

NEUTRINO SCIENCE 1

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PRESENTATION

- Head and founder of the LIP Neutrino Physics group
- Research in Neutrino Physics since 1996, HEP 2004 2017
 - Borexino @ Gran Sasso, Italy (1996-2003)
 - SNO (since 2002)
 - SNO+ (since 2004)
 - ATLAS (2004-2017)
 - DUNE (since 2018)



Research scientist at LIP - Laboratório de Instrumentação e Física Experimental de Partículas (www.lip.pt), Portugal. Adjunct professor at Univ. of Lisbon (2011-2019)

> MANY THANKS FOR THE INVITATION TO SUSI IT'S GREAT TO BE HERE!



OVERALL PLAN OF THE 5 LECTURES

1.Neutrinos in the Standard Model. 2.Neutrino interactions, detectors. Solar and atmospheric neutrino problems. 3. Neutrino oscillations in 2 flavors. SNO and SK. 4. Neutrino oscillations in 3 flavors. Future experiments. Cosmology and Astrophysics.

- Theory and experiment will be strongly mingled.
- Every lecture will have some of both.



- 5. Theory and search for neutrino masses. Neutrinoless double-beta decay. Neutrinos in



PLAN FOR LECTURE 1

- Intro and basic properties
- Neutrinos in the Standard Model
 - The key experiments
 - Cowan/Reines, Davis, Wu, helicity
 - Steinberger, neutral currents
 - LEP Z decay, Donut
 - Recap of the SM, electroweak side
 - Parity, helicity, chirality
 - Massive bosons





INTRODUCTION

NEUTRINO PROPERTIES



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Spin $1/2 \rightarrow$ Fermions.

Electrically neutral.

In fact, the only neutral fermions.

• "Strongly" neutral, like the other leptons.

"Weakly" charged, interact via W, Z bosons.

Three active flavours. Full parity violation.

• "Gravitationally" charged (presumably).

Their "inertial" mass is small, gravitational effects are expected but no evidence yet.







- Multiple natural and human-made sources. Second most abundant particle.



Span over 20 orders of magnitude in energy and cross-section. Over 40 in flux!



BIG DISCOVERIES, RIGHT HERE



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Solving the puzzles of solar (SNO) and atmospheric (SuperK) neutrinos • Neutrino oscilations, one of the two biggest discoveries in particle physics in the last decades! "For the greatest benefit to mankind" **2015 NOBEL PRIZE IN PHYSICS** Takaaki Kajita Arthur B. McDonald











NEUTRINO MASS, WHY SO TINY?

Fermion masses



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Mass directly related to Higgs interaction, confirmed > 100 MeV



NEUTRINO MASS, WHY SO TINY?

Do neutrinos couple to Higgs in the same way as charged fermions?



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NEUTRINOS AS PROBES



- Where do ultra-high energy cosmic rays come from?
- How do Supernovae explode?
- How does the Sun shine?
- How does the Earth heat?
- Is that nuclear reactor on?





NEUTRINO PHYSICS IS BOOMING

Papers with the word "neutrino" in the title*

600





* surpassed "electron", "proton", "LHC"



THE KEY EXPERIMENTS

HIPOTHESIS AND DISCOVERY



BETA DECAY MISTERY



Lines from internal conversion of gammas



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Energy-momentum conservation for two-body decay leads to fixed lines, like in α and γ decays Key measurements by Chadwick in Berlin, 1914, using magnetic deflection and a Geiger counter.





continuous der β Ida – 60 spectrum 20 6000 8000

Letter to Rutherford, 1914: "There is probably some silly mistake somewhere." Niels Bohr: energy may not be conserved, or only on average

PAULI'S NEUTRINO HYPOTHESIS

- Dear Radioactive Ladies and Gentlemen
- The continuous beta spectrum would then become understandable by the assumption that in beta decay a neutron is emitted in addition to the electron such that the sum of the energies of the neutron and the electron is constant...



Unfortunately, I cannot personally appear in Tübingen since I am indispensable here in Zürich because of a ball on the night from December 6 to 7.



Offener Brief an die Gruppe der Radioaktiven bei der Gauvereins-Tagung zu Tubingen.

Abschrift

Physikalisches Institut der Eidg. Technischen Hochschule Zurich

Zürich, 4. Des. 1930 Gloriastrasse

Liebe Radioaktive Damen und Herren,

Wie der Ueberbringer dieser Zeilen, den ich huldvollst anzuhören bitte, Ihnen des näheren auseinandersetzen wird, bin ich angesichts der "falschen" Statistik der N- und Li-6 Kerne, sowie des kontinuierlichen beta-Spektrums auf einen verzweifelten Ausweg verfallen um den "Wechselsats" (1) der Statistik und den Energiesats zu retten. Nämlich die Möglichkeit, es könnten elektrisch neutrale Teilchen, die ich Neutronen nennen will, in den Kernen existieren, welche den Spin 1/2 haben und das Ausschliessungsprinzip befolgen und alen von Lichtquanten zusserdem noch dadurch unterscheiden, dass sie micht mit Lichtgeschwindigkeit laufen. Die Masse der Neutronen insste von derselben Grossenordnung wie die Elektronenmasse sein und jedenfalls nicht grösser als 0,01 Protonenmasse.- Das kontinuierliche bete Spektrum wäre dann verständlich unter der Annahme, dass beim bete-Zerfall mit dem Elektron jeweils noch ein Neutron emittiert Mird, derart, dass die Summe der Energien von Neutron und Elektron konstant ist.

beta decay





Wolfgang Pauli Nobel 1945, for the exclusion principle]







FERMI'S THEORY OF BETA DECAY

- Contact interaction between four fermions: n, p, e and vfirst inclusion of neutrino in a physics theory

 - neutron and proton as isospin states
- spin considered for all, but not parity violation (yet)

$\mathcal{M}_{fi} = G_{\rm F} g_{\mu\nu} [\overline{\psi}_3 \gamma^{\mu} \psi_1] [\overline{\psi}_4 \gamma^{\nu} \psi_2],$

- Intensity given by coupling "Fermi constant", determined from experimental decay rates
- Main problem: cross sections grow with energy "forever"
- This is a problem at high energies, solved later by the presence of the massive W and Z bosons





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 $\sigma pprox G_{
m F}^2 E^2$



INDIRECT HINTS FOR NEUTRINOS

• Measurement of nuclear recoil T in electron capture decay

$$^{37}Ar + e^- \rightarrow ^{37}Cl + \nu_e$$

• Two-body final state, T_{Cl} well-defined (Q=816 keV, T_{Cl} = 9.67 eV):

$$T_{Cl} = \frac{E_{\nu}^2}{2m_{CL}} \approx \frac{Q^2}{2m_{CL}}$$

Still, not direct evidence ...

RODEBACK, ALLEN, PR 86, 446 (1952)



Recoil experimentally measured, so there must be a second particle in final state



PONTECORVO IN CANADA

- How to actually be sure?
- "Direct proof of the existence of the neutrino [...], must be based on [a process] produced by free neutrinos [...]"
- He described the generic features of "Inverse β Processes", and suggested a specific one:

$$\nu_e + {}^{37}Cl \rightarrow {}^{37}Ar + e^-$$

giving details on radiochemical method (later used by Davis), backgrounds, cross sections, etc Assumed Sun and nuclear reactors as sources (no distinction between neutrinos and antineutrinos)

Chalk River, early connection of Canada to neutrino physics! B. PONTECORVO, INVERSE BETA PROCESS, CHALK RIVER REPORT (1946)



Bruno Pontecorvo [no Nobel] life is very unfair! more on him later...





DAVIS' FIRST CHLORINE EXPERIMENT

- Are neutrinos and antineutrinos different? • If so:
 - $\nu_e + {}^{37}Cl \rightarrow {}^{37}Ar + e^-$ and $\bar{\nu}_{e} + {}^{37}Cl \rightarrow {}^{37}Ar + e^{-}$ are different reactions •
 - Ray Davis used Pontecorvo's radiochemical method to search for ³⁷Ar production in a large tank of CCl₄ close to a nuclear reactor (emitting antineutrinos but not neutrinos).
 - No excess over background was observed. Upper limit on antinu cross section.
 - Conclusion: (if they exist...) Antineutrinos **#** Neutrinos !

R. DAVIS JR., PR 97, 3, 746 (1955)



Ray Davis Jr Nobel 2002 solar neutrinos more on him later











DISCOVERY OF THE NEUTRINO



& Clyde Cowan

- sensitive to antineutrinos from reactors delayed time coincidence between positron and neutron allows
- Inverse beta decay process $\bar{\nu}_e + p \rightarrow n + e^+$ background suppression
- Detector:
- Target: water (provides many free protons) loaded with Gadolinium (captures neutrons)
- Surrounded by liquid scintillator modules observed by PMTS Cosmic ray shielding
- - (a)Hanford: none (too much background) @Savannah River: 12 m was enough...





Positron scope

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Neutron scope



Frederick REINES and dyle COVAN Box 1663, LOS ALAMOS, New Merico Thanks for menage . Everything come to him who know how to wait.

Pauli





HANFORD REACTOR, WASHINGTON STATE (PRODUCED PLUTONIUM FOR MANHATTAN PROJECT)



SAVANNAH RIVER REACTOR, SOUTH CAROLINA (SITE ALLOWED 12 M SHIELDING)



PARITY AND HELICITY

PARITY VIOLATION IN WEAK DECAYS

- Electromagnetic and strong interactions are invariant with respect to parity, i.e., the inversion of spatial coordinates Are weak interactions invariant too, or not?
- Lee and Yang proposed a test based on comparing rates of parity-reversed configurations of beta decays
 - Vectors change sign (e.g. position, momentum) $\vec{r} \stackrel{\hat{P}}{\longrightarrow} -\vec{r}$ $\vec{p} \xrightarrow{P} -$
 - Axial vectors remain unchanged (e.g., angular momentum)



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$$-\vec{p}$$
 $(p_x = \frac{\partial}{\partial x}, etc.)$



C. Yang, T. Lee [Nobel 1957]

 $\vec{L} \xrightarrow{\hat{P}} \vec{L} \qquad (\vec{L} = \vec{r} \wedge \vec{p}) \quad \vec{\mu} \xrightarrow{\hat{P}} \vec{\mu}$ $(\vec{\mu} \propto \vec{L})$

> If parity is conserved in weak decays, rate should be the same for electrons in the same direction and opposite the nuclear spin (magnetic field)













WU EXPERIMENT



- - polarity

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$$Ni^* + \bar{\nu}_e + e^-$$

 $\rightarrow {}^{60}Ni + \gamma + \gamma$

Cobalt nuclei spin aligned with strong magnetic fields Cryo-cooled to keep it so Checked by measuring

asymmetric gamma distribution Measured beta decay asymmetry correlated to gamma asymmetry changes sign according to B field





HELICITY OF THE NEUTRINO

- Helicity = projection of spin on momentum
- Observe electron capture decay of a spin 0 nucleus Momentum 0

 $^{152m}Eu + e^- \rightarrow ^{152}Sm^* + \nu_e \rightarrow ^{152}Sm^*$ **0** ¹/₂ **1** - ¹/₂ Spin **0** - ¹/₂ - **1** ¹/₂

- another ¹⁵²Sm nucleus; opposite direction, not enough energy for excitation
- that the photon and the ${}^{152}\text{Sm}^*$ are aligned. In this case $H(v) = H(\gamma)$
- helicity. Use this to select left (H=-1) and right-handed (H=+1) photons



$$\int \frac{1}{2} \frac$$

$$H \equiv \frac{\vec{\sigma} \cdot \vec{p}}{|\vec{\sigma}| \cdot |\vec{p}|}$$

 $H(\mathbf{v}) = H(^{152}\mathrm{Sm}^*)$ Are both these spin states possible?

• Photon direction: if aligned with ¹⁵²Sm^{*}, extra recoil energy, can resonantly excite • So, if we observe the production (and decay) of the second ¹⁵²Sm nucleus, we know • And the photon helicity? Photon absorption in magnetized iron depends on their





GOLDHABER'S EXPERIMENT



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of γ helicity

Result: higher count rate with magnetic field down. • Similar (opposite) results for antineutrino experiments



Maurice Goldhaber, 1957

NEUTRINOS ARE LEFT-HANDED AND ANTINEUTRINOS ARE **RIGHT-HANDED!**







FLAVOUR

MUON DECAY

$$\mu^- \rightarrow e^- + \nu$$
 or

- Measurement of the energy spectrum of the electrons from muon decay
 - Fixed energy: two-body decay
 - Continuous energy: three-body decay



electron range

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$\mu^- \to e^- + \bar{\nu_e} + \nu_{\mu}$

- Only one neutrino emitted in beta decay
- Not one, but two neutrinos emitted in muon decay



J. STEINBERGER, PHD THESIS, 1949







NEUTRINOS FROM ACCELERATORS

- Almost all produce muon neutrinos from pion decay (from protons hitting target)
 - Can switch between neutrinos and antineutrino by flipping the magnetic field polarity
 - Energy can be tuned by tuning proton energy and magnetic field intensity

NEUTRINO BEAM, AGS, BROOKHAVEN (1962) Proton beam







DISCOVERY OF MUON NEUTRINOS

Are the neutrinos produced with muons the same as those produced with electrons? I.e. are $v_{\mu} = v_e$?

Spark chamber tracks:



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DISCOVERY OF TAU NEUTRINOS

- DONUT experiment@Fermilab (2000)
- High energy needed to produce D_S meson
- Beam with same amounts of v_{τ} , v_{μ} , v_{e}



- Tau leptons very short lived (0.29 ps)
 - Sub-mm resolution needed to identify decay kink
 - Emulsion technique

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LEPTON UNIVERSALITY

Is the weak coupling constant the same for all three lepton families ?



$$Br(\tau^- \to e^- \overline{\nu}_e \nu_\tau) = 0.1783(5) \text{ and } Br(\tau^- \to \mu^- \overline{\nu}_\mu \nu_\tau) = 0.1741(4),$$

$$m_\mu = 0.1056583715(35) \text{ GeV} \qquad \text{and} \quad \tau_\mu = 2.1969811(22) \times 10^{-6} \text{ s},$$

$$m_\tau = 1.77682(16) \text{ GeV} \qquad \text{and} \quad \tau_\tau = 0.2906(10) \times 10^{-12} \text{ s}.$$

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Identical vertices for $W\tau v_{\tau}$, $W\mu v_{\mu}$, Wev_{e}







NEUTRAL CURRENTS

$$\nu_{\mu} + e^- \rightarrow \nu_{\mu} + e^-$$

 $\nu + X \rightarrow \nu + X'$ (no leptons!)

Neutrino reactions with no charge exchange, a key prediction of the electroweak model (late 60's) Observed at CERN in 1973 with the magnetized bubble chamber Gargamelle



Neutrino beam

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- Rate as expected for v_{μ} and $\overline{\nu_{\mu}}$.
- Measured Weinberg angle.



Z BOSON WIDTH AND 3 FLAVOURS





- LEP collider produced vast amounts of Z bosons at cm energies close to pole $\Gamma_{Z} = \Gamma_{ee} + \Gamma_{\mu\mu} + \Gamma_{\tau\tau} + \Gamma_{hadrons} + \Gamma_{\nu_{e}\nu_{e}} + \Gamma_{\nu_{\mu}\nu_{\mu}} + \Gamma_{\nu_{\tau}\nu_{\tau}}$
- Total width Γ from Z->qq resonance, partial widths from specific decays
- N_v = number of light neutrino families

 $\Gamma_{\rm Z} = 3\Gamma_{\ell\ell} + \Gamma_{\rm hadrons} + N_{\rm v}\Gamma_{\rm vv}$

$$N_{\rm v} =$$

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NEUTRINOS IN THE STANDARD MODEL

NEUTRINO PROPERTIES

- The SM was built from experiment (and some assumptions...)
 - Fermi's beta decay theory should be its low energy limit
 - Neutrinos are neutral, spin 1/2, massless (a widely shared assumption, at the time)
 - Complete parity violation
 - Neutrinos are left-handed, antineutrinos are right-handed fermions
 - There is one (light) neutrino associated to each charged lepton, and no more
 - They have the same fundamental coupling constant
- Key aspects of the electroweak theory
 - Higgs boson
 - R neutrino fields are absent from theory.
 - Interaction terms only with W and Z bosons (massive)
 - From these one can obtain a "prescription" for Feynman rules



• $SU(2)_L \times U(1)_Y$ gauge principle; weak isospin I₃ and hypercharge Y, broken by the scalar

• Neutrinos are described by spinor fields. L neutrino fields are part of an isospin doublet,



FEYNMAN RULES FOR QED

u(p)

 $\overline{u}(p)$

 $\overline{v}(p)$

v(p)

 $ie\gamma^{\mu}$

Start by drawing all possible Feynman diagrams for the process Prescription to calculate Lorentz-invariant matrix element M_{fi} External lines

incoming particle outgoing particle spin 1/2 incoming antiparticle outgoing antiparticle incoming photon spin 1 outgoing photon Internal lines (propagators) photon spin 1 spin 1/2• fermion

fermion Vertices





Matrix element $-i M_{fi} = product of all factors$

Decay rate:
$$a \rightarrow 1$$

$$\Gamma = \frac{p^*}{32\pi^2 m_a^2} \int |\mathcal{M}_{fi}|^2 dx$$

Scattering differential cross section: $a + b \rightarrow c + d$

> p_f^* $\overline{\mathrm{d}\Omega^*} = \frac{1}{64\pi^2 s} \frac{f_j}{\mathbf{p}_i^*} |\mathcal{M}_{fi}|^2,$



+2











AND FOR WEAK INTERACTIONS?

- - Gauge bosons have negative parity

 - For Dirac spinors parity operator = γ^0 matrix







CHIRALITY AND HELICITY

- Eigenstates of the γ^5 matrix are the L and R chiral states
- Projection operators
- CC weak vertex
 - includes P_L!

$$\frac{-ig_w}{\sqrt{2}}\frac{1}{2}\gamma^{\mu}(1-\gamma^5)$$

- P_L projects left component in weak cur
- Only left-handed chiral components participate in charged weak interactions!
- From properties of Dirac spinors, the helicity eigenstate is

$$u_{\uparrow} \propto \frac{1}{2}(1+k)u_R + \frac{1}{2}(1-k)u_L$$

- In the relativistic case E >> m: $k \sim 1$, so chiral states are helicity eigenstates
- Recover the experimental result that neutrinos are left-handed !



and R chiral states $P_{R} = \frac{1}{2}(1 + \gamma^{5}),$ $P_{L} = \frac{1}{2}(1 - \gamma^{5}).$ $\gamma^{5}u_{R} = +u_{R} \text{ and } \gamma^{5}u_{L} = -u_{L},$ $\gamma^{5}v_{R} = -v_{R} \text{ and } \gamma^{5}v_{L} = +v_{L}.$

rent:
$$\overline{\psi}_{\overline{2}}^{1}\gamma^{\mu}(1-\gamma^{5})\phi = \overline{\psi}\gamma^{\mu}\phi_{L} = \overline{\psi}_{L}\gamma^{\mu}\phi_{L}$$

articipate in charged weak interactions! helicity eigenstate is

$$k = \frac{p}{E+m}$$

o chiral states are helicity eigenstates eutrinos are left-handed !



WEAK MASSIVE BOSONS



Propagators

$$EM \quad \frac{-ig_{\mu\nu}}{q^2}. \qquad Weak \quad \frac{-ig_{\mu\nu}}{q^2 - m_W^2}.$$

When $q^2 << m_W^2$, becomes constant

$$i \frac{g_{\mu\nu}}{m_{\rm W}^2},$$

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Unlike the photon, W and Z are heavy bosons. They are short-lived and the interaction has a short range.

- Relation between Fermi and gw
- Obtain g_W , α_W

$$\alpha_W = \frac{g_W^2}{4\pi} = \frac{8m_W^2 G_F}{4\sqrt{2}\pi} = \frac{1}{4}$$

- Compare to $\alpha_{\rm EM} = 1/137$
- Weakness of weak interactions due to massive bosons (not true (a) high energies!)

ELECTROWEAK SYMMETRY

- Similarities between weak and EM interaction
 - W boson has EM charge

 - If chirality is "absorbed" in definition of states, vertex is similar $\overline{\Psi}_{\frac{1}{2}}\gamma^{\mu}(1-\gamma^{5})\phi = \overline{\Psi}_{L}\gamma^{\mu}\phi_{L}$ • Considering effect of massive propagator, coupling constant also similar
- Unification of EM and weak interactions
 - Quantum Field Theories based on imposing local symmetries on Lagrangian
 - From Noether's theorem, to each symmetry corresponds a conservation law
 - EM: U(1) symmetry, conservation of charge
 - QCD: SU(3) symmetry, conservation of color
 - Electroweak; SU(2)xU(1), conservation of weak isospin and hypercharge 0
 - Consequences of unification
 - Predict neutral Z boson
 - Masses of W and Z bosons, weak/EM coupling constants are all related (via Weinberg angle)

HIGGS AND MASS

NOTE: MUCH MORE ABOUT LAGRANGIANS, GAUGE, MASS TERMS IN LECTURE 4.

- Major problem of Higgs-less electroweak unification: mass Mass terms in Lagrangian are not gauge-invariant Need to introduce a scalar (spin-0) field Higgs field symmetry broken at "low" energies

- Interaction wth Higgs field gives mass
 - to W and Z bosons
 - to fermions, via terms like $-m\overline{\psi}\psi = -m(\overline{\psi}_R\psi_L + \overline{\psi}_L\psi_R)$

- But there are no right-handed neutrino fields (v_R) in the theory! No v_R interactions (weak, EM or strong). Neutrinos are massless in the Standard model! How can we extend it? Tune in for Lecture 4!

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