin^2(\theta_{12})$ | 0.307 ± 0.013 |
|-----------------------|---|
| Δm_{21}^2 | $(7.53 \pm 0.18) \times 10^{-5} \text{ eV}^2$ |
| $\sin^2(\theta_{23})$ | $0.536^{+0.023}_{-0.028}$ |
| Δm_{32}^2 | $0.002444 \pm 0.000034 \text{ eV}^2$ |
| $\sin^2(\theta_{13})$ | 0.0218 ± 0.0007 |



"atmospheric"

 $\sin^2\theta_{23}$



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Precision measurments can be made with neutrino beams!

Spin ¹/₂ Fermion Mass spectrum



- Neutrinos are 6 orders of magnitude lighter than the next heavy particle.
- What determines the mass scale hierarchy of elementary particles?
- Is the Higgs mechanism responsible for neutrino mass?
- Perhaps neutrinos are very different from other fermions, such as a Majorana particle?

Electrically neutral neutrinos

Generation



Could it be that the mass and charge peculiarities are somehow related?

Say that for neutrinos $\overline{v} = v$, since they have no charge...

But... isn't there a lepton number to conserve?

No worries: lepton number conservation is not as "serious" as -say- energy conservation

Lepton number conservation is just an empirical notion.

Basically, lepton number is conserved "because", experimentally, $\overline{v} \neq v$. But the distinction could derive from the different helicity states.

Quantum Nature of the Neutrino



Which way Nature chose to proceed is an open experimental question, although Majorana neutrinos are favored by theory.

The two descriptions are distinct and distinguishable only if $m_v \neq 0$.

Matter-Antimatter Asymmetry

Nothing in our theory tells us why there seems to be so much more matter than antimatter in the Universe.

This is a pretty big **asymmetry**, so we should look for symmetry violations.

Neutrinos could be the key!

Open questions in neutrino physics

- Despite lots of progress, there are still major open questions in neutrino physics:
 - What are the origin of neutrino masses (and why are they so light)? What is their absolute mass scale?
 - What is the nature of neutrinos (Dirac or Majorana)?
 - What is the mass hierarchy (ordering)?
 - Is θ_{23} maximal?
 - Is there CP violation? What is δ_{CP} ?
 - Are there sterile neutrinos?





Lectures on 0vββ by Jeanne Wilson

Lectures on oscillation experiments by Blair Jamieson



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