Long Baseline Neutrino Oscillation Lecture 2



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Lecture 2 Outline



Mar. 19, 2024 excavation to 20 m depth of Hyper-Kamiokande cavern

- Hyper-Kamiokande experiment
 - Physics goals
 - systematic errors at the 1% level
- Beam and flux prediction
 - a bit about NA61
 - a bit about Emphatic
 - The WCTE experiment
- Near detector measurements
 - a little about the neutrino interactions
- Intermediate water Chernkov Detector
 - the PRISM method
- Measurement of electron neutrino cross section
- Other far detector measurement
 - atmospheric neutrinos
 - proton decay
- Other future long baseline measurements
 - DUNE
 - JUNO, etc

Acknowledgements

I want to thank all my collaborators, and physics colleagues from whom many of this slides have been acquired for use in the long baseline neutrino oscillation lectures.



International Hyper-K collaboration





2015 2016 2017 2018 2019 2020 2021 2022 2023 Hyper-K meeting @Kamioka Oct. 2023 22 countries, 104 institutes, 583 members as of April 1, 2024 Still linearly increasing 4

Physics Goals for Hyper-K

- Search for physics beyond the standard model:
 - CPV in lepton sector
 - Neutrino mass hierarchy
 - Precision oscillation parameter measurement
 - Search for nucleon decay
- Astrophysics Observatory:
 - Precision measurement of solar v
 - High statistics supernova burst v
 - Detection of supernova relic v







J-PARC to Hyper-K 295 km baseline





Japan Proton Accelerator Research Complex



260 kton Water Cherenkov Detector H = 60 m $\phi = 74 \text{ m}$ 20,000 50 cm PMTs (20% photocoverage) High QE box and line



Upgrade J-PARC neutrino beam to 1.3 MW beam power

New/upgraded near detectors 6

Systematic Uncertainties in HK Era



- Reaching 5σ C.L. for maximal CP will require improved systematic uncertainty estimates
- Will require improved understanding of:
 - Hadron-production distributions
 - ν cross-sections
 - Detection efficiencies

Neutrino beamline upgrades

- Replacement of Main Ring power supplies to allow for higher repetition rate from 2.48s to 1.36s
- Several upgrades done on the neutrino beamline to cope with higher beam power
- Horn being operated at 320 kA instead of 250 kA
 → ~10% increase in the ν

flux

June 2024 – Produced 800 kW Beam



The T2K neutrino beam flux



Neutrino flux hadron decay sources

 v_{μ} energy spectrum at Super-K



Neutrino Beam Flux Uncertainties



Predecessor to EMPHATIC experiment



Most of the hadron production data in the last decade was taken by NA61/SHINE at CERN SPS

NA61 and EMPHATIC experiments

Hadron interactions



NA61/SHINE Detector

- 8 Time Projection Chambers: 3D tracking, dE/dx measurement (5 + 3 new)
- 2 superconducting magnets: momentum determination
- Cerenkov detectors: beam particle identification
- 3 Time-Of-Flight walls: mass determination
- 3 beam position detectors
- Projectile Spectator Detector (PSD): forward calorimeter





T2K Replica Target Production Cross-Section

 Production cross-section measured via beam attenuation in 90-cm T2K Replica Target



- Full magnetic field setting used for improved measurement of elastic and quasi-elastic protons
- Result consistent with 31 GeV/c proton-carbon thintarget measurement
 - Improved overall precision
- Will help reduce T2K flux prediction uncertainty



A snippet of the NA61 T2K replica target data *Eur. Phys. J. C* 79 (2019) 100



Fig. 30: Double differential yields of negatively charged pions for the second upstream longitudinal bin ($18 \le z < 36$ cm). Vertical bars represent the total uncertanties. Predictions from the NUBEAM (solid blue line) and QGSP_BERT (dashed black line) physics lists from GEANT4.10.03 [27, 28] are overlaid on top of the data.

• About 24 pages of plots

- Broken down by section along target
- Each panel covering 20 mrad
- Broken down by particle production (pion, kaon)
- Compared to Geant4 NuBeam (solid) and QGSP_BERT (dashed)

Motivation for EMPHATIC Flux uncertainty at T2K(T2HK) and DUNE

- T2K flux uncertainty at low energies is limited by the interactions outside of the target
- Nearly 50% of wrong-sign neutrinos come from interactions outside of the target (π[±]+Al→π[±], K[±]+Al→K[±])



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CP violation in atmospheric neutrino oscillations

uncertainty is dominated by pion production at low energies (π^+/π^-)



G.D. Barr et al., PRD 74 (2006) 094009

88.00.88

EMPHATIC first experiment





Differential cross-section

$$\left(\frac{d\sigma}{dt}\right)_{i} = \frac{1}{nd} \frac{1}{\Delta t} \frac{N_{i}}{N_{pot}} \cdot C$$

- i bin number
- n number density
- d target thickness
- N_i number of tracks in a bin i
- N_{pot} number of protons on target
- Δt momentum transfer bin size
- C total correction factor

EMPHATIC first experiment

M. Pavin *et al.* (EMPHATIC Collaboration) Phys. Rev. D **106**, 112008 – Published 23 December 2022



(c)

(d)

EMPHATIC phase 1b



EMPHATIC Spectrometer – In Photos



Gas Ckov + Trigger



Beam aerogel Ckov





EMPHATIC Phase 1 Run

- Now taking Phase 1 data in several periods during 2022~2023
 - Low and high momentum hadron production data (with 100 mrad acceptance) + elastic/quasi-elastic scattering data
 - ±2, 4, 8, 12, 20, 31, 60, 120 GeV/c proton, pion(+kaon) beams
 - On C, CH₂, Al, Fe, Be, Ti, Ca, H₂0 targets
- Run 1a: January 2022
 - 100 mrad acceptance spectrometer (w/out ARICH)
 - Graphite, Aluminum, Iron, CH₂ targets with ±2, 4, 8, 12, 20, 30, 60, 120 GeV/c proton, pion, kaon beams
- Run 1b: June~July 2022
 - 100 mrad acceptance spectrometer (w/ ARICH)
 - Graphite, Aluminum, Iron, CH₂ targets with ±2, 4, 8, 12, 20, 31, 120 GeV/c proton, pion, kaon beams
- Run 1c: March~April 2023
 - Configuration still under preparation, but at least full spectrometer from Run 1b will be available

The Water Cherenkov Test experiment at CERN

- The Water Cherenkov Test Experiment (WCTE) will
 - develop and test hardware and calibration techniques
 - $\circ~$ study the interaction of $\pi,\,p,\,e,\,\mu$ and γ in ultra-pure and Gd-doped water

to help Hyper-Kamiokande reach its targeted precision.

 WCTE be installed in summer 2024 at CERN in the newly refurbished T9 beamline (East Area) and receive a beam of charged particles (π, p, e, μ) with momenta 200 MeV/c to 1.2 GeV/c.



Alie Craplet - Imperial College London



3.8m

WCTE beamline and July 2023 beam test

- WCTE uses two beamline set-ups:
 - one low momentum set-up,
 - charged particle identification
 - momentum measurement
 - one tagged photon set-up,
 produce γ of known energy





- Set-ups tested at CERN in July 2023. Over a 3-week long beam test, the collaboration achieved :
 - Development and test of DAQ and detectors
 - Demonstration of high purity PID and good photon production rates
 - First measurement of the T9 beam composition

Set-up for Sub-GeV particle identification

Trigger scintillators : • provide time of flight and beam momentum measurement

Hole counters : • provide beam halo veto

Aerogel Cherenkov Threshold (ACTs) detectors: • upstream ACTs used for e veto
 • downstream ACTs refractive index tailored to the beam momentum • e and μ above Cherenkov threshold
 • π and p below threshold

Lead-glass calorimeter : • provides momentum measurement and additional particle ID information.
 The water Cherenkov detector will replace the calorimeter in 2024



Set-up for tagged photon production

Electrons are deflected by the permanent magnet, depending on their energy.



Beam line developments for 2024 WCTE operation

- The PID and tagged photons set-ups are combined into a single set-up.
- A new TOF detector will improve PID and trigger.





 Number of ACTs will be increased from 4 to 6 and reflective film exchanged for non-scintillating Mylar to improve electron veto and PID.

Alie Craplet - Imperial College London

v_{e} and \overline{v}_{e} cross-section uncertainties



• The CP violation will be studied by essentially comparing observed v_e and \overline{v}_e event rates

 \bullet v and \overline{v} cross-section uncertainties will be dominant

Intermediate Water Cherenkov Detector

IWCD

Other near detectors @ 280m

- INGRID
- Upgraded ND280



- Sub-kiloton scale water Cherenkov detector (Φ 8m x 6m)
 - \Rightarrow 480 photosensor modules inside the tank
 - \Rightarrow 60 ton of fiducial volume
- ♦ Gadolinium loading option to add neutron detection capability

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The vertically movable detector



◆ Taking data at different vertical positions provides true energy information

[♦] Neutrino energy spectrum depends on off-axis angle



Active water shielding

 T2K results are suffering from large background events induced by external high energy γs

 \Rightarrow Reduction of this background is important

- IWCD has two regions that can serve as active shield for protecting the γ background
 - \Rightarrow water volume in the pit
 - \Rightarrow non-fiducial volume inside the detector





• About 1% of v_{ρ} and \overline{v}_{ρ} components in the beam can be identified

♦ Over 18,000 v CC events enable a cross-section measurement binned in true energy

• Improved error on the ratio between the v_{ρ} and \bar{v}_{ρ} event rates at the far detector \Rightarrow The true energy dependent constraints: 3.7% \Leftrightarrow Statistical error: **1.4**%

 \Rightarrow T2K's theory based constraints: 5.0%
v-N Cross Section Model



Uncertainties come from underlying model parameters and normalizations



IWCD measurements Of muon-neutrino Interactions also Important!

Photosensor module

- \blacklozenge The detector size is much smaller than the far detector
 - ⇒ Higher granularity and better timing resolution needed to utilize off-axis angle information

- ♦ 19 3-inch diameter photomultiplier tubes integrated in a water-tight module
 - \Rightarrow Acrylic dome, PVC cylinder, and stainless steal backplate used
 - \Rightarrow Each tube optically coupled to the acrylic dome by a gel, in order to enhance light collection
 - ⇒ Tube placement being able to gain directional information





Electronics and photosensor

 High voltage circuits and readout electronics mainboard are inside

- ♦ 20-channel 125 MSPS FADC mainboard developed
 - \Rightarrow Full waveform can be readout, allowing better pile-up event identification
 - \Rightarrow Digitization and pulse-finding are done
 - \Rightarrow LEDs mounted for detector calibration

- Characteristics of Hamamatsu R14374 3-inch PMT measured with the mainboard
 - \implies TTS: ~1.5ns, Dark rate: <1kHz, Afterpulse rate: <5%/P.E.



Detector calibrations

- The moving detector needs to be precisely calibrated at each vertical position
- An accurate calibration source deployment is essential to understand the position dependent detector response
 - \Rightarrow Auto 3D-depolyment system being developed
- ◆ For the small detector size, the positions of photosensor modules need to be understood precisely
 - \Rightarrow Taking photos of the modules by cameras inside the detector
 - \Rightarrow Using photogrammetry technique used for measuring the positions from the photos







The Hyper-Kamiokande Experiment

Hyper-Kamiokande (Hyper-K) is a world-leading neutrino experiment, building on success of Super-Kamiokande & T2K.

Broad & ambitious physics programmes covering many neutrino sources as well as proton decay measurements.

Water Cherenkov detector technology provides huge target mass with excellent particle ID and reconstruction capabilities.



Supernova

Atmospheri

Cosmic ray

Detector Location and J-PARC v beam

8 km south of Super-K

295 km from J-PARC and 2.5 deg. off-axis beam (same as Super-K)

600 m rock overburden





Hyper-K Detector

8 x increase in fiducial mass over Super-K

- 71 m tall x 68 m diameter = 258 kt total mass 188 kt fiducial mass
- Outer detector region for active veto of incoming particles
 - 1 m wide around barrel, 2 m at top & bottom

New photo-detector technology for increased sensitivity

- 20,000 B&L 50 cm PMTs = 20% photo-coverage
 - 1.5 ns timing resolution (half that of SK PMTs)
 - O Double quantum efficiency of SK PMTs
- Additional photo-coverage from multi-PMT modules
 - \circ 8 cm PMTs grouped in modules of 19 PMTs
 - Improved position, timing, direction resolution
 - Also used for in-situ calibration of 50cm PMTs







Detector Construction



Access tunnel completed well! Cavern excavation to 20 m depth!





PMT production on schedule

Inspection and testing is ongoing

Half of PMTs delivered





Excavating the world's largest human-made cavern



Photosensors and underwater electronics

Photosensors/elec. mockup

Outer detector: PMT+WLS plate



Multi-PMT module:







PMT cover



Underwater Case design and **electronics:** feedthrough







Long baseline physics -- At 295 km CPV dominates



Appearance event rates

10 years operation with 1.3 MW beam 3:1 v to v ratio



		signal		BG						
		$ u_{\mu} ightarrow u_{e}$	$\overline{\nu}_{\mu} \rightarrow \overline{\nu}_{e}$	ν_{μ} CC	$\overline{ u}_{\mu} \operatorname{CC}$	$\nu_e { m CC}$	$\overline{\nu}_e$ CC	NC	BG Total	Total
ν mode	Events	1643	15	7	0	248	11	134	400	2058
	Eff.(%)	63.6	47.3	0.1	0.0	24.5	12.6	1.4	1.6	
$\bar{\nu}$ mode	Events	206	1183	2	2	101	216	196	517	1906
	Eff. (%)	45.0	70.8	0.03	0.02	13.5	30.8	1.6	1.6	

Oscillation Measurements - Search for CP Violation



	$\sin^2 \theta_{23}$	Atmospheric neutrino	Atm + Beam
Mass	0.40	2.2 σ -	→ 3.8 σ
ordering	0.60	4.9 σ -	→ 6.2 σ
θ_{23}	0.45	2.2 σ -	→ 6.2 σ
octant	0.55	1.6 σ -	→ 3.6 σ

Atmospheric neutrino:

⇒ Constraints on mass ordering by the earth matter effects
 ⇒ Constraints on mass ordering enhance
 sensitivity to CP violation by long-baseline

10 years with 1.3MW, normal mass ordering is assumed



Atmospheric neutrino sample



Atmospheric 3-flavor v beam (0.1-10³ GeV, 10-13,000 km)

- The wide range of E (0.1~10³ GeV) and L (10 km $\sqrt{-13,000}$ km 10) provide an excellent opportunity to study various properties of v.
- · Study of the earth matter effect to determine neutrino mass ordering
- Unique tests of exotic properties

~80 events/day

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Oscillation studies with wide range of E and L. The matter effect solves MO.



In case of $\cos \Theta_v = -0.8$, the effect of MO can be observed.



Solar v spectrum & possible differences in v_e/v_e oscillation

Confirm MSW effect by observing spectrum distortion "up-turn" Compare v_e , $\overline{v_e}$ oscillation (currently ~1.5 σ tension in solar/reactor v)



~130 events/day

- > 3σ sensitivity for the spectrum up-turn in 10 yrs (E_{th}=4.5 MeV).
- ~2 σ day/night sensitivity expected for the difference in v_e/\overline{v}_e osc. in 20 yrs.



Diffuse Supernova Neutrino Background (DSNB)



Proton decay searches (note: FV ~8 x Super-K)



800

Invariant Proton Mass (MeV/c²)

1000

1200

the next-generation proton decay search

Hyper-K Summary

Hyper-Kamiokande construction has begun, with first data taking planned for 2027!

- Building on the success of Super-K & T2K with a next generation neutrino experiment
 - New far detector with 8 x fiducial mass of Super-K
 - Improved photosensors with 2 x detection efficiency & timing resolution reduced by half
 - Upgraded near detectors and new intermediate detector
 - O Beam upgrade from 750 kW to 1.3 MW
- Wide range of physics measurements
 - Search for CP violation with precision oscillation measurements
 - Neutrino astrophysics through solar and supernova neutrinos
 - \bigcirc Searches for proton decay and other new physics





DUNE Overview

Far Detector

Sanford

Research Facility

A next generation experiment for neutrino science, supernova physics, and physics beyond the **Standard Model**



DUNE Beam















Onique access to MO + CPV in one experiment!



Neutrino energy reconstruction



Neutrino energy reconstruction problem – nuclear interactions

"Neutrino interactions in the energy range of interest to current and near-future experiments (1 to 10 GeV), pose particular problems. In this energy range, bridging the perturbative and nonperturbative pictures of the nucleon, a variety of scattering mechanisms are important.

• • •

The models incorporated into neutrino simulations at these energies have been tuned primarily to this bubble chamber data. This data is not sufficient to completely constrain the models, particularly with regards to the simulation of nuclear effects. A logical place to turn for guidance are electron scattering experiments."

H. Gallagher, AIP Conf. Proc. 698, 153 (2004)



Figure 1: Inclusive cross section for scattering of electrons on carbon at 560 MeV and 60 Deg ($Q^2 = 0.24 \text{ GeV}^2$ at the QE peak), obtained with a free Δ spectral function. The leftmost dashed curve gives the contribution from true QE scattering, the dash-dotted curve that from 2p-2h processes, the dashed-dotted-dotted curve that from Δ excitation and the dotted curve that from pion background terms. From [2].



Nuclear response



Intranuclear cascade

- → implement realistic density profiles and cross sections
- \rightarrow add the kinematics of each interaction
- → respect Pauli blocking
- → make sure that scattered particles also propagate
- \rightarrow introduce **branching ratios** of different channels
- \rightarrow track also other hadrons
- \rightarrow add other nuclear effects...





Medium baseline

JUNO experiment



27.4.1.2109.0565

JUNO Experiment: Layout



- A multi-purpose liquid scintillator experiment in China:
 - Reactor $\overline{\nu}_e \sim 60/\text{day}$
 - Atmospheric v's: several/day This talk
 - Solar $\nu_e \sim 10-1000/{\rm day}$
 - Supernova ν 's ~ 10⁴ in 10 s for 10 kpc
 - DSNB 2-4 IBD/year
 - Geo-ν's 1-2/day

See also Giulio Settanta's talk: "JUNO Non-oscillation Physics"

• Optimized baseline for neutrino mass ordering determination with reactor $\bar{\nu}_e$



Figure: Setup of JUNO experiment, with the main 20-kton **JUNO detector** and satellite 2.8-ton **TAO detector**.


JUNO Experiment: Detector



- A multi-purpose liquid scintillator experiment.
- Energy resolution $< 3\%/\sqrt{E(MeV)}$:
 - ~78% PMT coverage, ~1350 PE/MeV:
 - 5000 Hamamatsu 20" dynode-PMTs
 - 12612 NNVT 20" MCP-PMTs
 - 25600 HZC 3" PMT
- Large target volume:
 - 20-kton LAB-based liquid scintillator
- Energy scale uncertainty <1%
 - JHEP03(2021)004: "Calibration strategy of the JUNO experiment"
- Background control
 - arXiv:2107.03669: "Radioactivity control strategy for the JUNO detector"



See also Zhimin Wang's talk: "JUNO Detector Design & Status"





Reactor \bar{v}_e : Source and Oscillation



- **Source**: reactor antineutrino from fission of four isotopes:
 - 235 U, 238 U, 239 Pu, and 241 Pu
 - Major: 6 YJ cores, $4 \rightarrow 2$ TS cores

J. Phys. G43:030401 (2016) → arXiv:2104.02565

Table: Thermal power and baseline to the JUNO detector for the Yangjiang (YJ), Taishan (TS), Daya Bay (DYB), and Huizhou (HZ) reactor cores.

Cores	YJ-1	YJ-2	YJ-3	YJ-4	YJ-5	YJ-6	TS-1	TS-2	DYB	HZ
Power (GW)	2.9	2.9	2.9	2.9	2.9	2.9	4.6	4.6	17.4	17.4
Baseline(km)	52.74	52.82	52.41	52.49	52.11	52.19	52.77	52.64	215	265



Oscillation: v

*v

e* survival probability in vacuum^[1]:



determining neutrino mass ordering (NMO).

JUNO Oscillation Physics - TAUP 2021

[1]. Oscillation in matter with effective oscillation parameters (j.physletb.2020.135354).

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• Precision measurement of oscillation parameters:



[1]. JHEP09(2020)178 [2]. PTEP 2020 (2020) 8, 083C01

parameters is coming soon.

JUNO Physics Summary

- Multipurpose experiment JUNO:
- Neutrino mass ordering determination:
 - > 3σ in 6 years with only reactor $\bar{\nu}_e$
 - > 1σ with JUNO atmospheric neutrinos
- Precision measurement of oscillation parameters
 - Sub-percent for $\Delta m_{31}^2 / \Delta m_{32}^2$, Δm_{21}^2 , and $\sin^2 \theta_{12}$ with reactor $\bar{\nu}_e$
 - θ_{23} octant with atmospheric neutrinos
 - Independent Δm_{21}^2 and $\sin^2 \theta_{12}$ measurement with solar ⁸B neutrino
- TAO detector
 - High precision reactor neutrino spectrum
 - Sterile neutrino exploration
- JUNO will start operation in 2024

Conclusion

- There are many exciting neutrino experiments planned and under construction around the world
- The next decade of measurements will see us
 - Determine if there is CP violation in neutrinos
 - Determine the mass ordering of neutrinos
 - Make progress on understanding neutrino interactions on nuclei
 - Get closer to measuring the absolute neutrino masses
 - Discover new puzzles related to neutrino properties

Me at J-PARC (Tokai)



Me at Super-Kamiokande



Thank you for your attention!

Me at T2K ND280 near detector

