

# Neutrinoless Double Beta Decay

## $0\nu\beta\beta$ – part 2

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TRISEP 2024, Sudbury



# Outline

## Lecture 1

- What is double beta decay?
  - SEMF splitting
  - Known isotopes
- 2 neutrino double beta decay
- Neutrinoless double beta decay
  - Theory
  - Dirac and Majorana neutrinos
  - See-saw mechanism

## Lecture 2

- Half-life / rate
  - Phase space
  - Matrix elements
- Experimental considerations
  - Challenges
  - Backgrounds
- Experiment examples

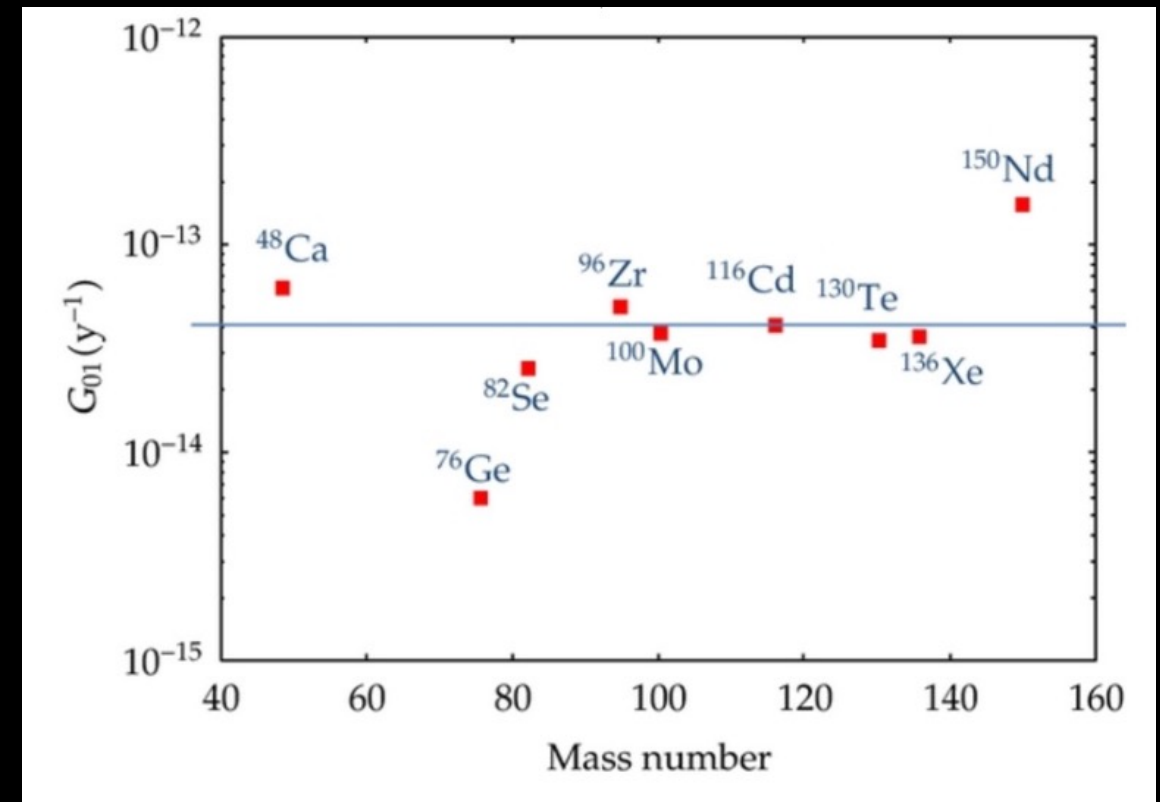
## Lecture 3

- Neutrino mass
- Lobster plots
- Limits vs Discovery
- Alternative mechanisms and probing new physics
- $\beta^+ \beta^+$  decays
- Future prospects

# $0\nu\beta\beta$ Rate

$$\left(t_{0\nu}^{1/2}\right)^{-1} = G^{0\nu} g_A^4 |M^{0\nu}|^2 \langle m_{\beta\beta} \rangle^2$$

- $G^{0\nu}$  phase space factor
  - Dependent on nucleus (Z and Q-value)
  - Directly calculable
- $g_A^4 =$  axial coupling constant
  - Free nucleon value  $g_A = 1.27$
  - Quenching due to multinucleon effects

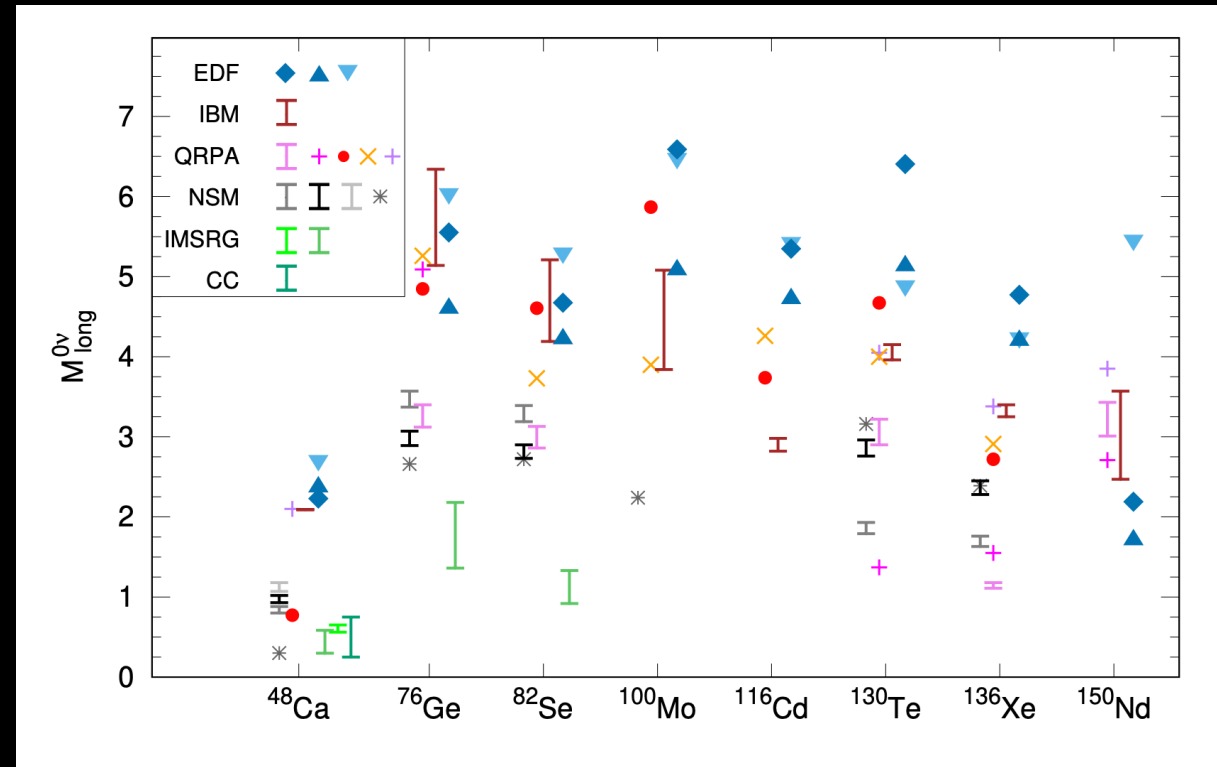


typical values  $G^{0\nu} \sim 10^{-14} y^{-1}$

# $0\nu\beta\beta$ Rate

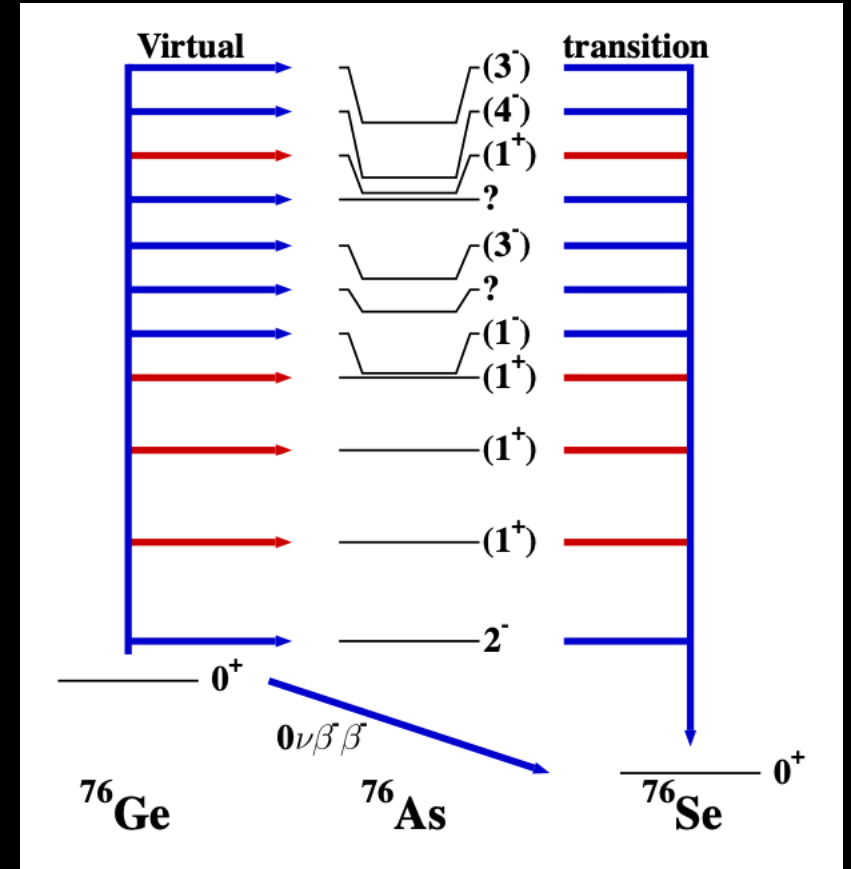
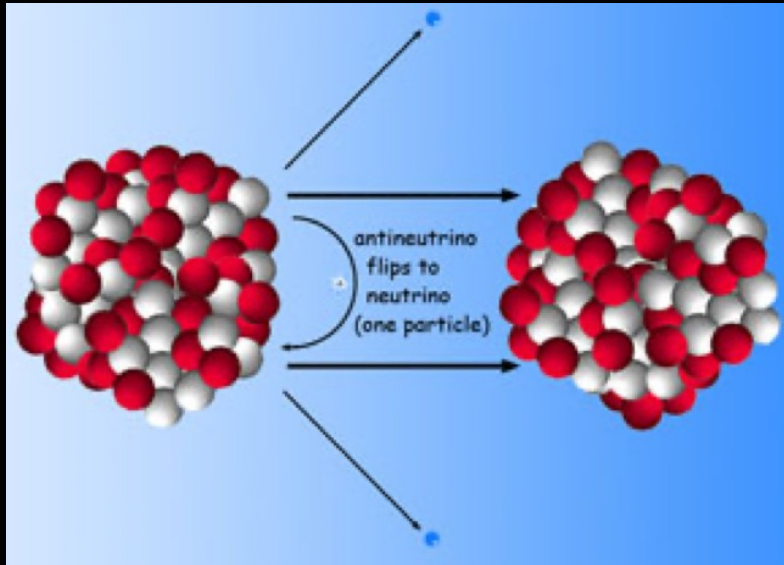
$$\left(t_{0\nu}^{1/2}\right)^{-1} = G^{0\nu} g_A^4 \left|M^{0\nu}\right|^2 \langle m_{\beta\beta}\rangle^2$$

- $|M^{0\nu}|^2 =$  nuclear matrix element
  - Depends on nuclear structure of parent, daughter and intermediate nuclei
  - Very computationally intensive to calculate – requires model approximations
  - Factor 4 variation between models  $\rightarrow$  2 order mag variation in predicted half-life for given  $\langle m_{\beta\beta}\rangle^2$



Agostini et al, Rev Mod Phys 95 025002 (2023)  
<https://arxiv.org/abs/2202.01787>

# Nuclear Matrix Element Calculations



- Initial state consists of  $\mathcal{O}(100)$  nuclei
- Final state consists of  $\mathcal{O}(100)$  nuclei + electrons
- Via large number of potential intermediate states
- Short range correlations? Quenching?
- Computationally infeasible without model assumptions
- Some aspects of models can be tested with  $2\nu\beta\beta$  measurements (but not all)

# $0\nu\beta\beta$ Rate

$$\left(t_{0\nu}^{1/2}\right)^{-1} = G^{0\nu} g_A^4 |M^{0\nu}|^2 \langle m_{\beta\beta} \rangle^2$$

$$\langle m_{\beta\beta} \rangle = \left| \sum_i U_{ei}^2 m_i \right|$$

Square of the effective Majorana mass  
See lecture 3

# $0\nu\beta\beta$ Rate

- Looking at half-lives  $T_{1/2} > 10^{25}$  years and longer
- Very rare decays:
  - Need a lot of candidate isotopes
  - Need to measure for a long time

$$N_{iso} = m \times a \times N_A$$

Mass of element (points to  $m$ )  
Isotopic abundance (points to  $a$ )  
Avogadro's Number  $\sim 6 \times 10^{23}$  (points to  $N_A$ )

- Measure number of ' $0\nu\beta\beta$ ' decays,  $N_{\beta\beta}$  in a given time  $t$

$$N_t = N_{iso} - N_{\beta\beta} = N_0 e^{-(t/\tau)}$$

$$\rightarrow T_{1/2}^{\beta\beta}$$

$N_t$  = number of isotopes after time  $t$

$N_0$  = initial number of isotopes

$N_d$  = number of decays

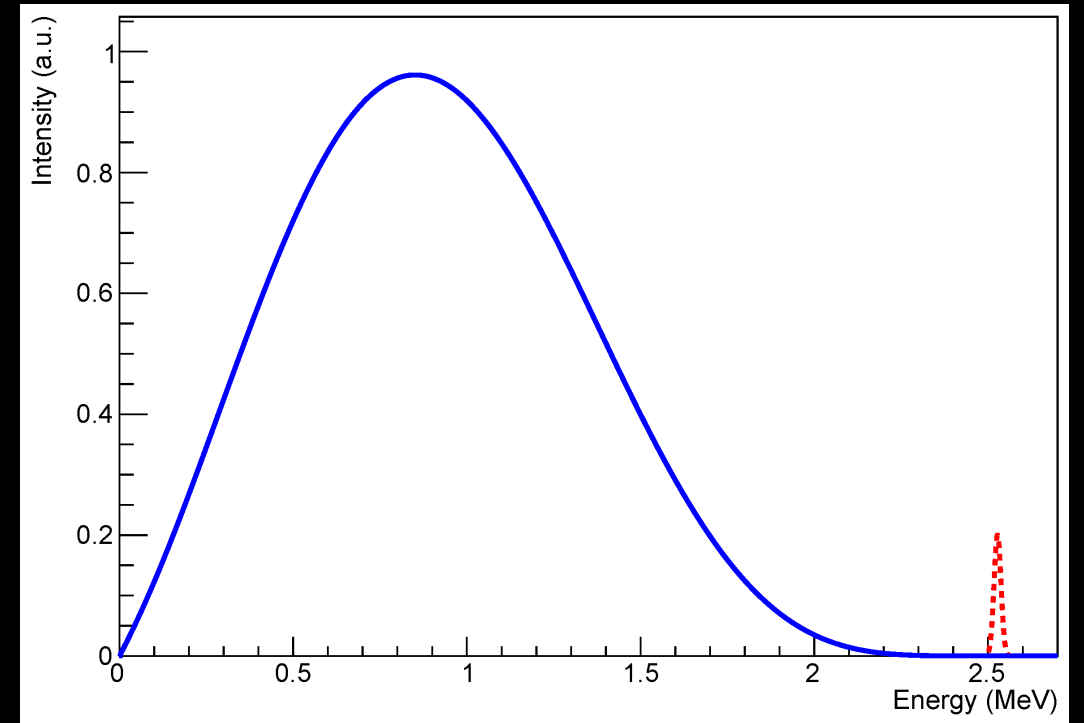
$t$  = measuring time

$\tau$  = time it takes to reduce to  $1/e$



# Experimental Considerations

- Signature of  $0\nu\beta\beta$  is a peak at the endpoint of the  $2e^-$  spectrum
  - Need to detect the electrons efficiently and measure their energy very accurately
  - Need to minimize the chance of any other events mimicking your signal



Sum energy of 2 betas

# Background Free



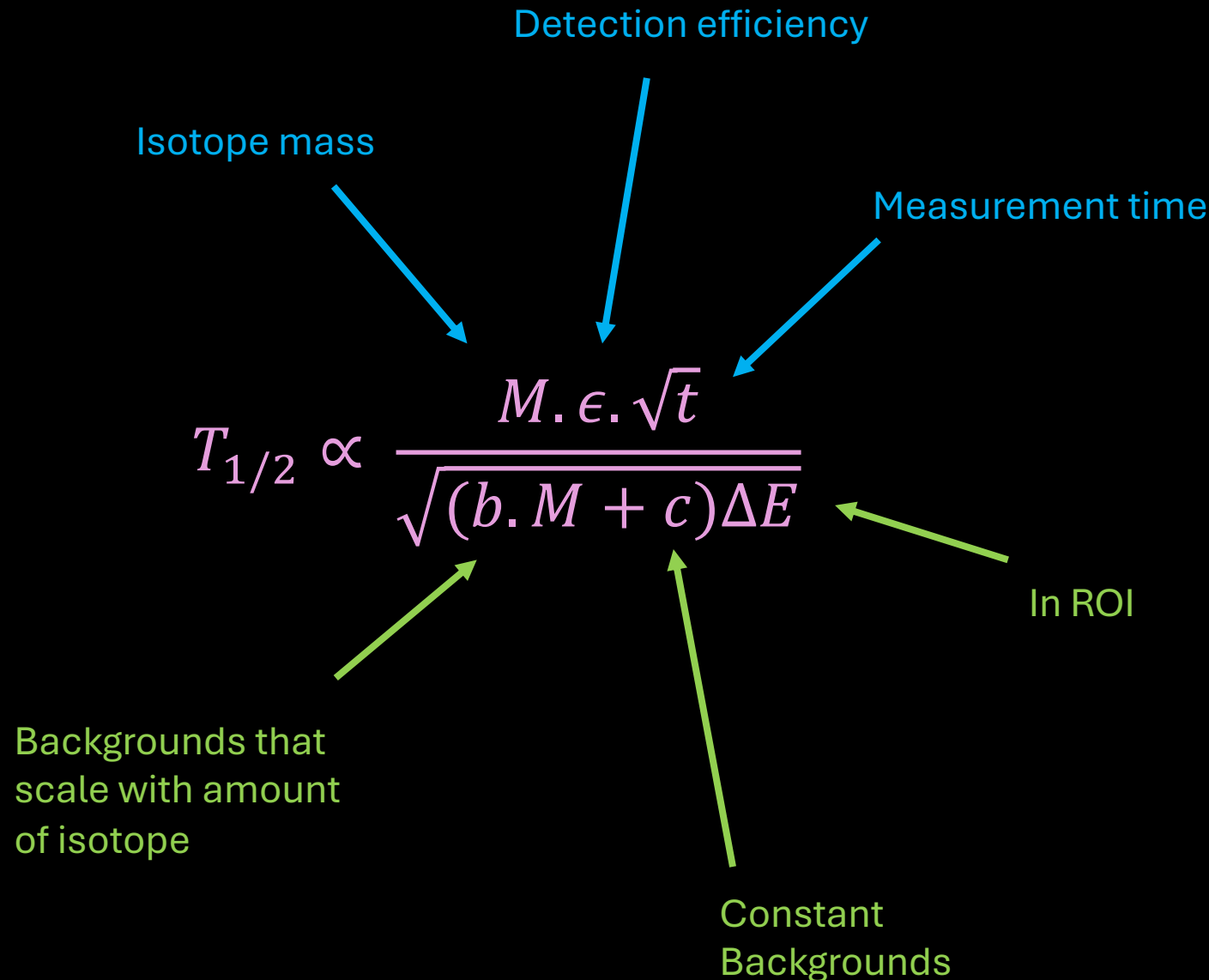
Isotope mass

Detection efficiency

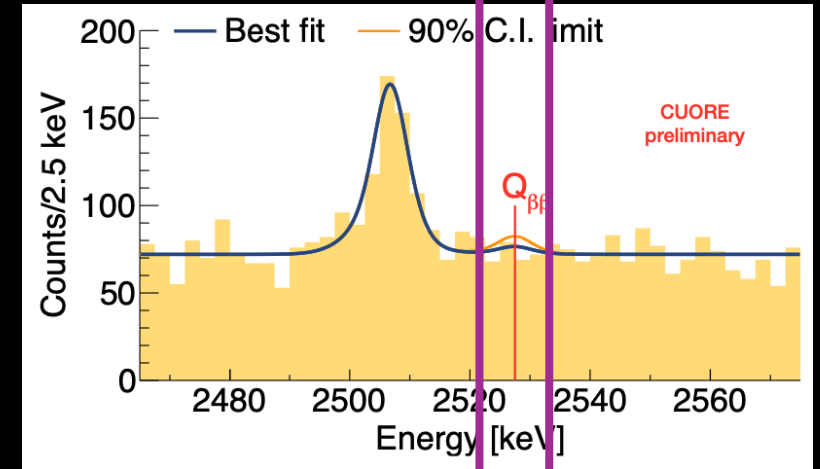
Measurement time

$$T_{1/2}^{0\nu} \propto M\epsilon t$$

# Experimental Considerations



ROI =  
Region Of  
Interest



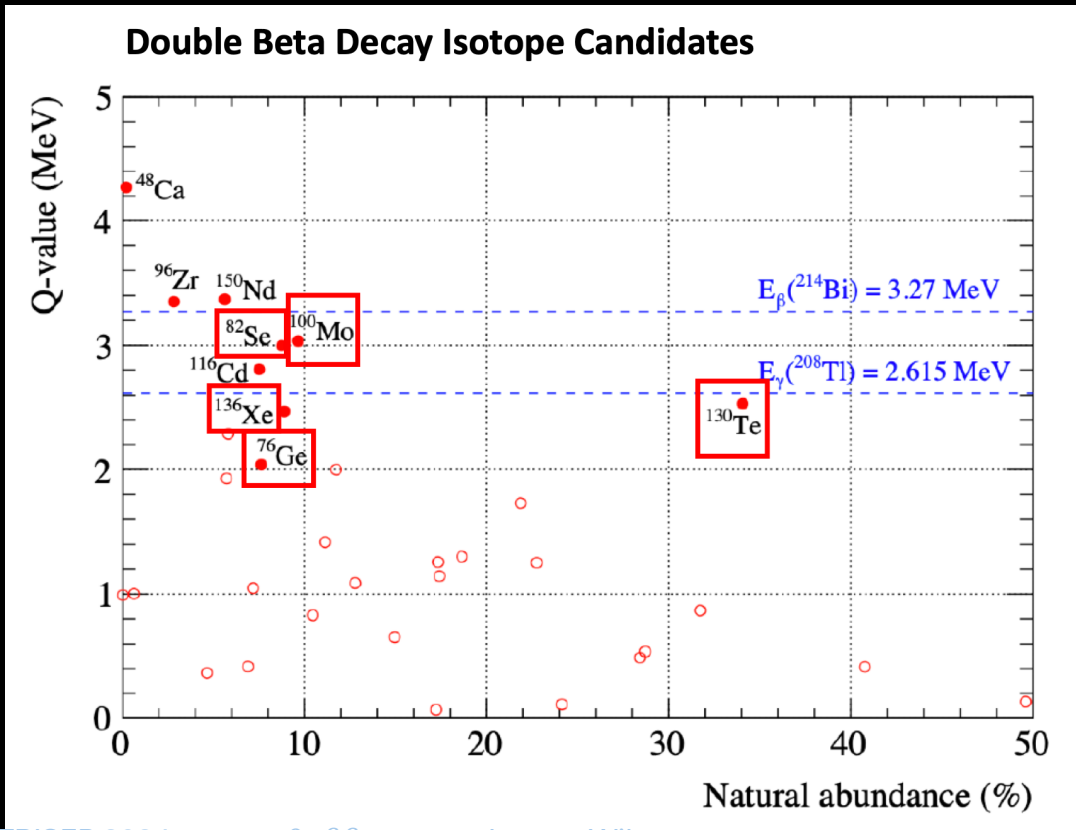
EXAMPLE:

Backgrounds = 70 counts / 2.5keV  
 ROI = 10keV wide  
 Background count = 280 counts  
 Poisson uncertainty =  $\sqrt{280} = 16.7$

# Choose your Isotope

High Q value:

- Generally better phase space
- Above many low energy backgrounds



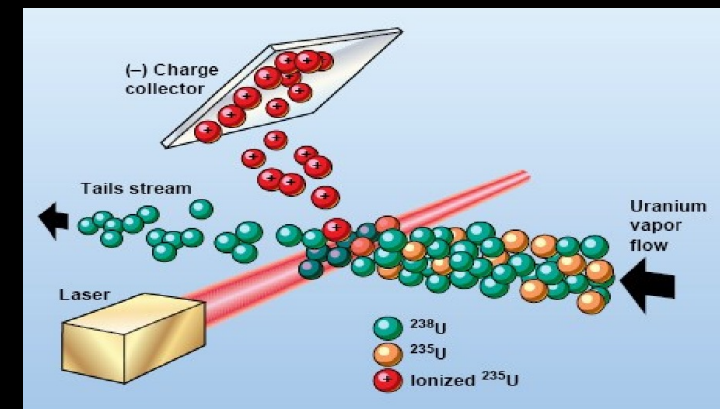
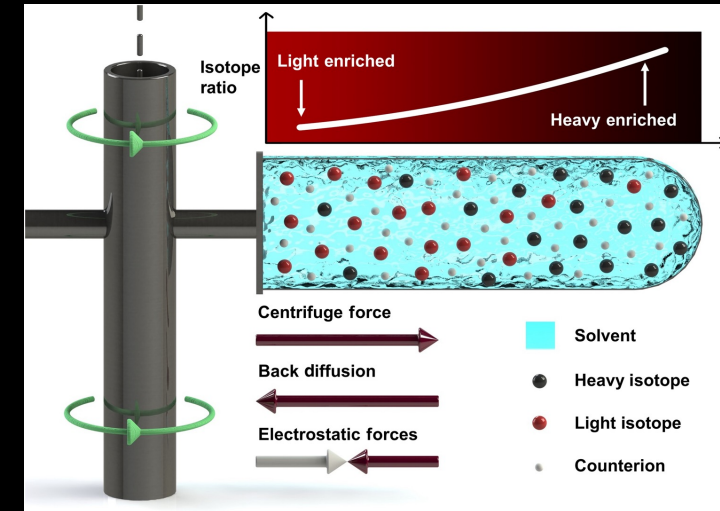
$\beta\beta$ Decay Reaction	Isotopic Abundance [atomic %]	Q-value [keV]
$^{48}\text{Ca} \rightarrow ^{48}\text{Ti}$	0.2	4274
$^{76}\text{Ge} \rightarrow ^{76}\text{Se}$	7.6	2039
$^{82}\text{Se} \rightarrow ^{82}\text{Kr}$	8.7	2996
$^{96}\text{Zr} \rightarrow ^{96}\text{Mo}$	2.8	3348
$^{100}\text{Mo} \rightarrow ^{100}\text{Ru}$	9.6	3034
$^{116}\text{Cd} \rightarrow ^{116}\text{Sn}$	7.5	2814
$^{124}\text{Sn} \rightarrow ^{124}\text{Te}$	5.8	2288
$^{128}\text{Te} \rightarrow ^{128}\text{Xe}$	31.8	866
$^{130}\text{Te} \rightarrow ^{130}\text{Xe}$	34.2	2528
$^{136}\text{Xe} \rightarrow ^{136}\text{Ba}$	8.9	2458
$^{150}\text{Nd} \rightarrow ^{150}\text{Sm}$	5.6	3368

High Isotopic Abundance:

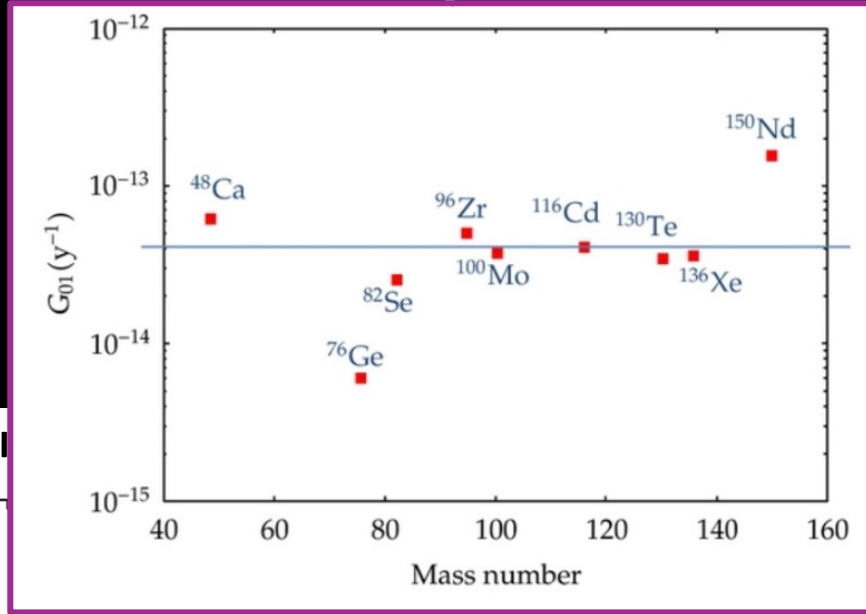
- More isotope per \$\$\$
- Isotopic enrichment is challenging  
(money time and politics!)

# Isotope Enrichment

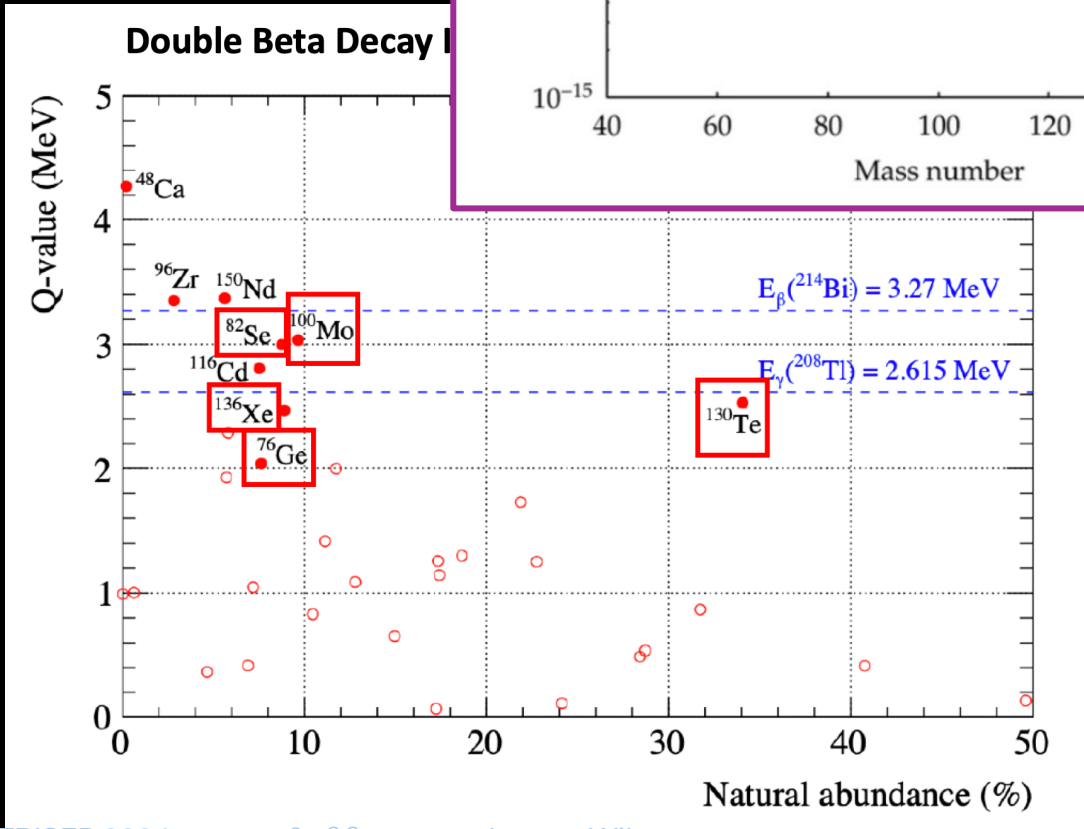
- If abundance is low, enrich in your desired isotope.
- Method depends on element.
  - Can you make it gaseous? Soluble in liquid?
- Centrifugal force:
  - gas centrifuge – Xenon,  $\text{GeF}_4$  or  $\text{GeH}_4$ ,  $\text{SeF}_6$ ,  $\text{MoF}_6$
  - Liquid solution centrifuge
- Atomic vapor laser isotope separation (AVLIS):
  - Inject laser energy at the precise frequency to ionize only the specific isotope
  - Separate ions from atoms with EM field



# Choose your Isotope



$\beta\beta$ Decay Reaction	Isotopic Abundance [atomic %]	Q-value [keV]
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Correlation between Q-value and Phase Space Factor (not exact – other factors at play)

# Choose your Isotope

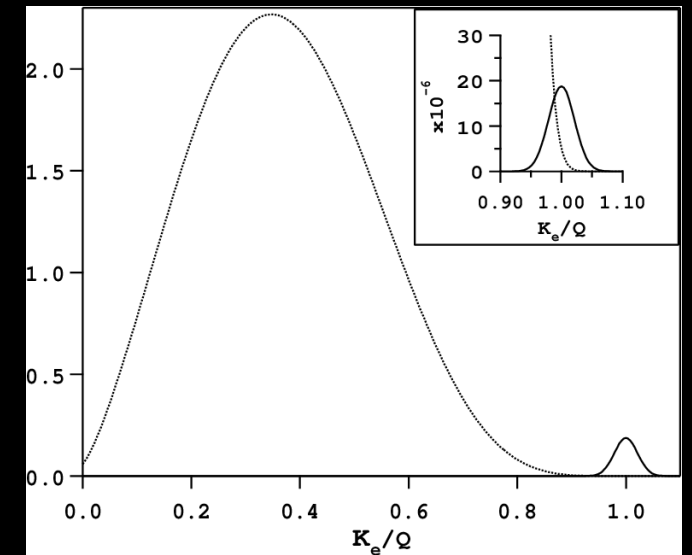
## Source = Detector

- $\sim MeV$   $\beta$ s don't travel far so restricted to thin films if detectors separate from source
- Need to 'see' the  $\beta\beta$  and accurately record their energy
  - Detection Efficiency
  - Energy Resolution
- Even better
  - Can you be sure it was a  $\beta\beta$  event? → Tracking would be nice
  - Can you be sure it was a decay of your isotope? → Tagging of the decay product would be very nice

# Backgrounds

- $2\nu\beta\beta$  decays  $\Delta E, b$
- Internal radiological backgrounds  $b$
- Surface backgrounds  $\sim b$
- External radiological backgrounds  $c$
  
- Cosmogenic  $b, c$
- Neutrinos and Neutrino-genic  $b, c$

$$T_{1/2} \propto \frac{M \cdot \epsilon \cdot \sqrt{t}}{\sqrt{(b \cdot M + c) \Delta E}}$$



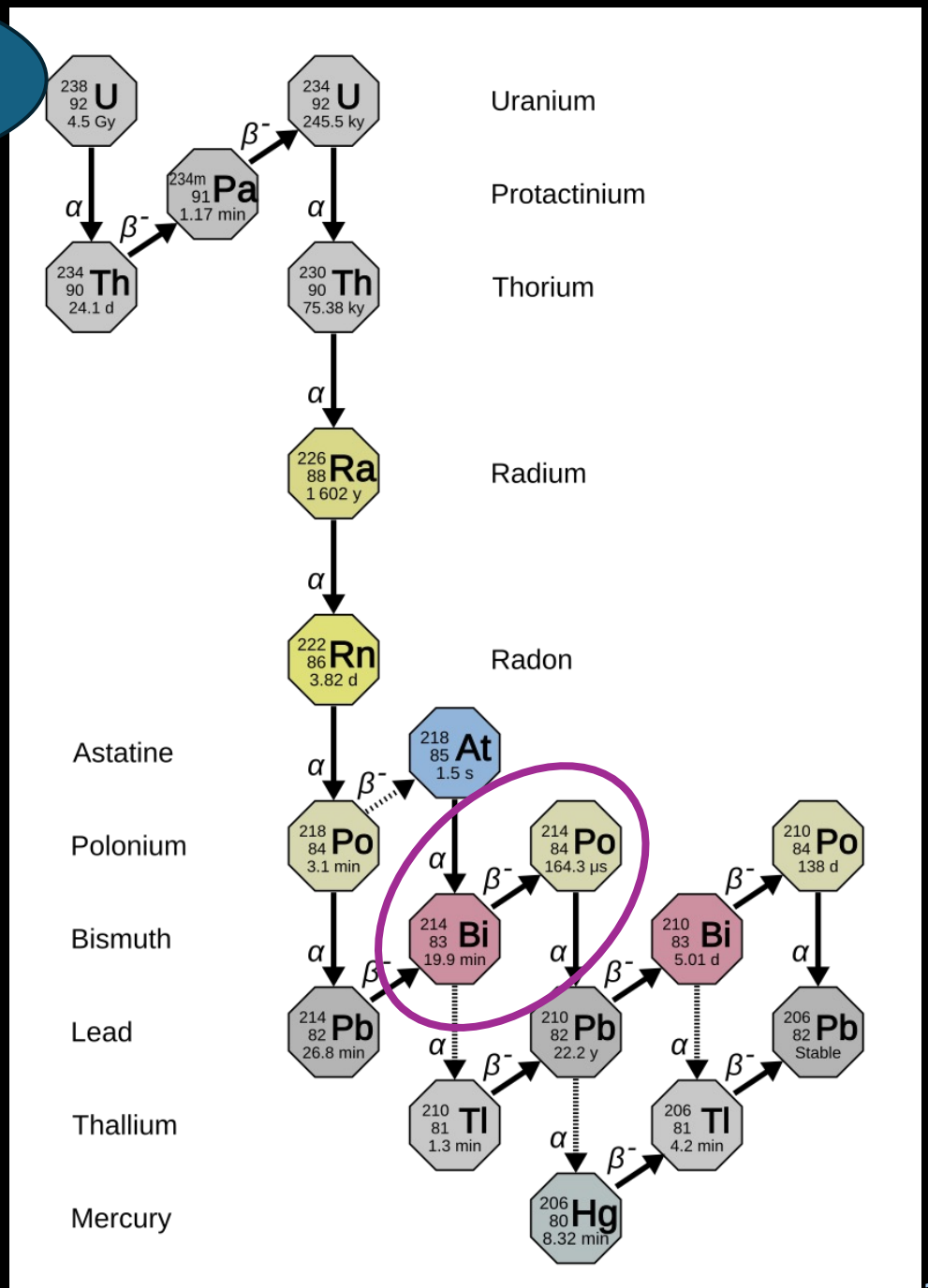


# $^{238}\text{U}$ chain

Remember  $^{238}\text{U}$  is a  $\beta\beta$  decay candidate

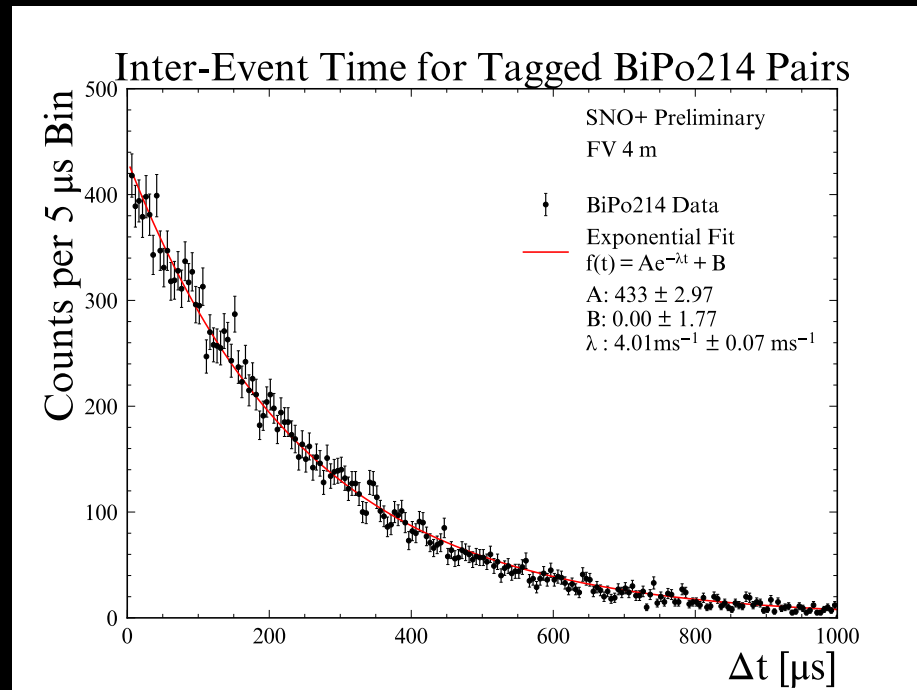
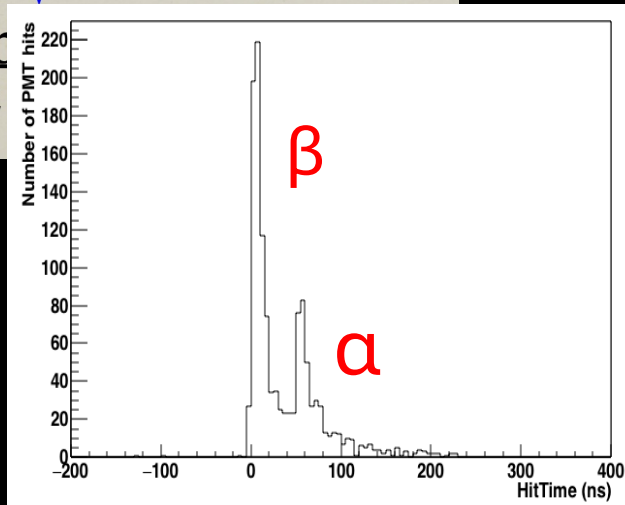
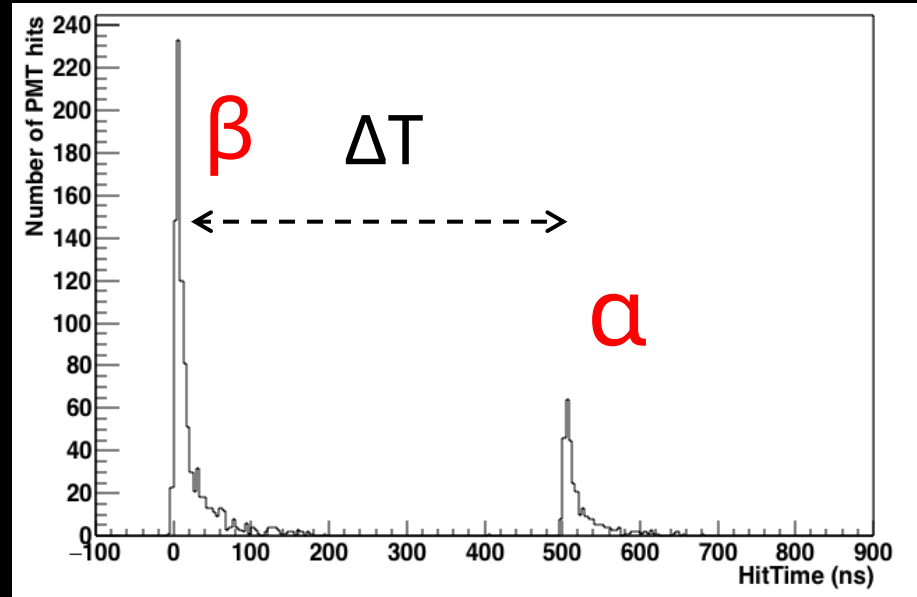
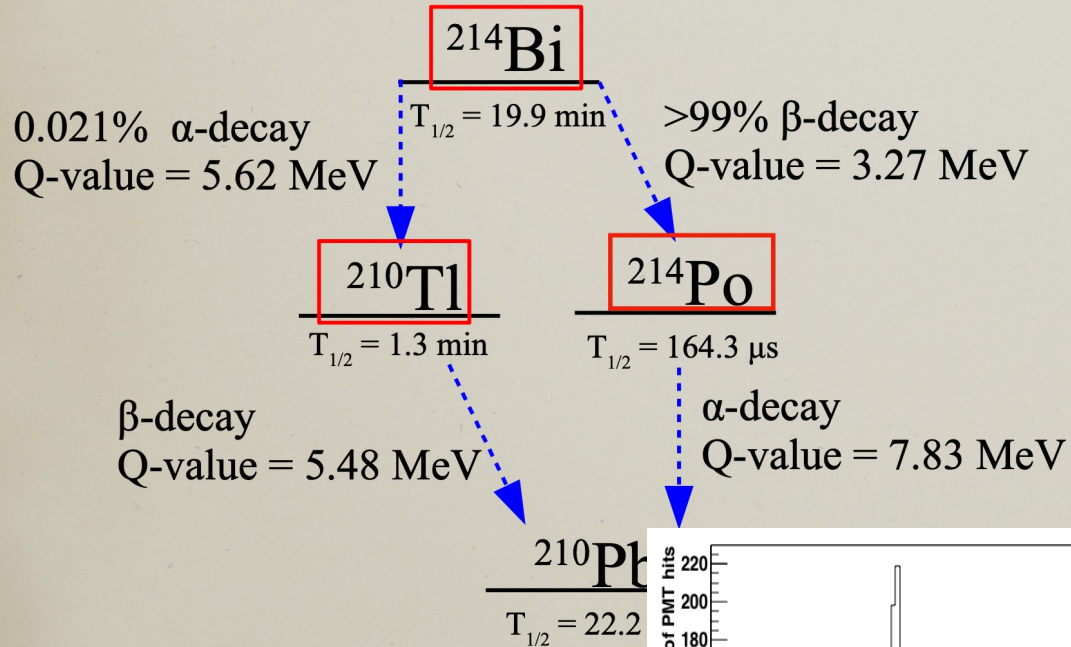
Naturally occurring (few ppm in rock, soil, water), long lived  $T_{1/2} = 4.5 \times 10^6$  years

- $\alpha$ -decays
- $\beta$ -decays
- Accompanying  $\gamma$ s
- Very short decay steps can be used for 'tagging':  $^{214}\text{Po}$   $T_{1/2} = 164 \mu\text{s}$



# BiPos

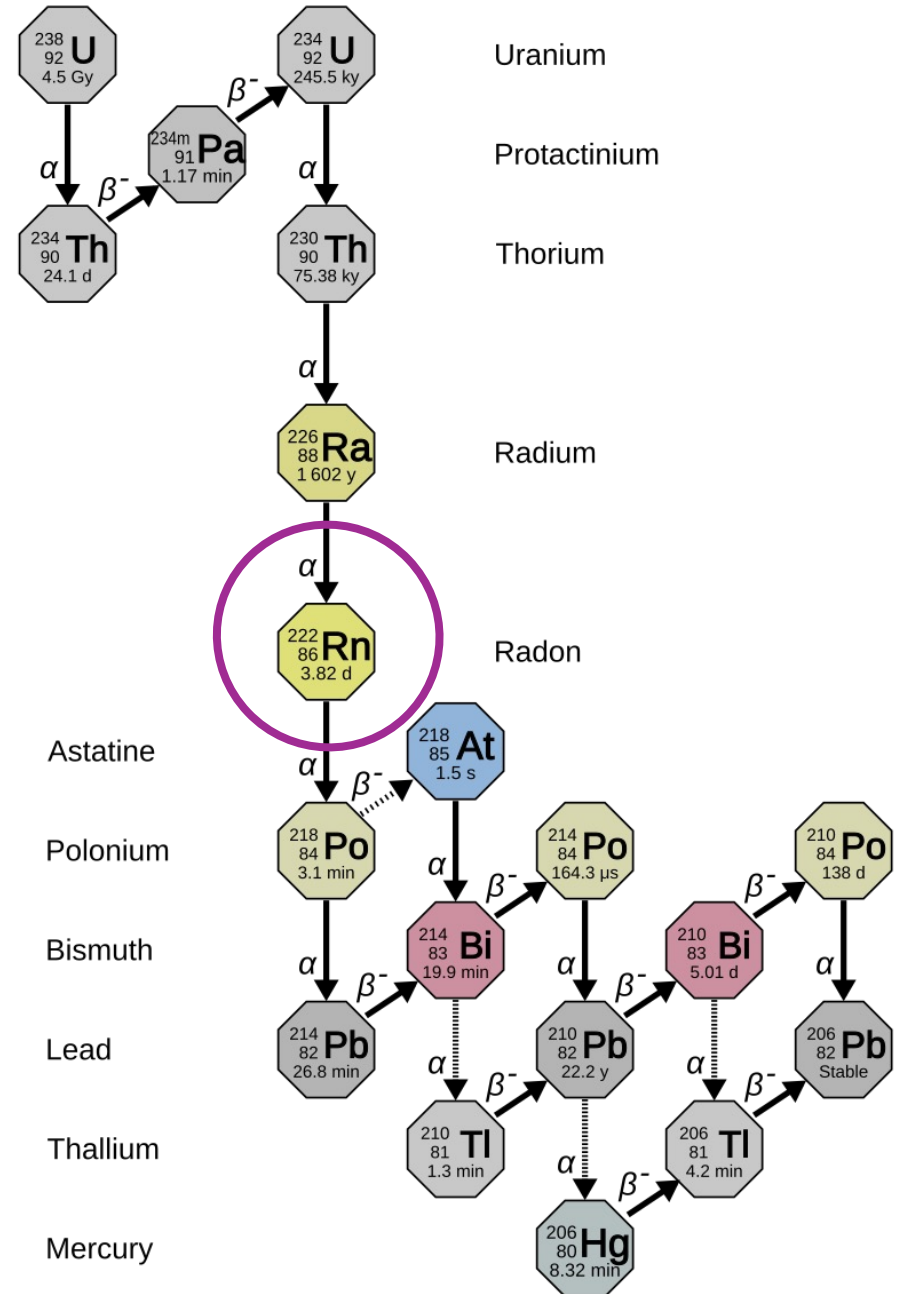
## $^{238}\text{U}$ via $^{214}\text{BiPo}$



# $^{238}\text{U}$ chain

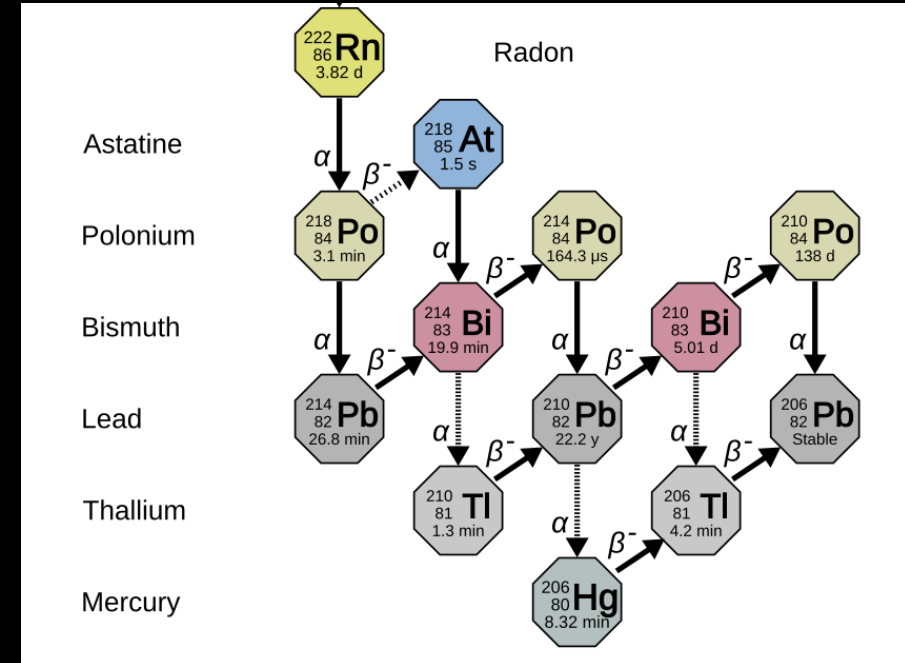
Naturally occurring (few ppm in rock, soil, water), long lived  $T_{1/2} = 4.5 \times 10^6$  years

- $\alpha$ -decays
- $\beta$ -decays
- Accompanying  $\gamma$ s
- Very short decay steps can be used for ‘tagging’
- Radon gaseous and short lived  
 $^{222}\text{Rn}$   $T_{1/2} = 3.82$  days



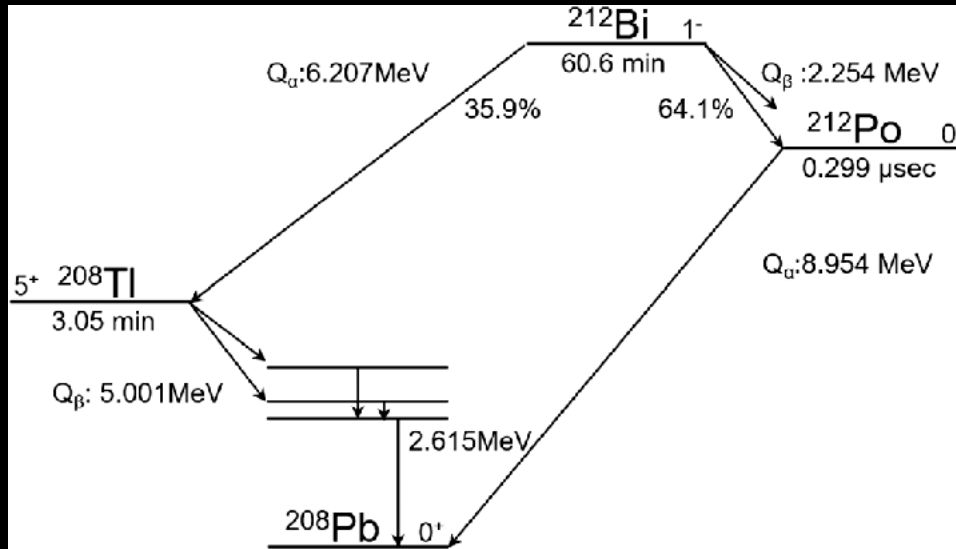
# Radon

- $^{222}\text{Rn}$   $T_{1/2} = 3.82$  days
- Produced in the  $^{238}\text{U}$  decay chain, can then **emanate** out of solid detector components into a liquid or gaseous region
- Or radon in air can **dissolve** into a liquid
- Both can break ‘equilibrium’
  - Different activity in the top and bottom part of the chain
- Radon decays in air, can **implant** daughters into solid materials
  - Those radioactive daughters can later **leach** out into liquids



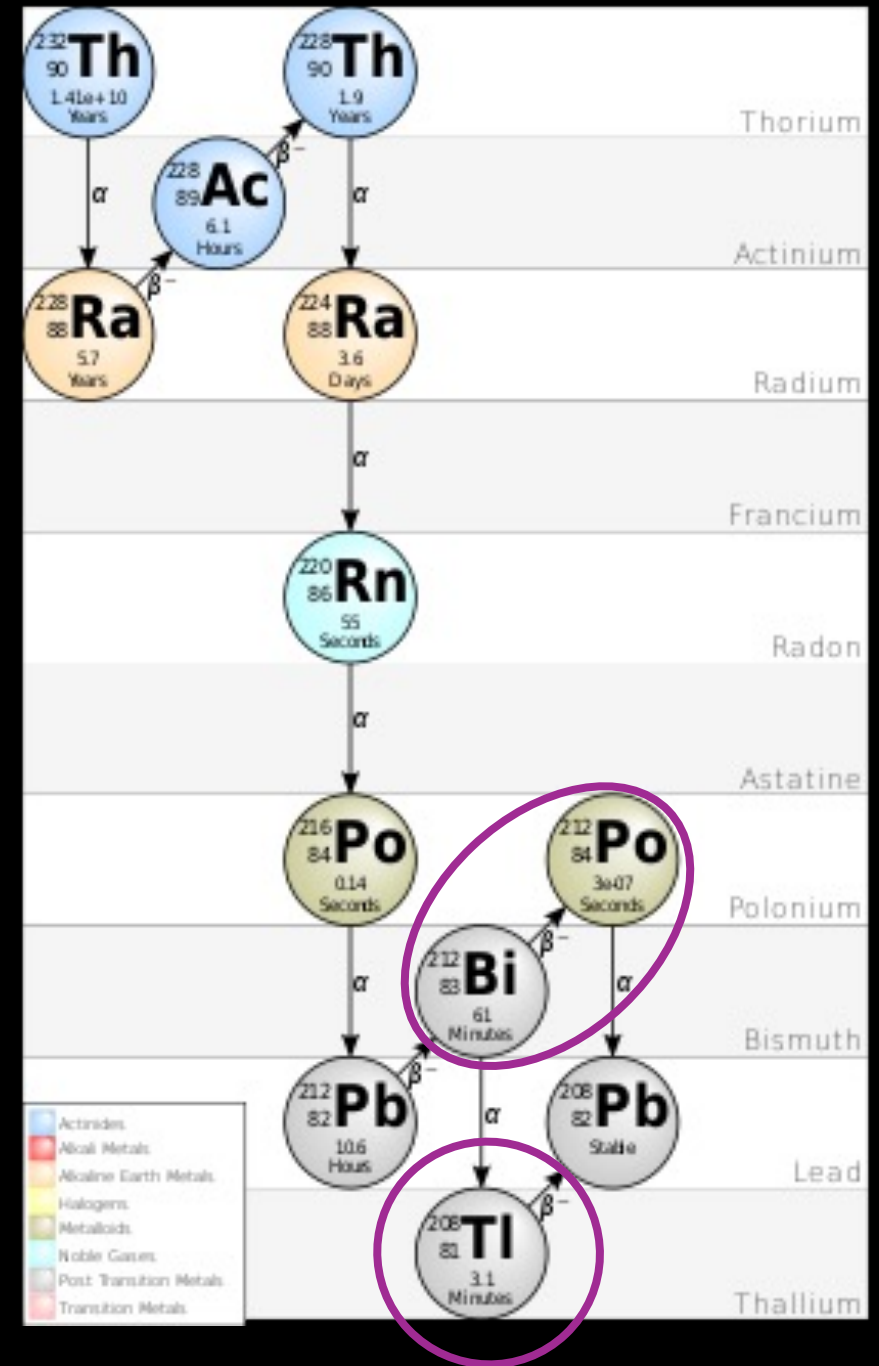
# $^{232}\text{Th}$ chain

- Also naturally occurring
- Highest ‘naturally occurring’ gamma
  - $^{208}\text{Tl}$   $E = 2.615\text{MeV}$



- $^{212}\text{Po}$   $T_{1/2} = 0.3\mu\text{s}$

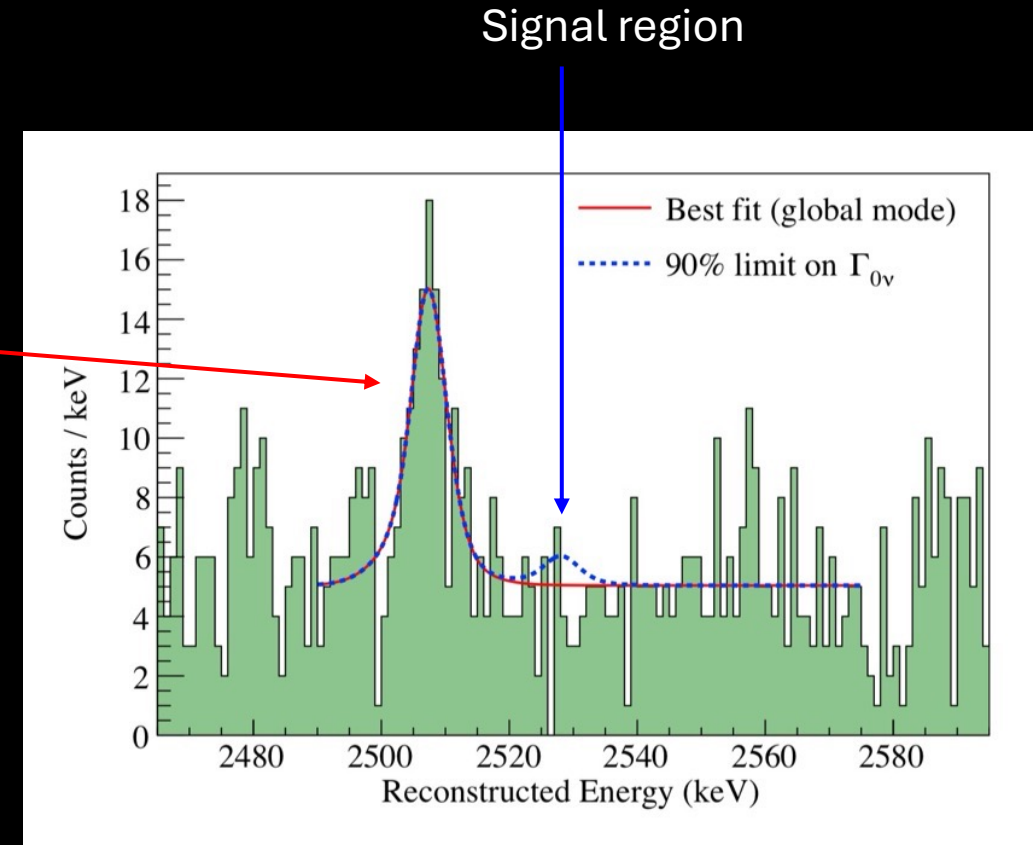
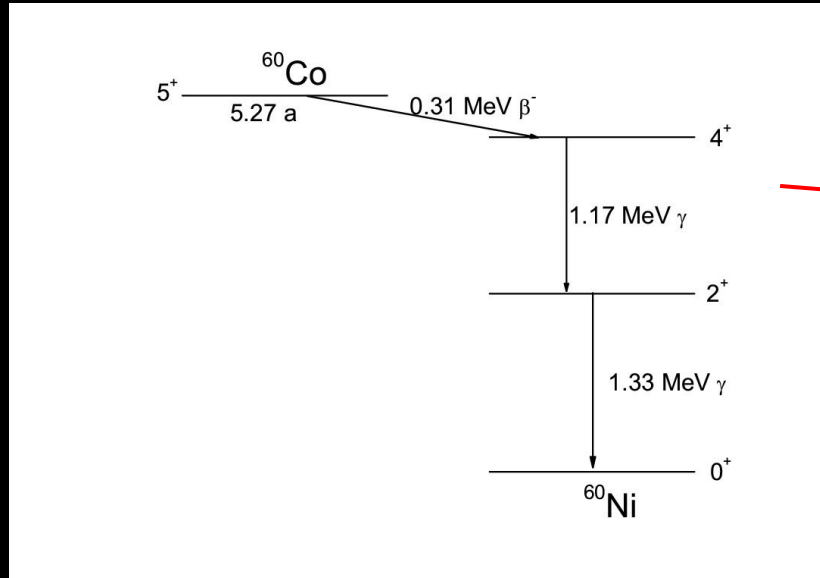
- Can also be BiPo ‘tagged’ but more challenging as shorter half-life than  $^{214}\text{BiPo}$ s



# Radioactive Isotopes

- Most 'dangerous' depends on detector and Q-value

e.g.  $^{60}\text{Co} \rightarrow 2.5\text{MeV}$  visible gammas



CUORE spectrum

$^{130}\text{Te}$  Q-value = 2.528 MeV

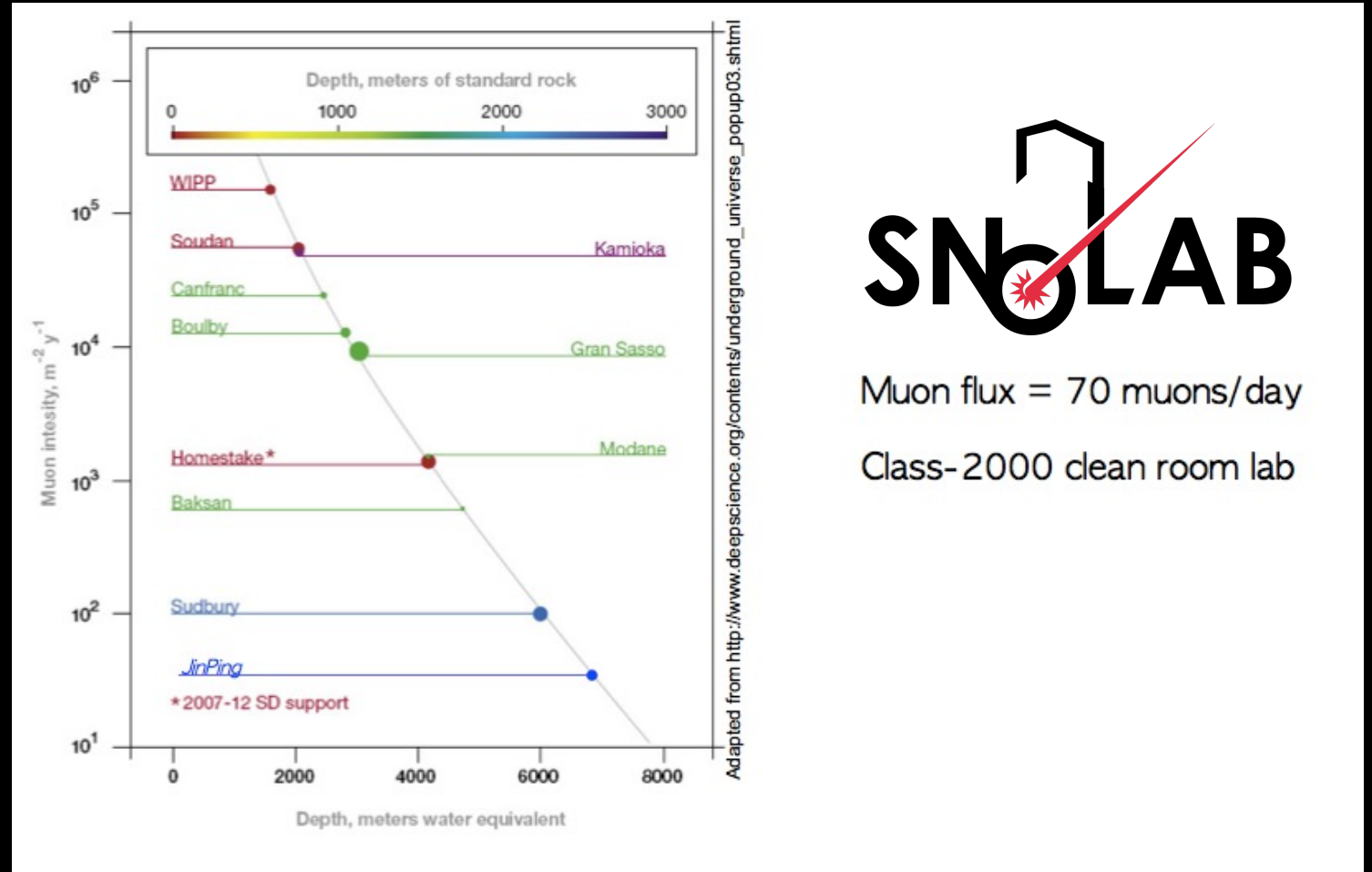
# Backgrounds

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- Neutrinos and Neutrino-genic  $b, c$

$$T_{1/2} \propto \frac{M \cdot \epsilon \cdot \sqrt{t}}{\sqrt{(b \cdot M + c) \Delta E}}$$

# Cosmic Muons

- Muons produced in the atmosphere from cosmic ray interactions
- Deeper underground, lower muon flux
- Muons are generally easily vetoed
  - Characteristic high energy deposits
  - Veto detectors
- However...



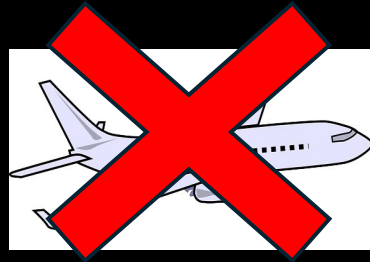
Muon flux = 70 muons/day

Class-2000 clean room lab



# Cosmogenic Backgrounds

- Muons can interact with material in the detector producing n,p, radioactive isotopes
- Materials above ground experience higher activation rates
  - Source material
  - During construction
  - In transit



Isotopes produced with short (<1year) half-lives can be mitigated by allowing materials to ‘cool’ underground

- In-situ cosmogenic production
  - Can veto short-lived isotopes with time-cut after muon

# Experiment Examples

- Not a complete list
- Use these examples to illustrate the different backgrounds and challenges

Liquid scintillator

$^{136}\text{Xe}$ ,  $^{130}\text{Te}$

KamLAND-Zen

SNO+

TPC

$^{136}\text{Xe}$

nEXO, NEXT

Cryogenic  
Bolometer

$^{130}\text{Te}$ ,  $^{82}\text{Se}$

$^{130}\text{Te}$ ,  $^{82}\text{Se}$

CUORE, CUPID

Semi-conductors

$^{76}\text{Ge}$

LEGEND

Tracking  
calorimeters

$^{82}\text{Se}$

SuperNEMO

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LEGEND

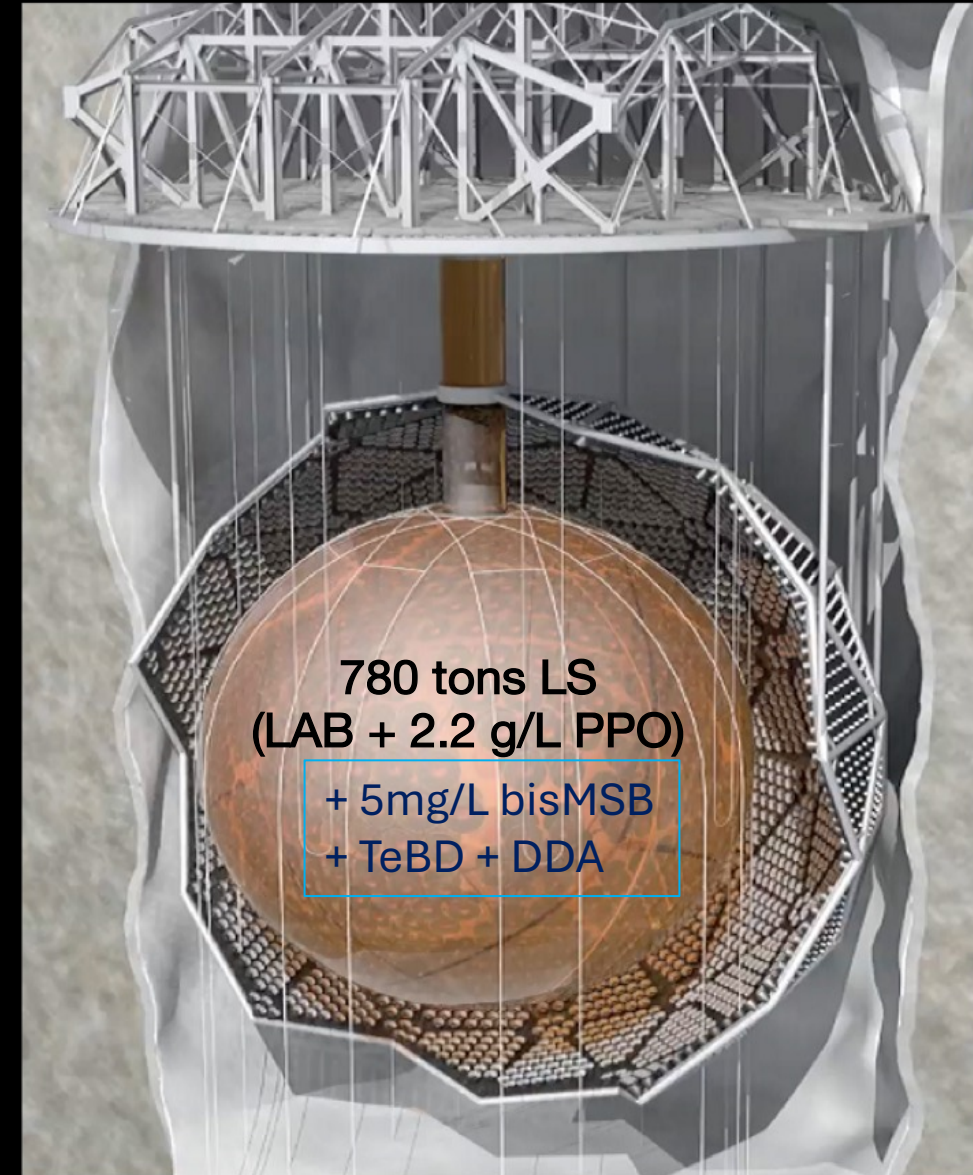
Tracking  
calorimeters

$^{82}\text{Se}$

SuperNEMO

# SNO+

- 2km underground (~6000 mwe)
- Observe events through scintillation light with ~9300 PMTs
  - $e^{\pm}, \gamma, \alpha, \mu$
- $^{130}\text{Te}$  – 34% nat abundance
- Highly scalable, cost effective (no enrichment required)
- Load natural Te into the scintillator (chemistry!)
  - ‘Source out’ measurements first
  - Initially add 0.5% by mass (3.9tonnes)
  - → 1%, 1.5%, 2.5% ...

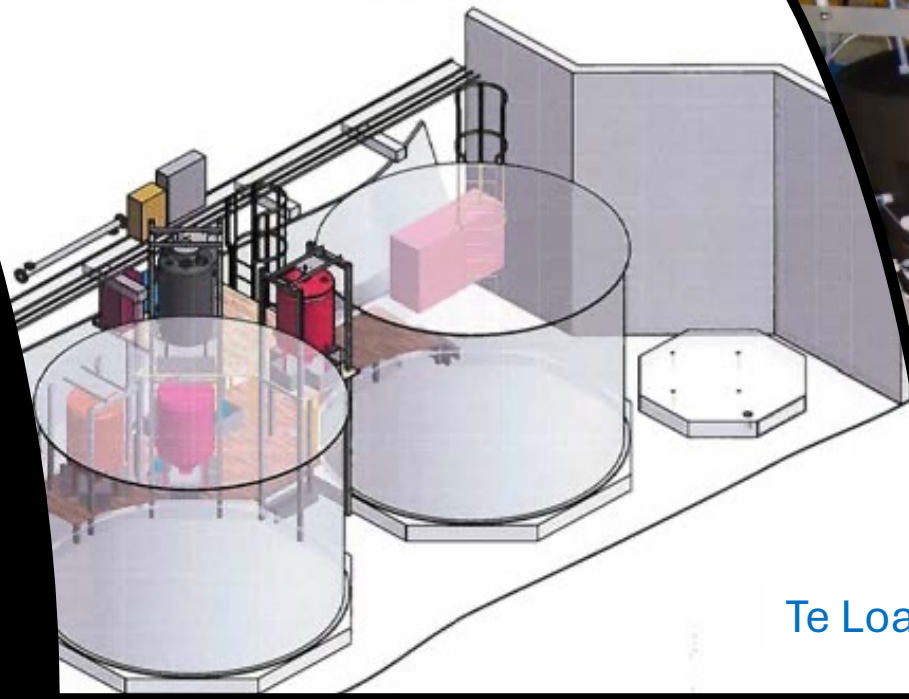


# Te loading

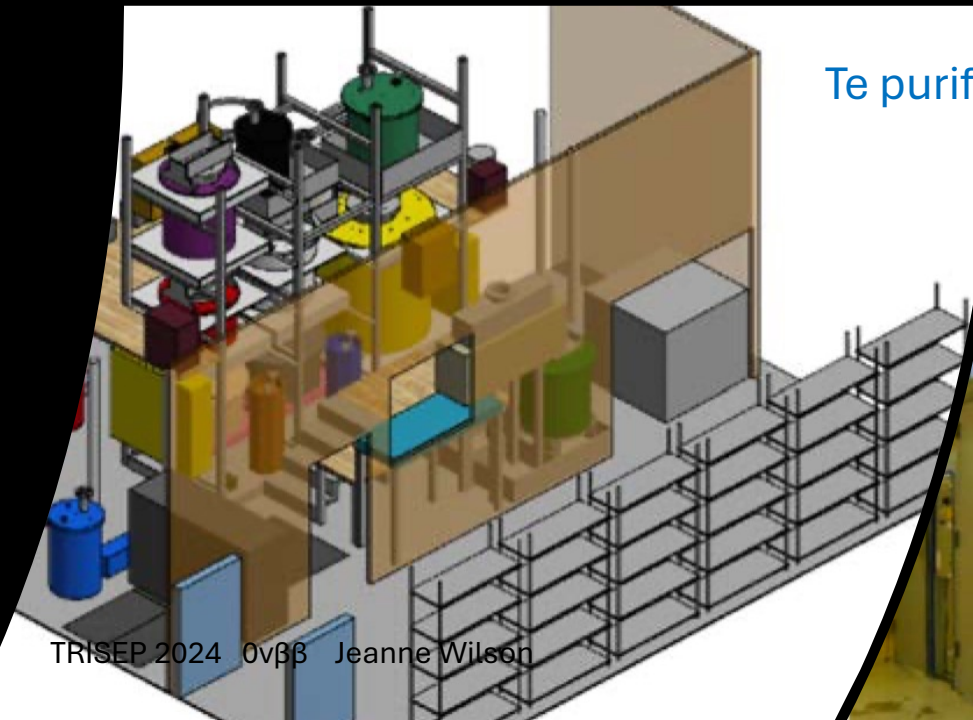
- ~8 tons of telluric acid (TeA) “cooling” underground
- Target purification for Te cocktail:

~  $10^{-15}$  g/g U

~  $10^{-16}$  g/g Th



Te Loading plant

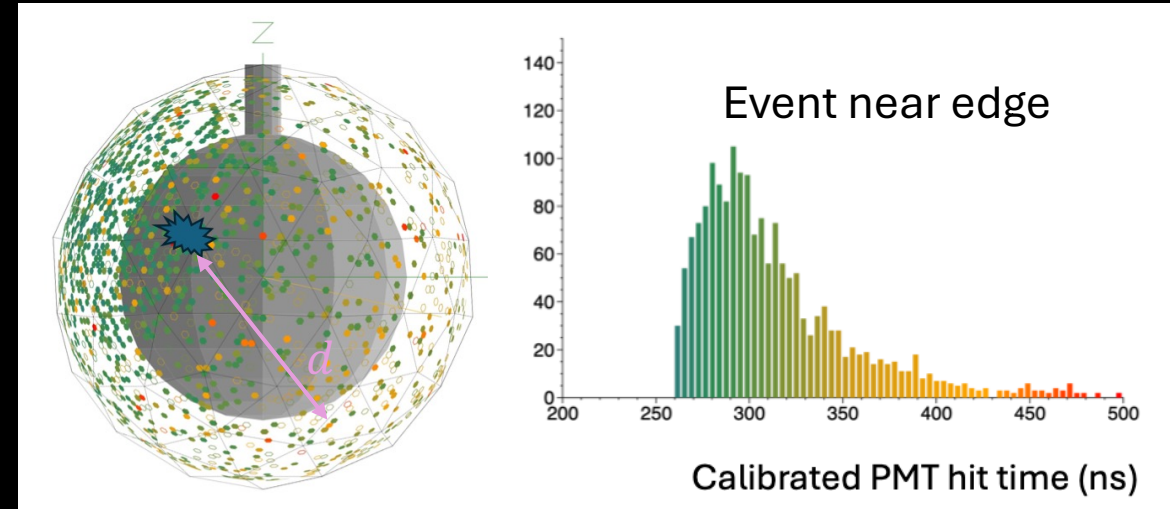
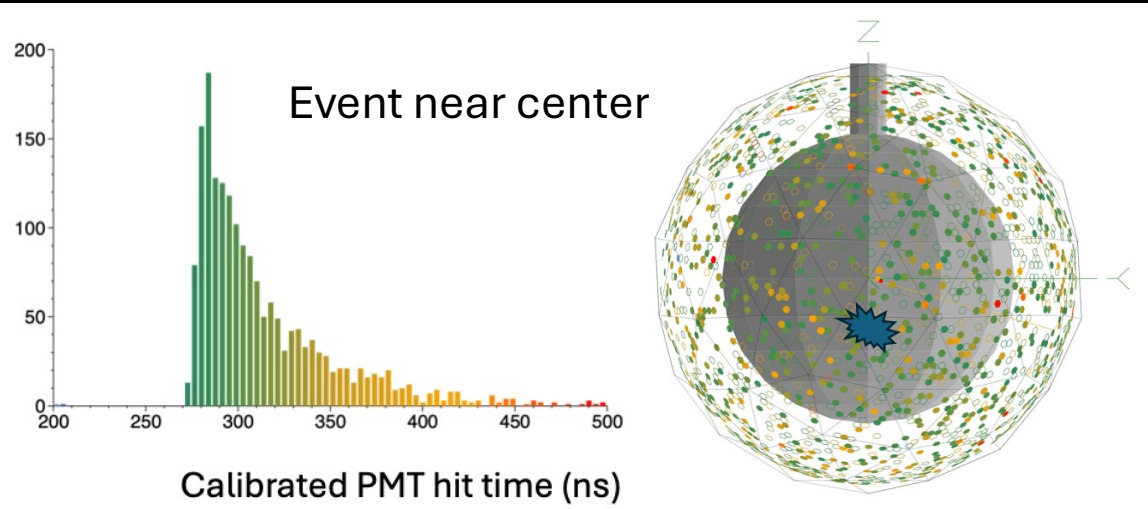


Te purification plant



# Event Reconstruction

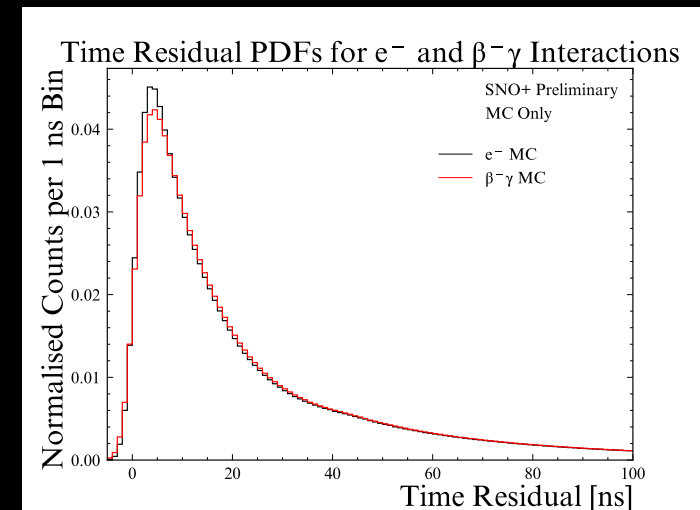
- ‘Events’ → scintillation light → photons → PMT hits
- $> 10,0000 \gamma/\text{MeV} \rightarrow \sim 300 \text{ hits}/\text{MeV}$



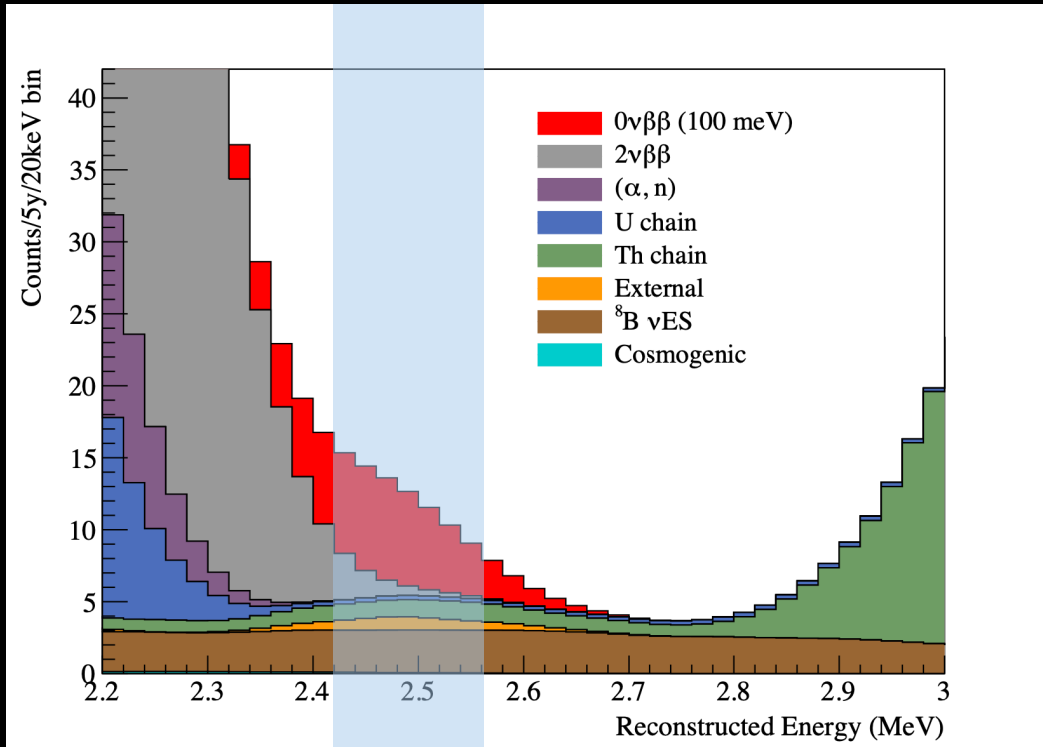
- Reconstruct position and energy of event from time and number of PMT hits

$$t_{res} = t_{hit} - t_{event} - d/c$$

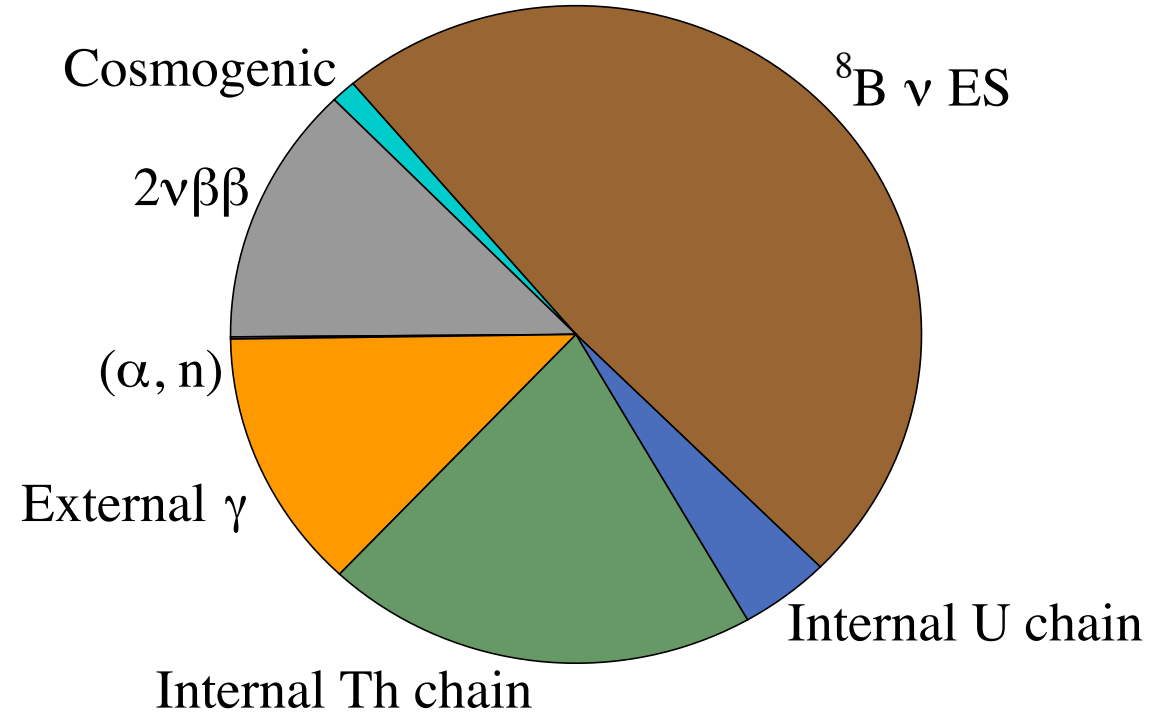
- Some sensitivity to event type through timing distribution



# SNO+ 0.5% loading

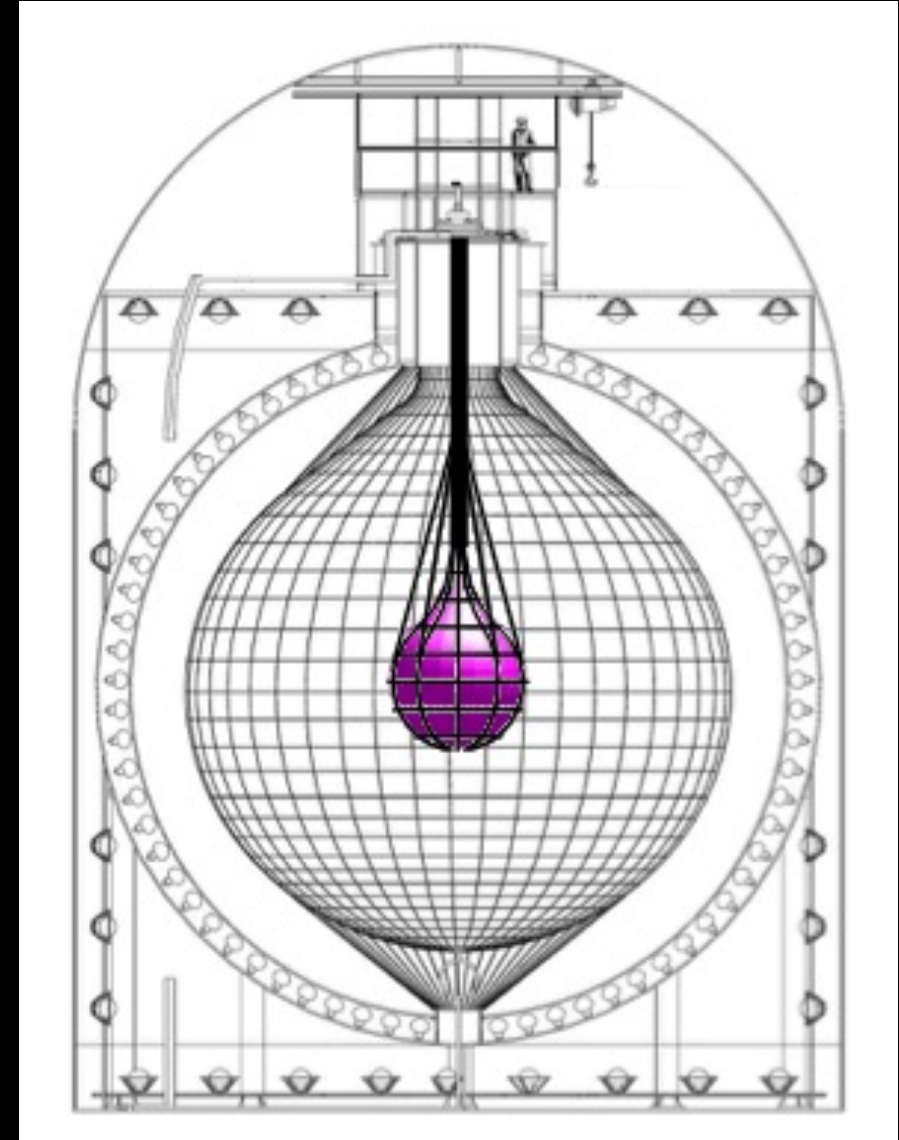


ROI: 2.42 - 2.56 MeV [-0.5 $\sigma$  - 1.5 $\sigma$ ]  
Counts/Year: 9.47



# KamLAND-Zen

- 1000-ton pure liquid scintillator  
 $U, Th < 10^{-17} g/g$
- ~745kg of  $^{136}\text{Xe}$  (91% enrichment)  
loaded into inner balloon
- ~8000 photons/MeV from Liquid  
scintillator





# KamLAND-Zen backgrounds 1

Slide from I Shimizu, Neutrino 2024

## Mitigating backgrounds from the balloon

### Inner Balloon Production

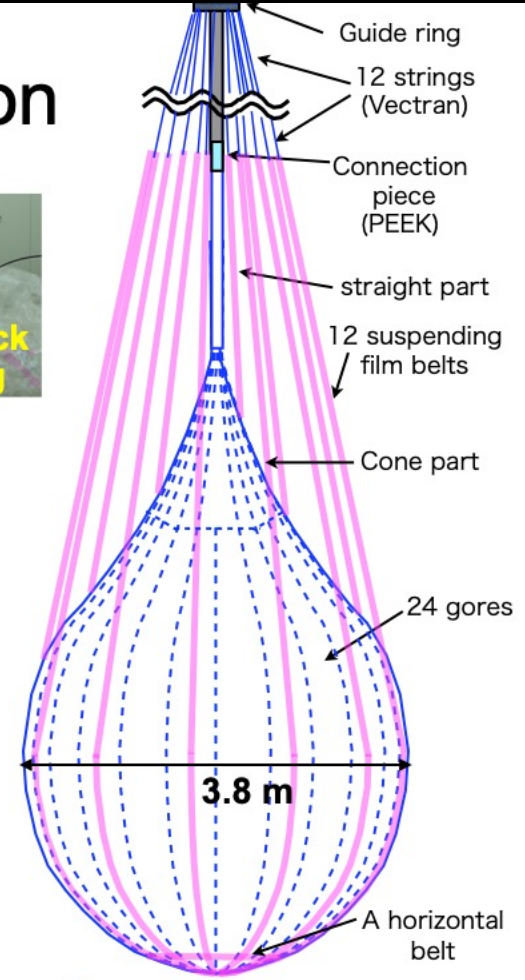
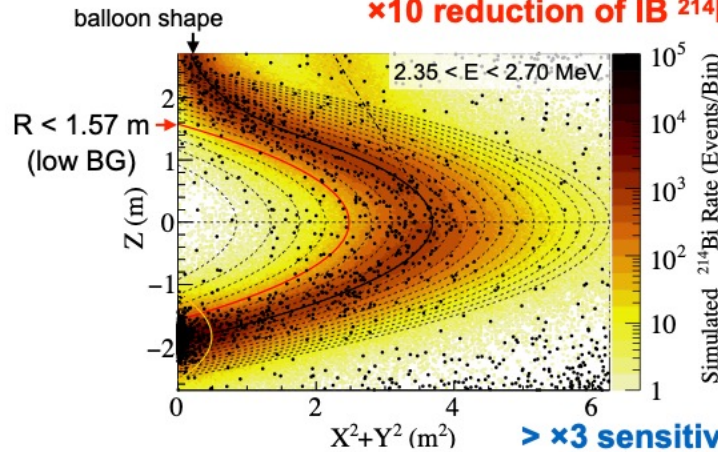
nylon balloon was produced in class 1 clean room



Zen 400 (R 1.54 m)  
 $^{238}\text{U}$  :  $5 \times 10^{-11}$  g/g  
 $^{232}\text{Th}$  :  $3 \times 10^{-10}$  g/g

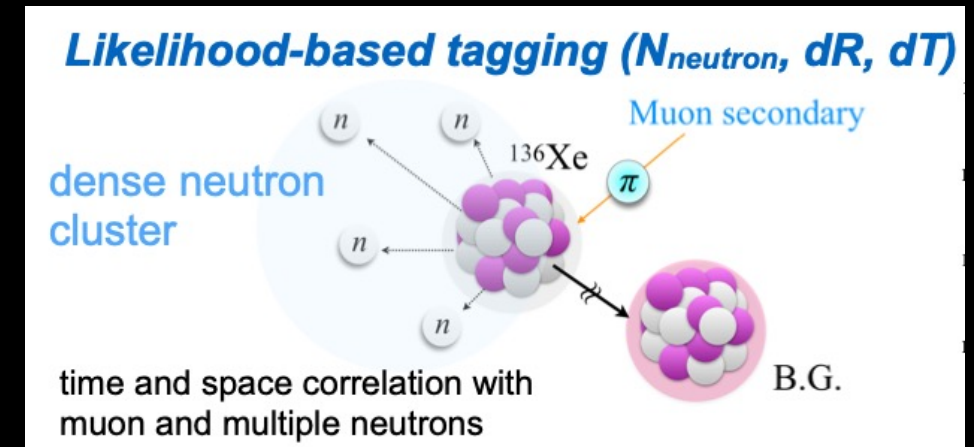
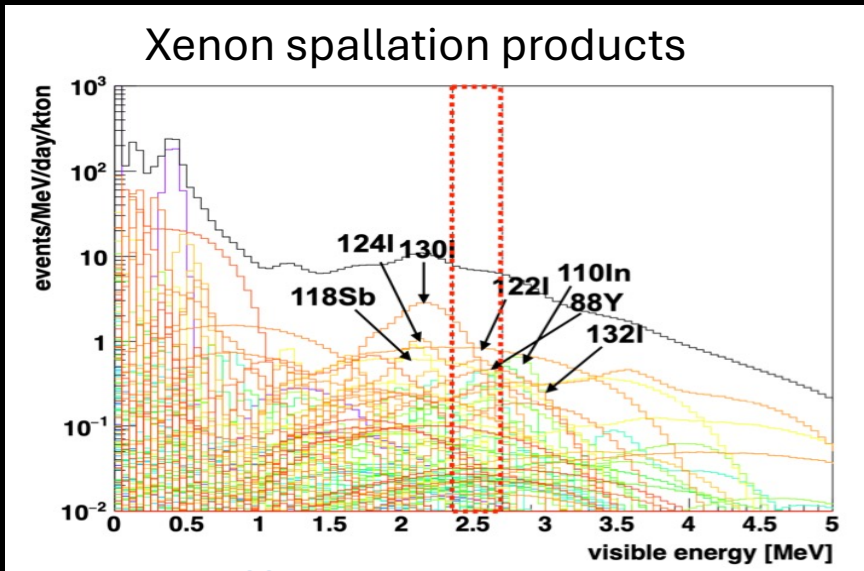
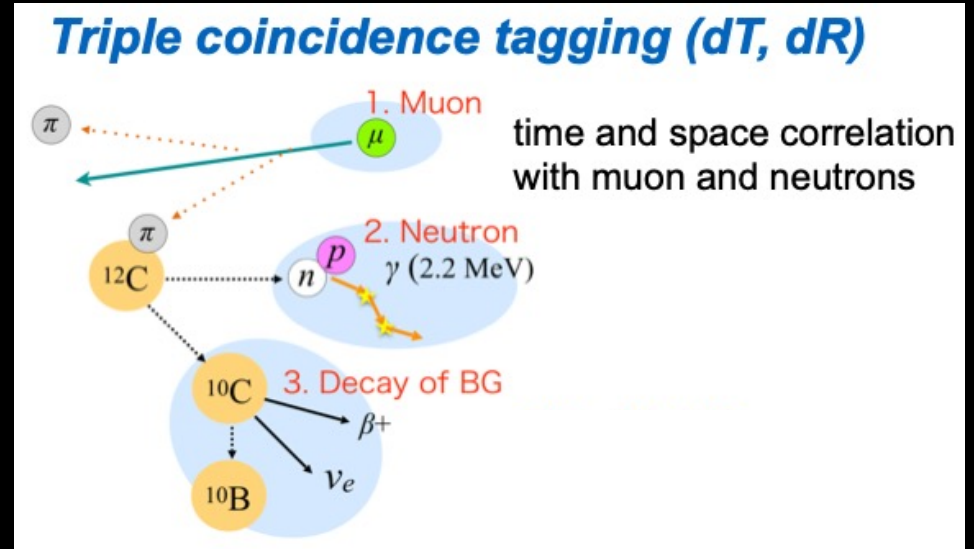
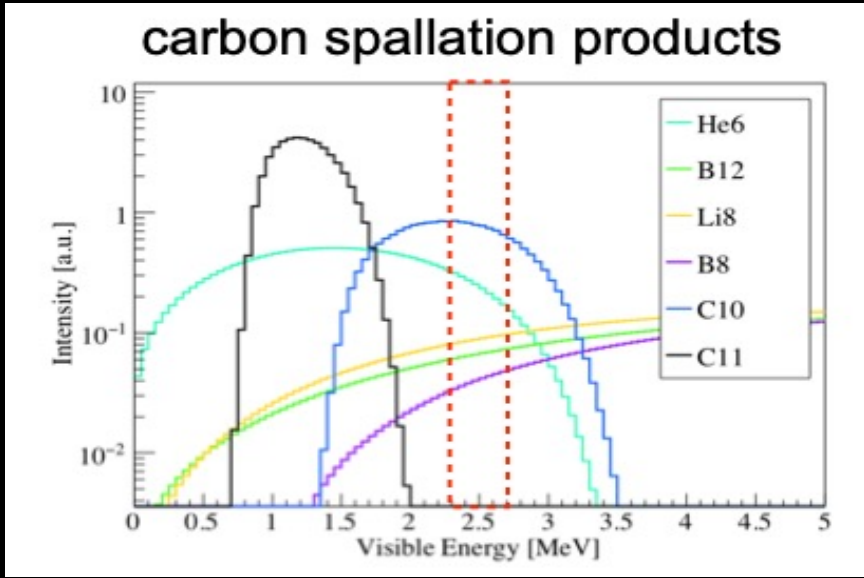
Zen 800 (R 1.90 m)  
 $^{238}\text{U}$  :  $\sim 4 \times 10^{-12}$  g/g  
 $^{232}\text{Th}$  :  $\sim 2 \times 10^{-11}$  g/g

**$\times 10$  reduction of IB  $^{214}\text{Bi}$**



# KamLAND-Zen backgrounds 2

2700 m.w.e.  
over-burden

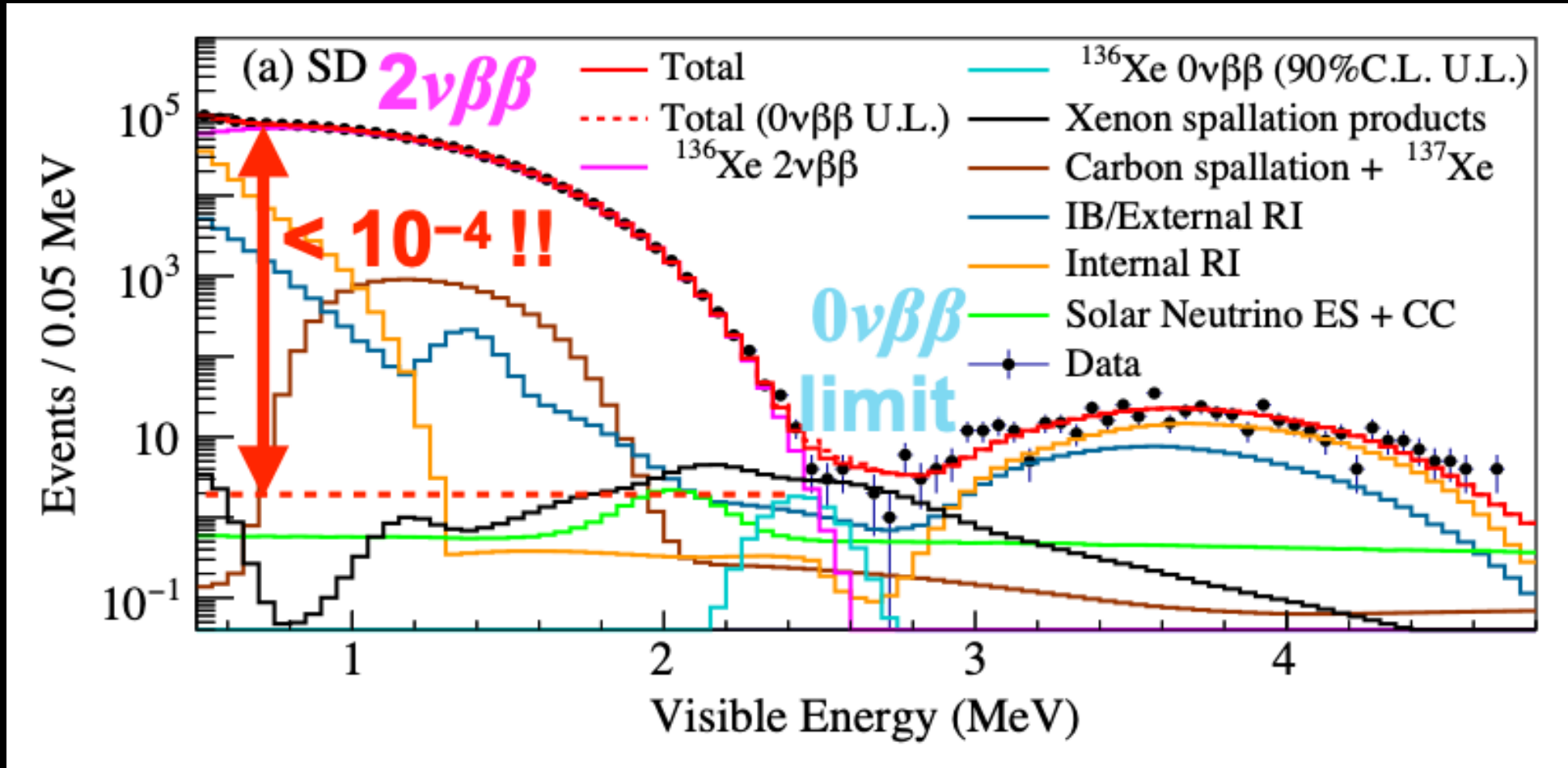


# KamLand-Zen latest results

1131 days of data

Best fit = 0 events , 90% CL < 10 events

$$T_{1/2}^{0\nu} > 3.8 \times 10^{26} \text{ years}$$



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$^{76}\text{Ge}$

LEGEND

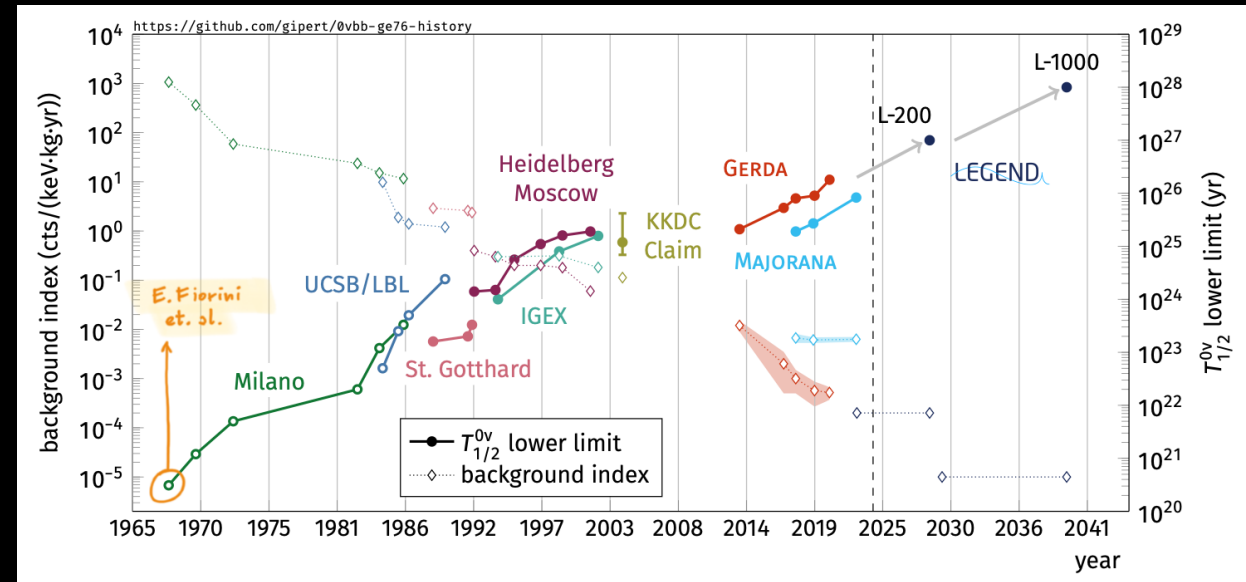
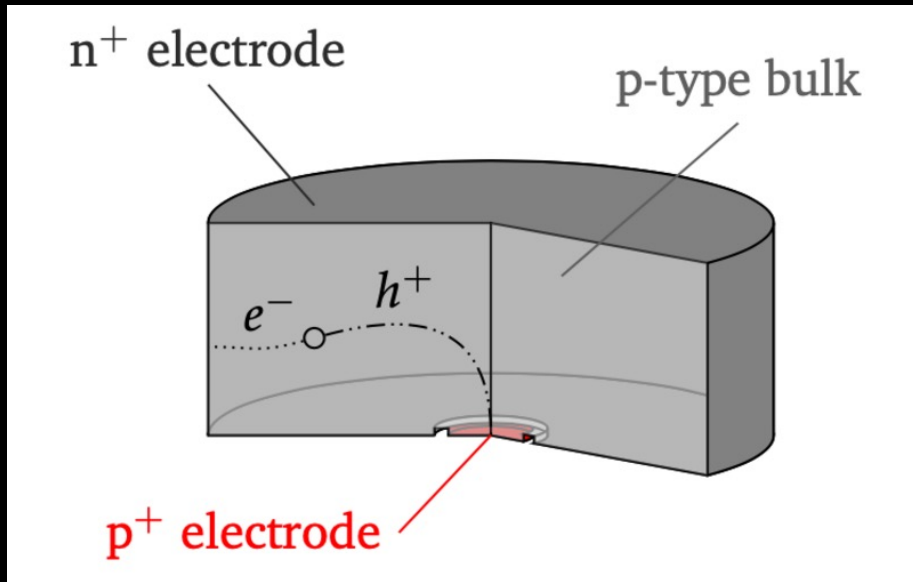
Tracking  
calorimeters

$^{82}\text{Se}$

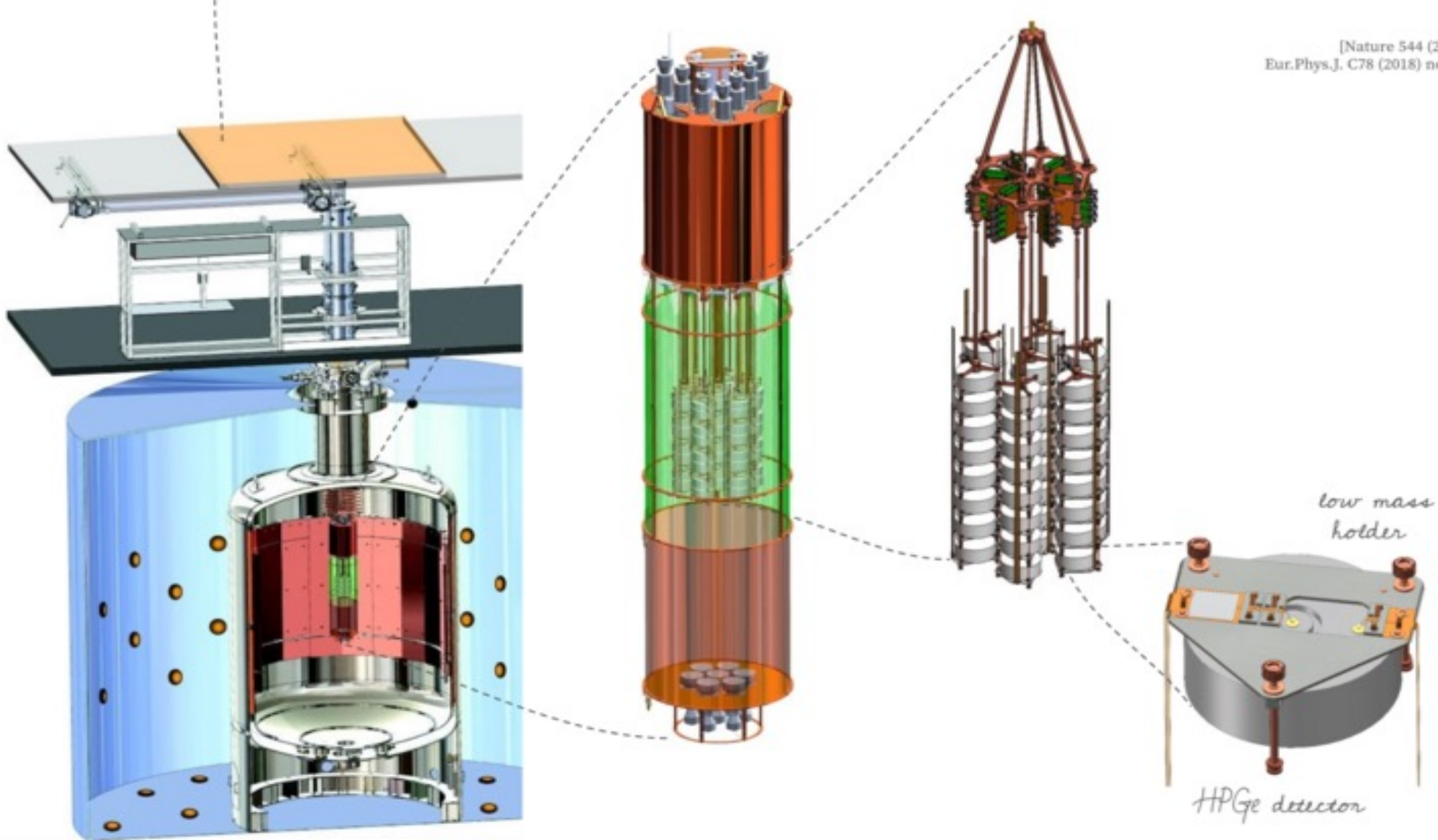
SuperNEMO

# LEGEND

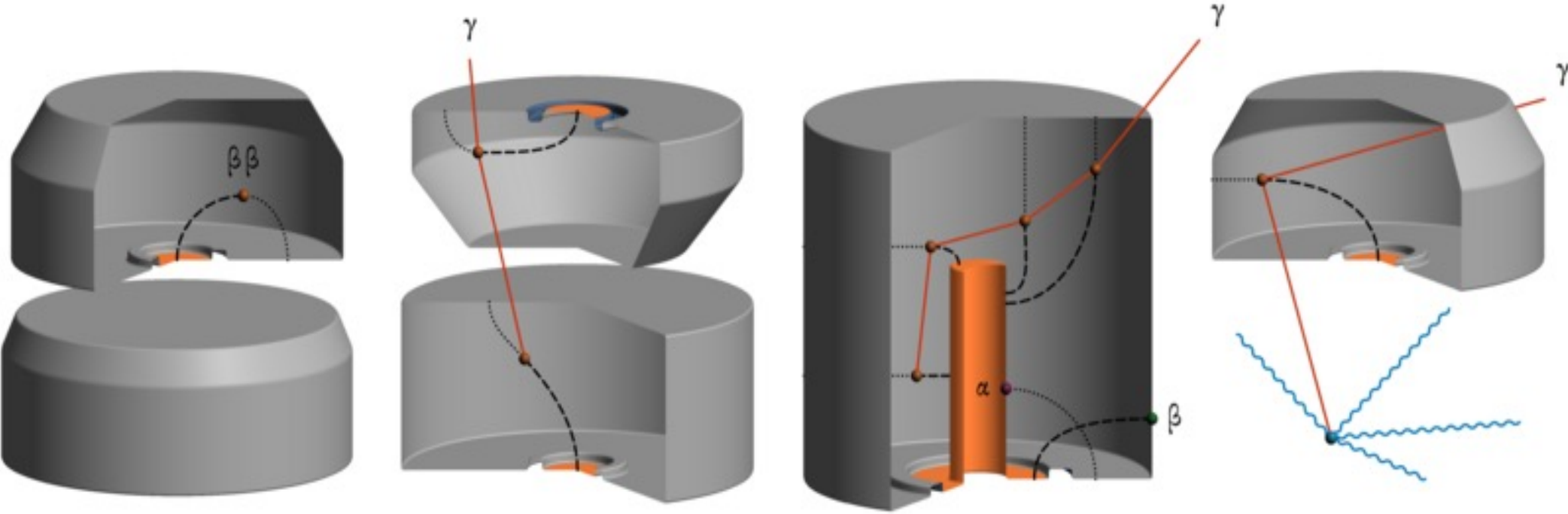
- High Purity Germanium detectors enriched in  $^{76}\text{Ge}$
- Solid state semi-conductors with outstanding energy resolution
- Very pure – low backgrounds
- HPGe commonly used for very low background screening
- Long-standing history for  $0\nu\beta\beta$  searches



# LEGEND



# Background suppression



Single site  $\beta\beta$  event

Multi-detector  $\gamma$  event

Multiple interactions  $\gamma$  event and  $\alpha, \beta$  surface events

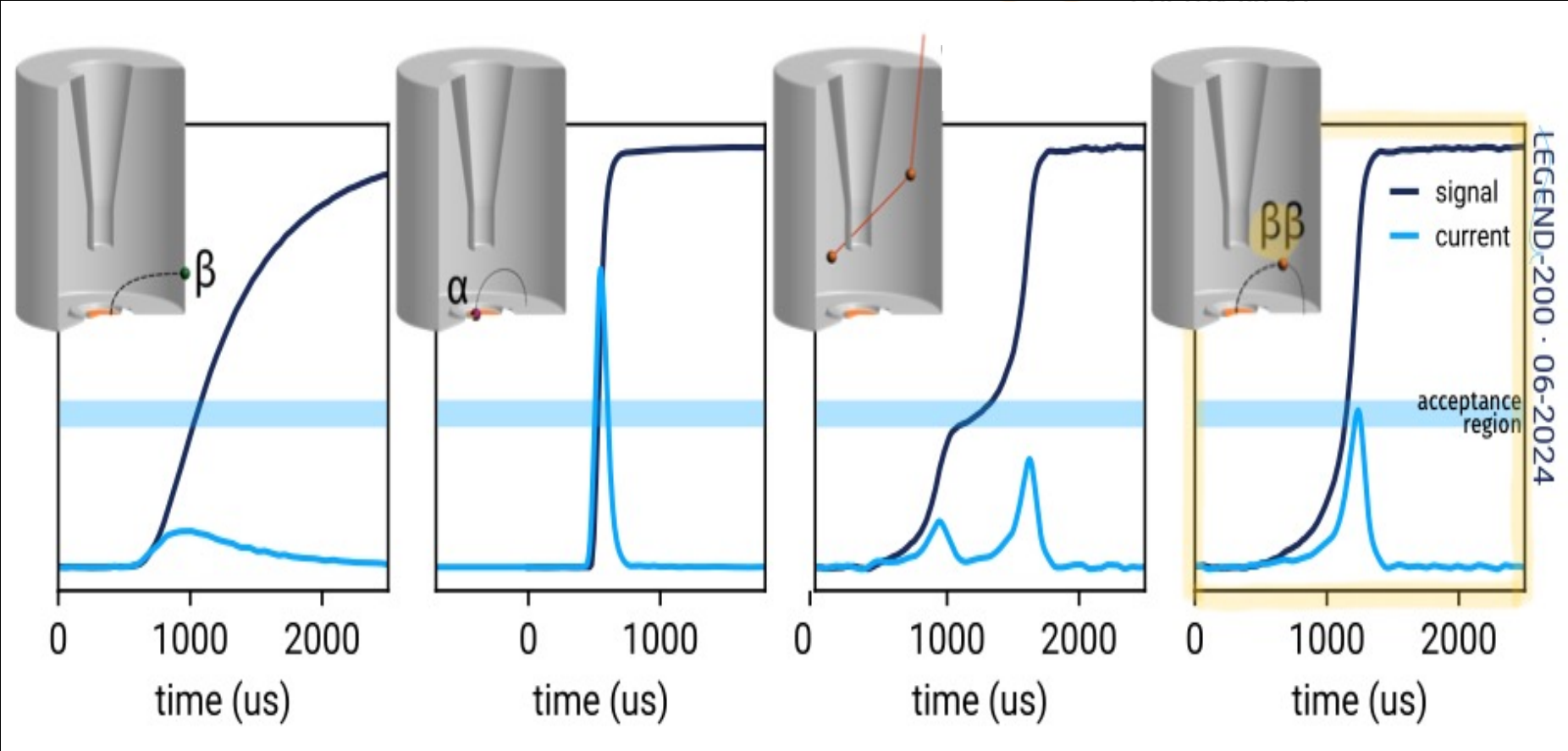
$\gamma$  event, vetoed by activity in LAr

segmentation

Pulse shape discrimination

External veto

# Background suppression

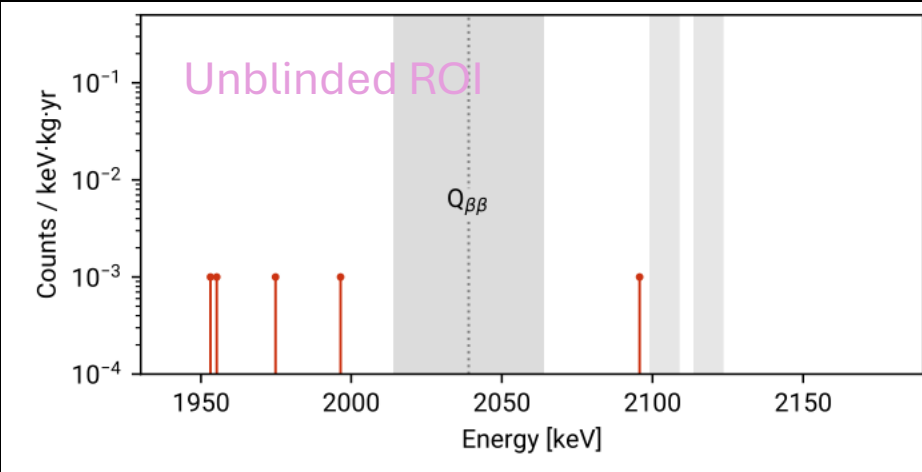
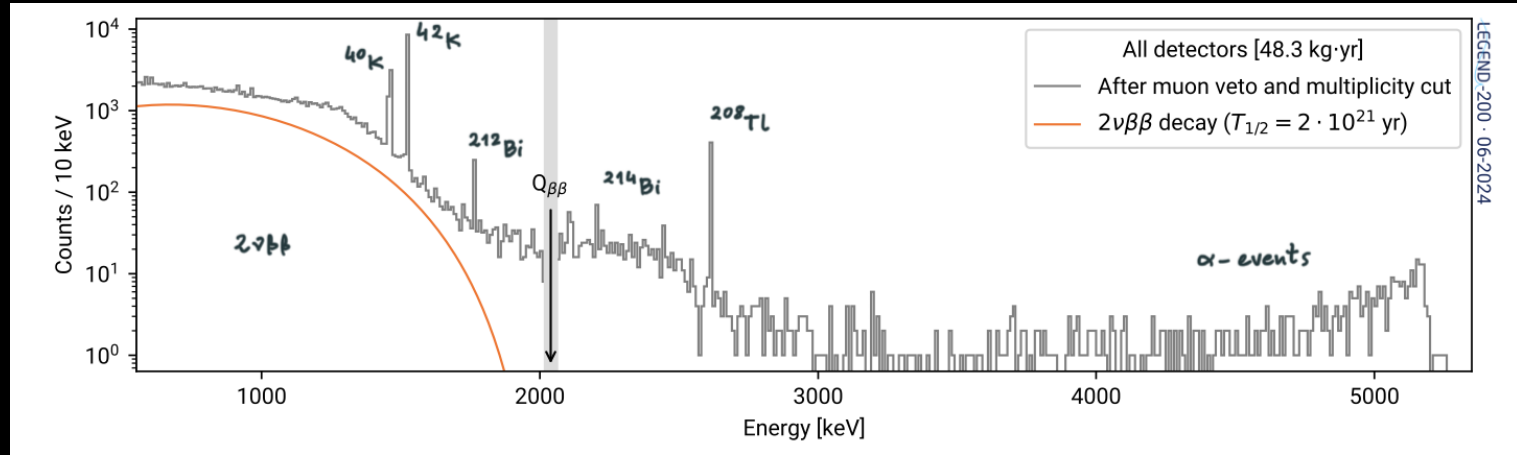


PSD – classify on  
max current  
*Energy*

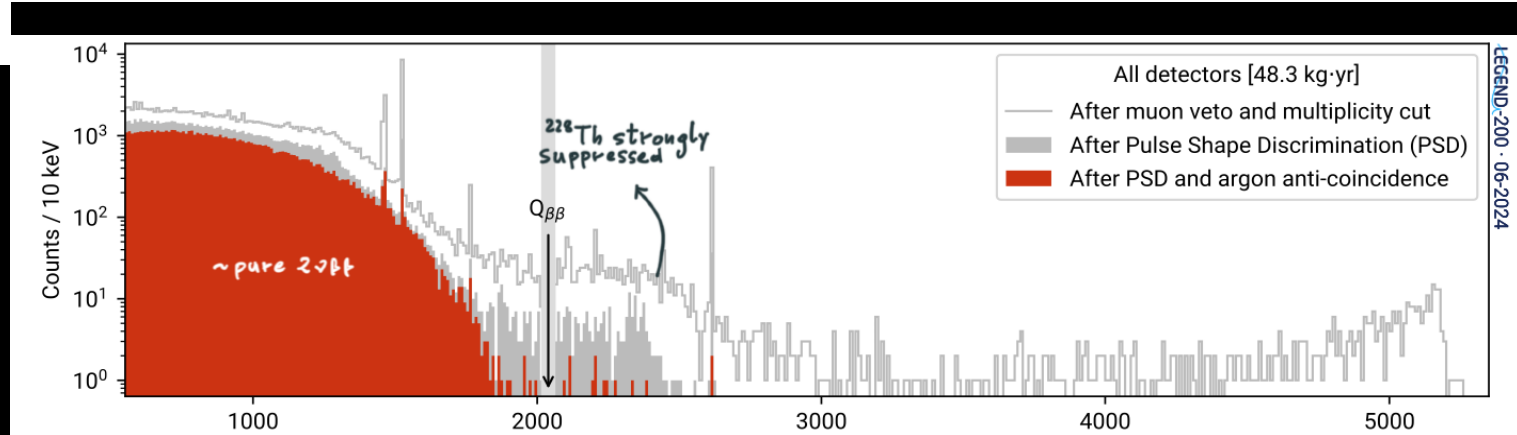
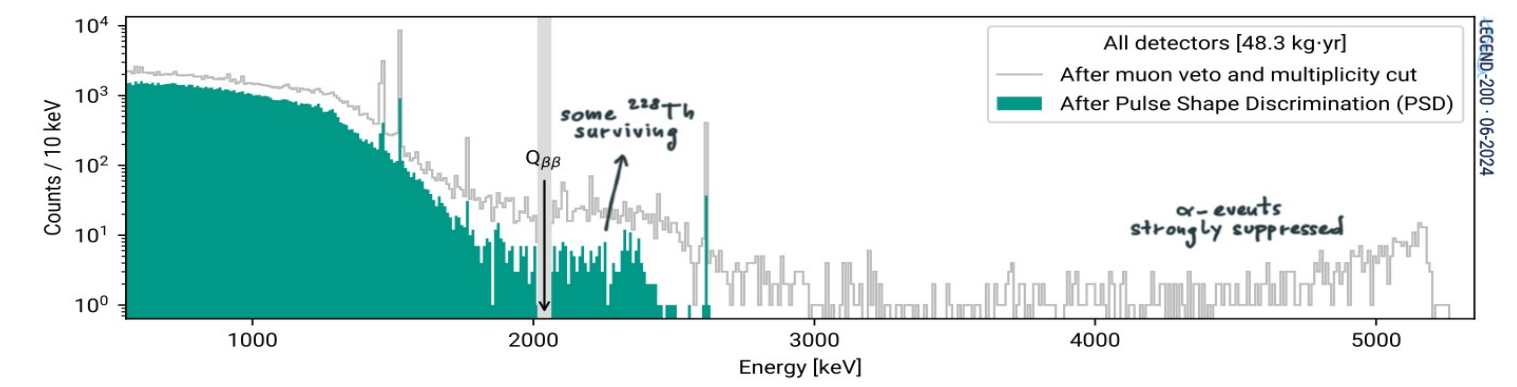


# LEGEND-200 data

After muon veto and multiplicity cut



After Argon anti-coincidence



# Experiment Examples

- Not a complete list
- Use these examples to illustrate the different backgrounds and challenges

Liquid scintillator

$^{136}\text{Xe}$ ,  $^{130}\text{Te}$

KamLAND-Zen

SNO+

TPC

$^{136}\text{Xe}$

nEXO, NEXT

Cryogenic  
Bolometer

$^{130}\text{Te}$ ,  $^{82}\text{Se}$

$^{130}\text{Te}$ ,  $^{82}\text{Se}$

CUORE, CUPID

Semi-conductors

$^{76}\text{Ge}$

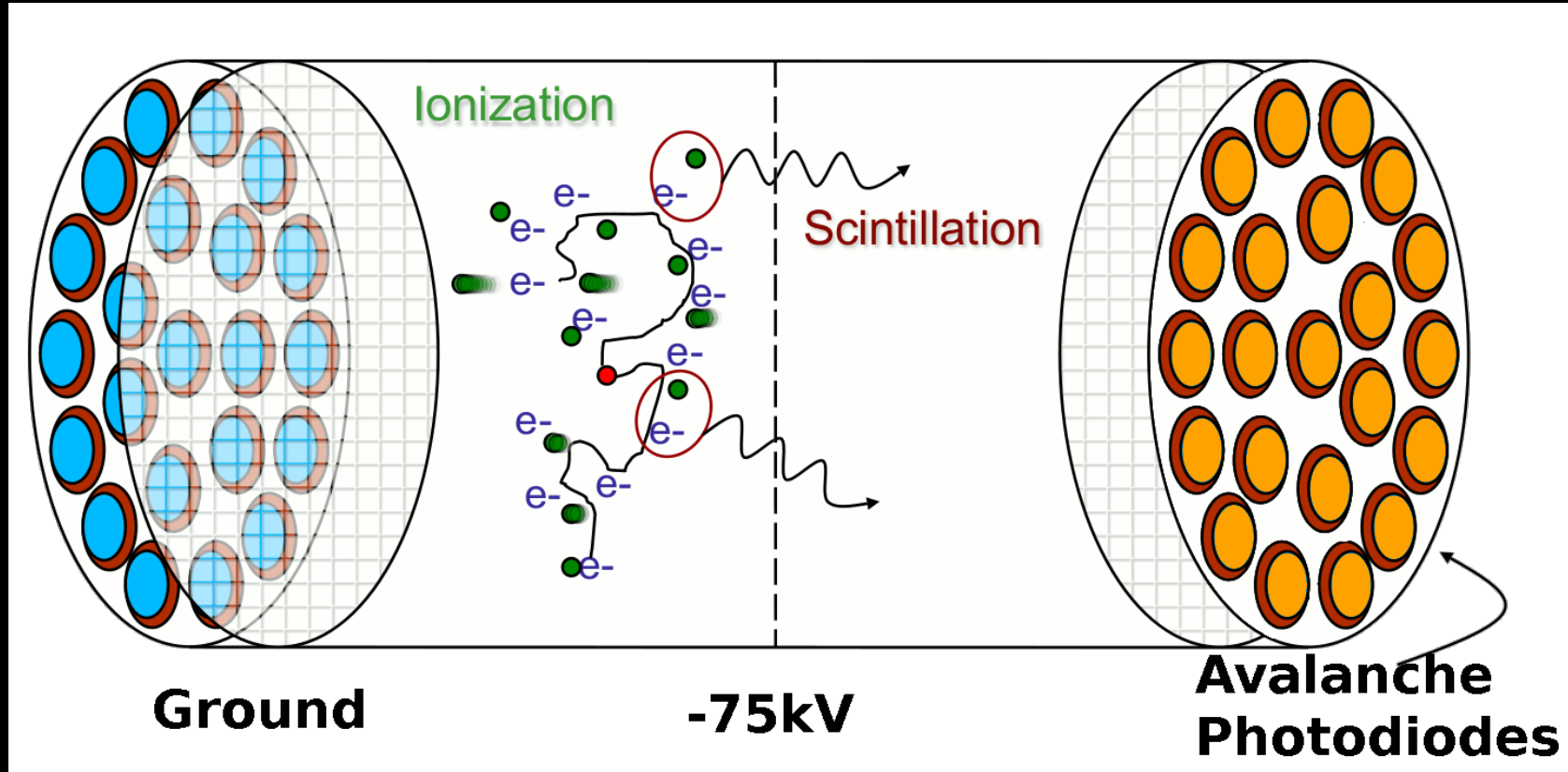
LEGEND

Tracking  
calorimeters

$^{82}\text{Se}$

SuperNEMO

# Xe TPCs



Charged particles produce light through scintillation and ionized track

Drift electrons to anodes – charge collection at wire grids

Simultaneous measurement of light and charge for better energy resolution and PID

## Xe Liquid or Gas

- high pressure better as more isotope
- Requires cryogenics →  $\sim -95^{\circ}\text{C}$
- Enrichment of  $^{136}\text{Xe}$

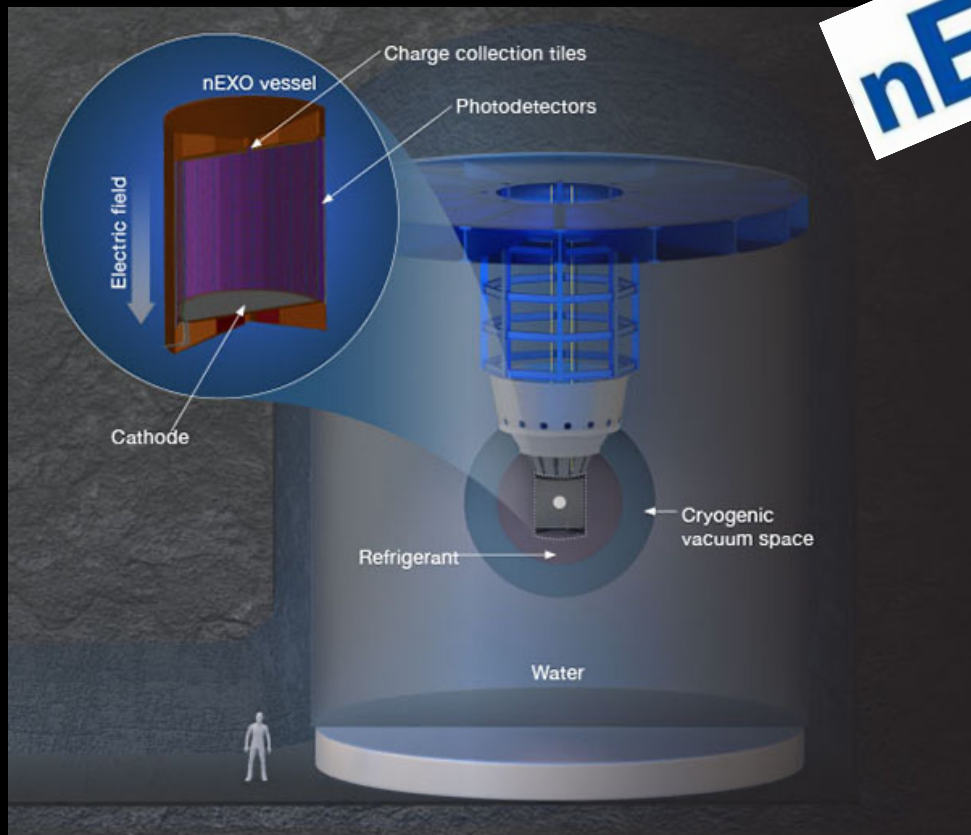
EXO-200

200kg Liquid Xe TPC (80% enrichment)

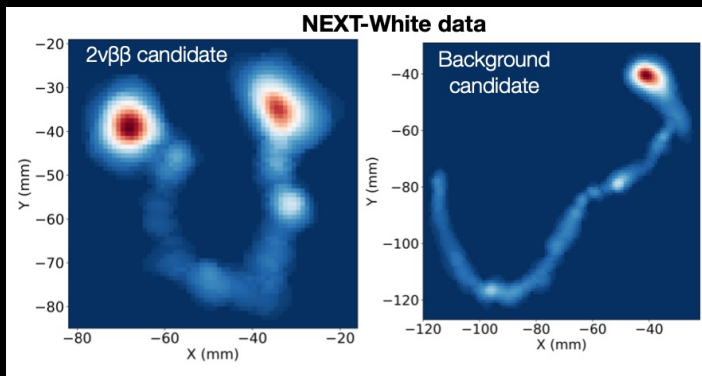
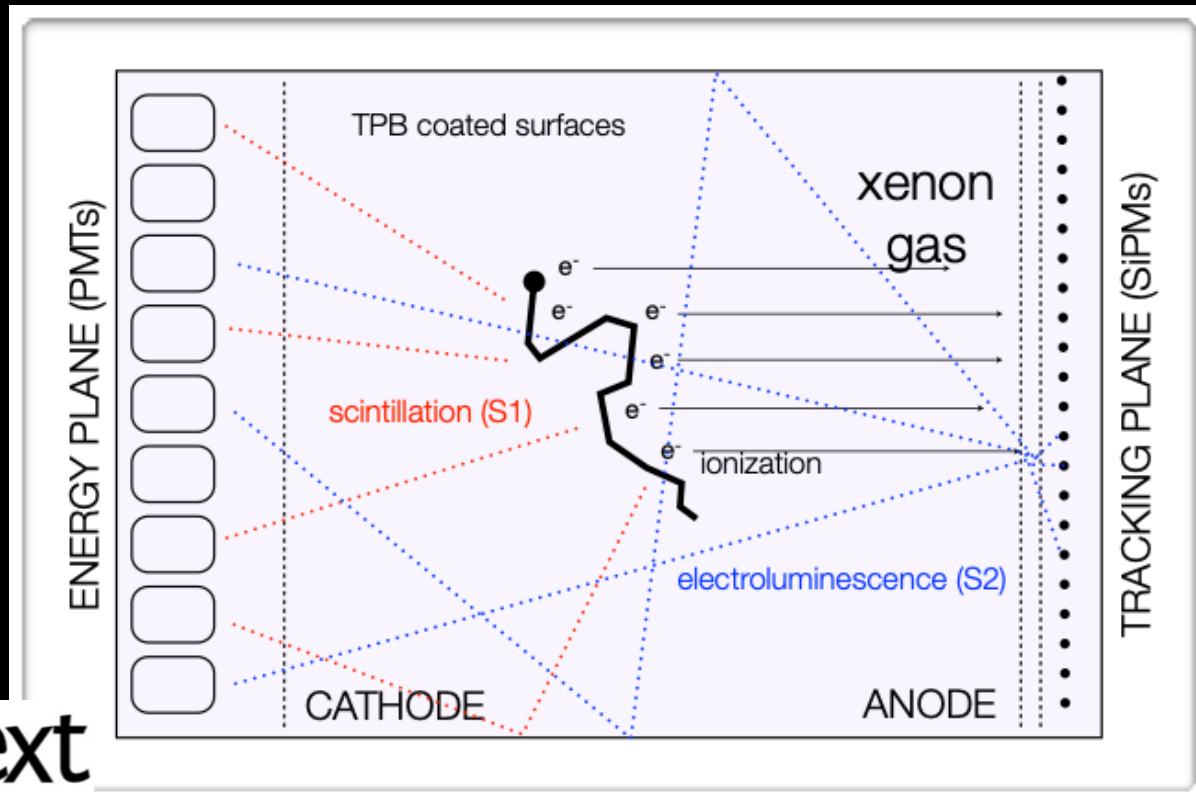
Central photo-cathode  
Phys. Rev. Lett. 123, 161802

$T_{1/2} > 3.5 \times 10^{25} \text{ y}$  (90% C.L.)

# Xe TPCs



<https://nexo.llnl.gov/nexo-overview>

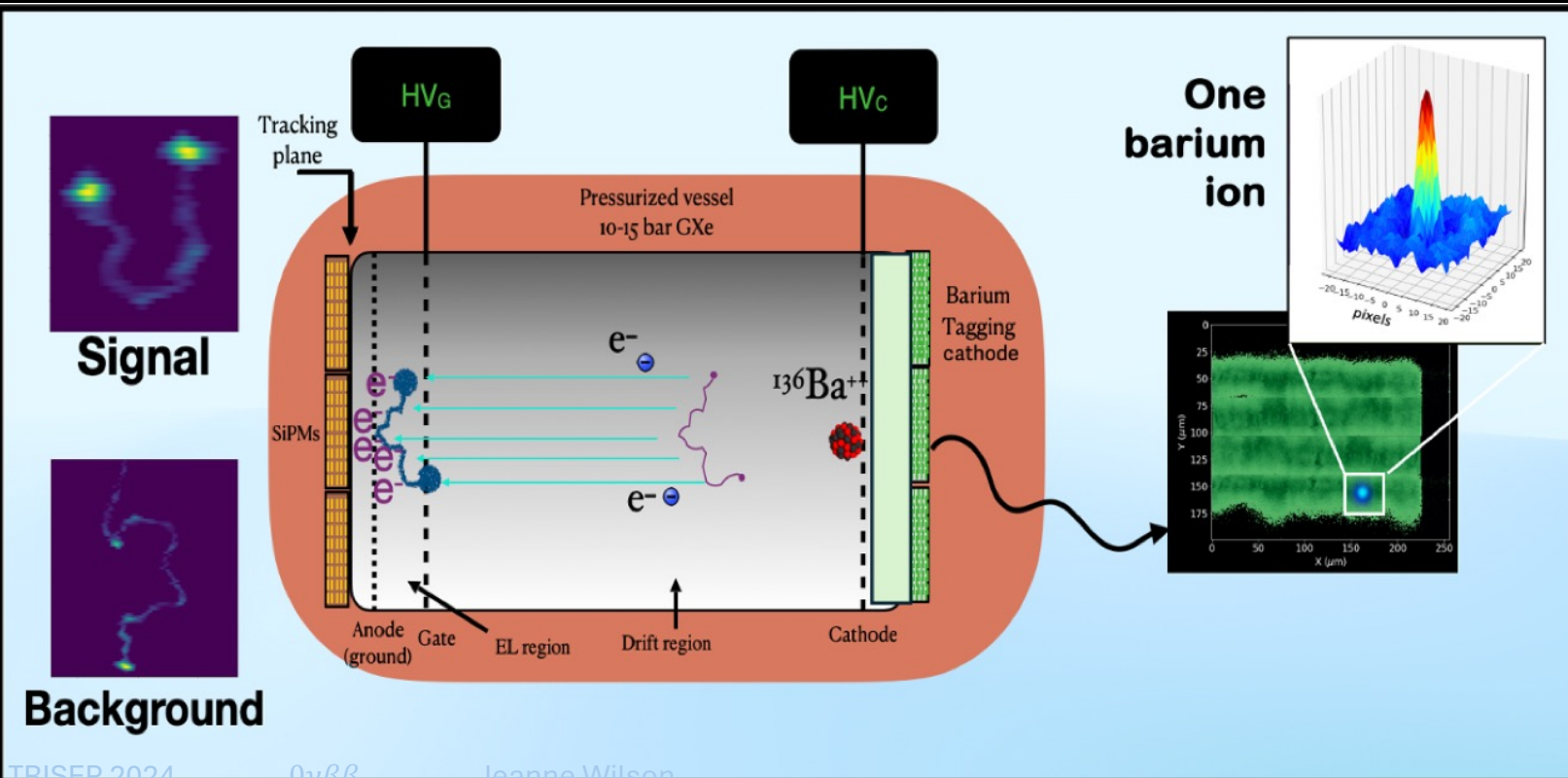
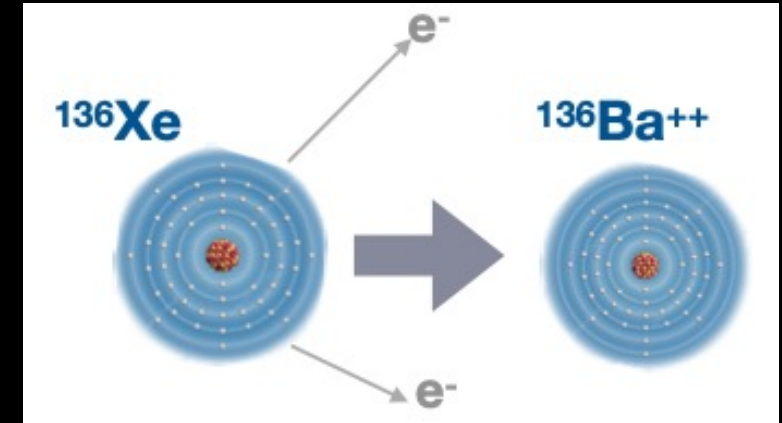


High pressure Xe gas TPC with electroluminescence

Topological signal/background separation

# $^{136}\text{Xe}$ daughter tagging

The  $\text{Ba}^{++}$  ion is only produced from  $\beta\beta$  decay  
If this can be tagged, distinguish  $\beta\beta$  from all other radioactive events



Active R&D:

- Drift ++ ion to cathode
- Trap Ba – eg. cryogenic probe
- chemical sensors/ fluorescence

Eg <https://www.nature.com/articles/s41586-019-1169-4>

# Experiment Examples

- Not a complete list
- Use these examples to illustrate the different backgrounds and challenges

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LEGEND

Tracking  
calorimeters

$^{82}\text{Se}$

SuperNEMO

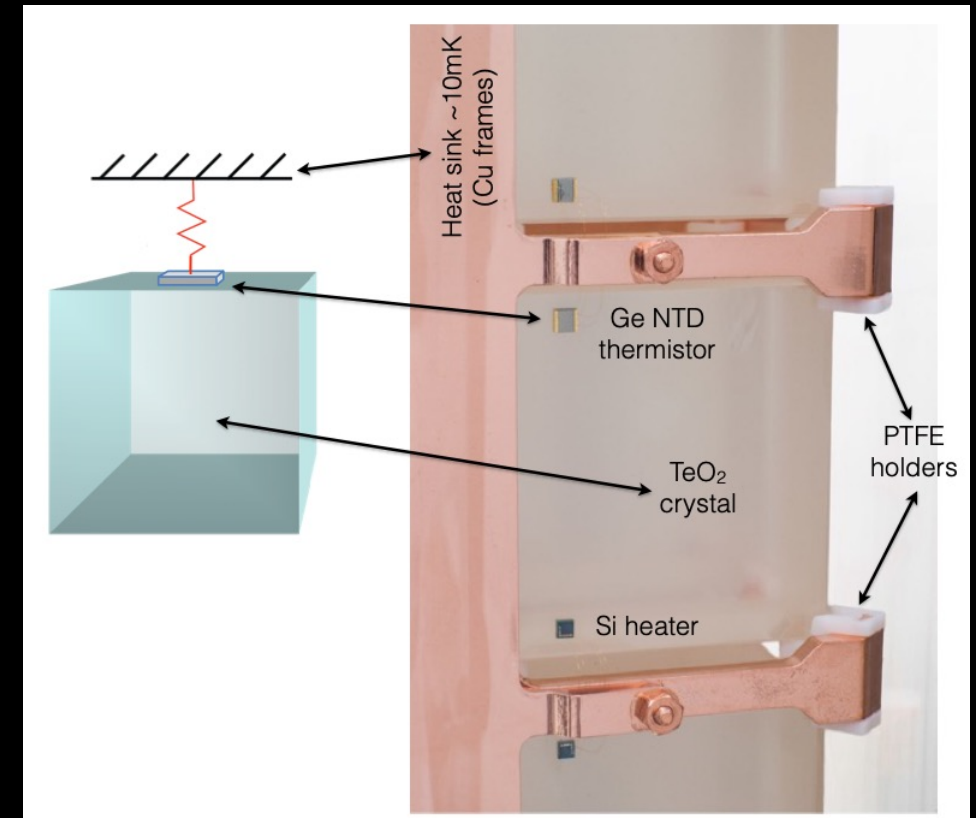
# CUORE



- Closely packed array of 988  $\text{TeO}_2$  crystals (750g each) working as cryogenic calorimeters
- Absorbed energy converted into temperature variation of crystal, measured by thermistor
  - Energy resolution  $\sim 0.3\%$  *FWHM*

## Challenges:

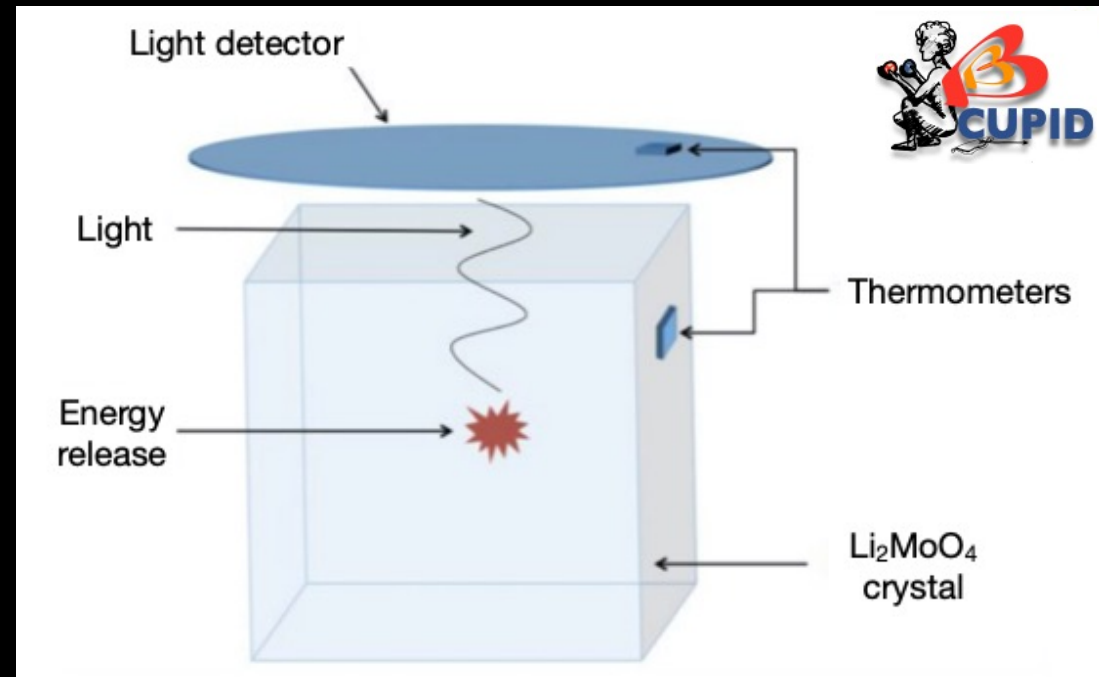
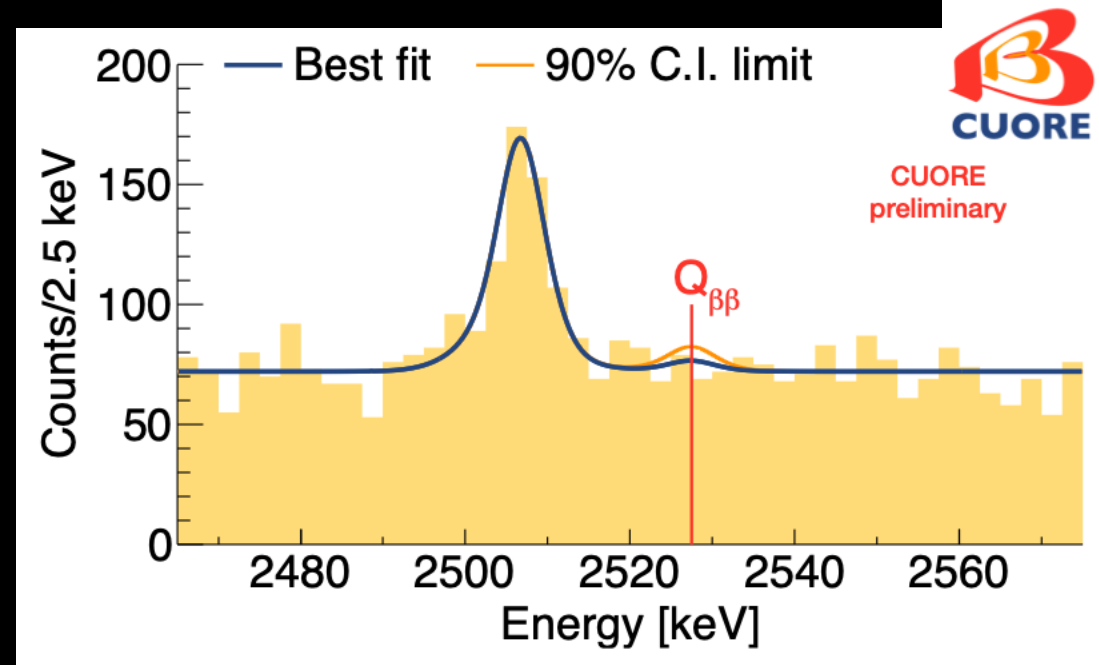
- operating temperature  $\sim 10\text{mK}$ !
- Surface backgrounds
- Sensitive to vibrations and seismic activity
  - Correlation between storms and low f noise
  - Sea waves!



# CUPID

## CUORE Upgrade with Particle Identification

- $\text{Li}_2\text{MoO}_4$  crystals enrich to 95%  $^{100}\text{Mo}$
- Higher  $Q_{\beta\beta}$  for reduced  $\gamma/\beta$  backgrounds
- Both temperature and light detection for PID – rejection of surface  $\alpha$ s





# Experiment Examples

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LEGEND

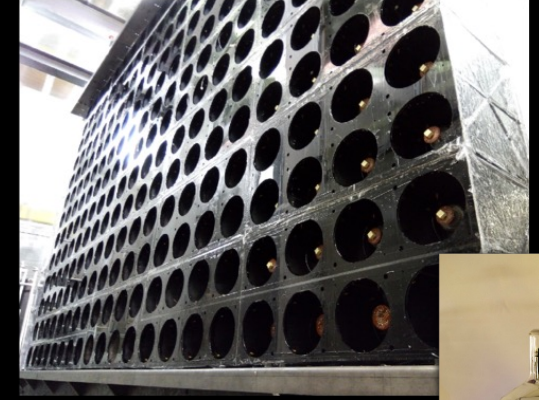
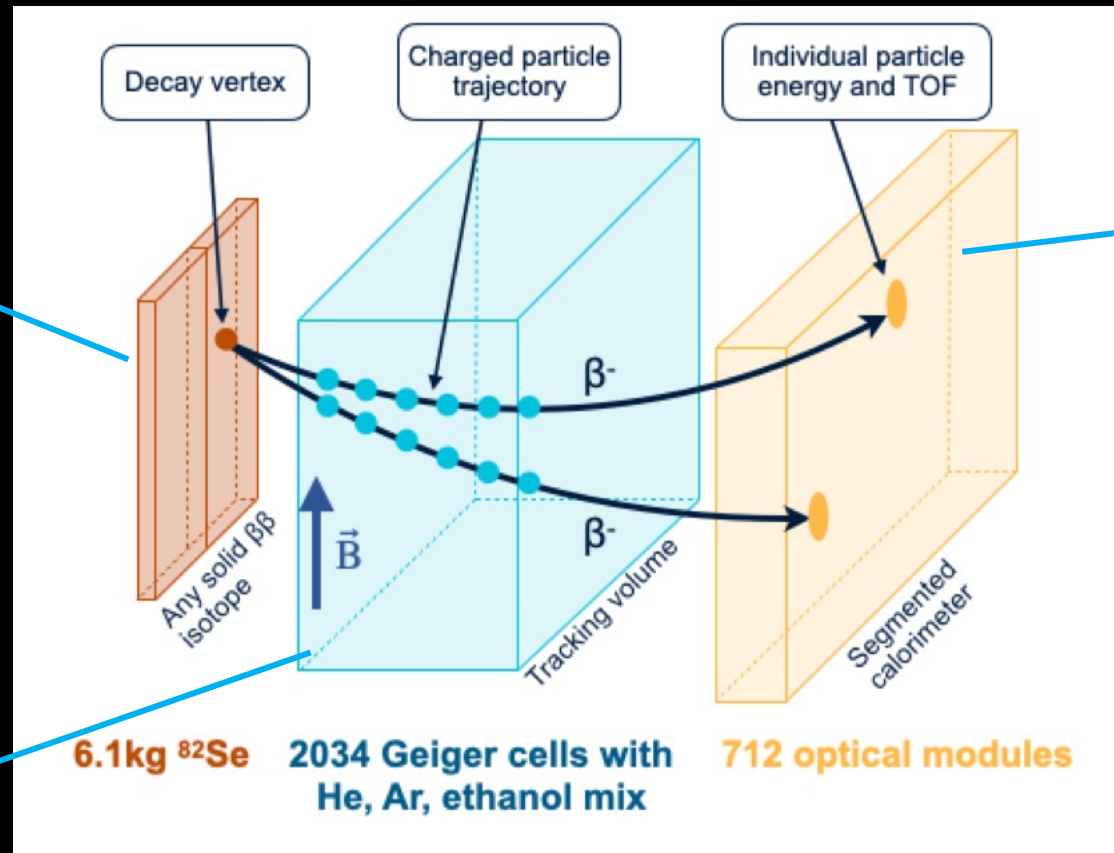
Tracking  
calorimeters

$^{82}\text{Se}$

SuperNEMO

# SuperNEMO

- An isotope agnostic technique to distinguish individual particles, and probe  $0\nu\beta\beta$  mechanisms and nuclear effects

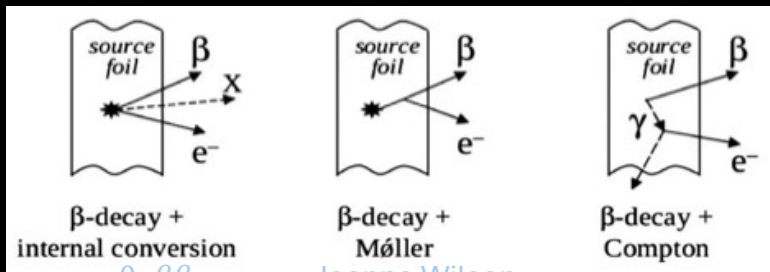
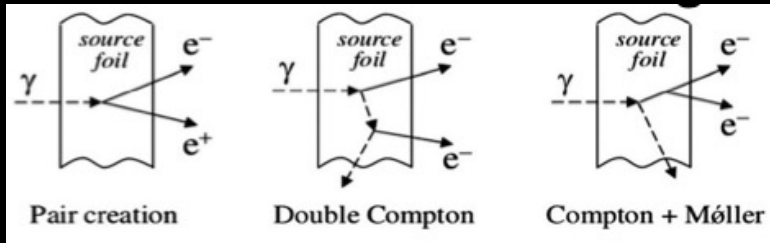
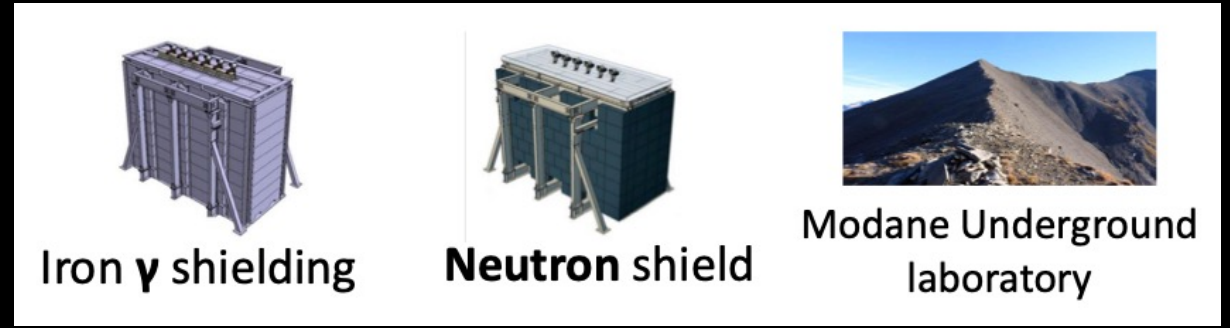


$1.8\% \sigma/E$  at 3MeV



# SuperNEMO shielding

- Source  $\neq$  detector
- Great care with incoming backgrounds
  - U & Th in lab rock walls  $\rightarrow$  shielding
  - Radon in lab air  $\rightarrow$  anti-radon system



# Experiments

- There are many experimental contenders
- Different strengths and weaknesses / challenges
- Require significant investment and international collaboration
- Complementarity important for discovery:
  - Different isotopes
  - Different techniques / locations

# Top Trumps

- SNO+
- KamLAND-Zen
- LEGEND
- nEXO
- NEXT-HD
- NEXT-BOLD
- CUORE
- CUPID
- SuperNEMO
- AMORE
- PANDA-X
- Selena
- EXO-200
- Gerda
- Majorana demonstrator
- NEMO-3

<https://tinyurl.com/BBTopTrump>



Name:

Isotope:

Method:

Main challenges:

Q-value / Phase Space:

Mass of Isotope:

Background Index:

Discovery Sensitivity:

Start of Data Taking:

Special Features:

<https://tinyurl.com/BBTopTrumps>



# Summary – lecture 2

- How the rate of  $0\nu\beta\beta$  relates to neutrino mass
  - Matrix element uncertainties
- How the rate of  $0\nu\beta\beta$  relates to experiment design
  - Choice of isotope, mass
- Experimental challenges
  - Backgrounds! Backgrounds! Backgrounds !
- Experiment Examples
  - Liquid scintillator: isotope loading, reconstruction, background rejection
  - HPGe: PSD and energy resolution, readout
  - Xe TPCs: Track information, daughter tagging
  - Bolometers: cryogenics, surface backgrounds
  - Separate source, tracking + calorimetry: shielding and radon suppression