

NEUTRINO SCIENCE 2

SUSI 2024 SNOLAB UNDERGROUND SCIENCE INSTITUTE J U LY 22 - AU GU ST 2, 2024 SU DBU RY, C A NA DA

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OVERALL PLAN OF THE 5 LECTURES

- Theory and experiment will be strongly mingled.
- Every lecture will have some of both.

1.Neutrinos in the Standard Model. 2.Neutrino interactions, detectors. Solar and atmospheric neutrino problems. 3.Neutrino oscillations in 2 flavors. SNO and SK. 4.Neutrino oscillations in 3 flavors. Future experiments. Cosmology and Astrophysics.

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-
- 5.Theory and search for neutrino masses. Neutrinoless double-beta decay. Neutrinos in

PLAN FOR LECTURE 2

- Neutrino interactions with matter
	- Charged and neutral currents vertices
	- Kinematic considerations
	- Cross sections for lepton and nuclear interactions
- Detectors
- The Solar Neutrino Problem
	- Basics of the Solar Standard Model and production of neutrinos in the Sun
	- Radiochemical experiments: Chlorine & Gallium
	- Kamiokande-II
	- Astrophysical solutions ?
- The atmospheric neutrino anomaly

NEUTRINO INTERACTIONS

- only LH particles (RH antiparticles)
- similar for νμ, ντ.
- plus the diagrams for quarks

$$
j_{\text{du}}^{\mu} = -i \frac{g_{\text{W}}}{\sqrt{2}} V_{\text{ud}} \overline{\mathbf{u}} \gamma^{\mu} \frac{1}{2} (1 - \gamma^5) \mathbf{d}
$$

R E C A P, N E U T R I N O I N T E R A C T I O N S

Charged Current

$$
j_+^{\mu} = \frac{g_W}{\sqrt{2}} \overline{v} \gamma^{\mu} \frac{1}{2} (1 - \gamma^5) e
$$
 and $j_-^{\mu} = \frac{g_W}{\sqrt{2}} \overline{e} \gamma^{\mu} \frac{1}{2} (1 - \gamma^5) v$,

• Neutral Current

$$
g_{Z} = \frac{g_{W}}{\cos \theta_{W}} \equiv \frac{e}{\sin \theta_{W} \cos \theta_{W}}
$$

• Boson propagators

- \sim 1/m_W² or \sim 1/m_Z²
- \bullet (if $E<< m_W$)

 $\frac{m_{\rm W}}{m} = \cos \theta_{\rm W}.$ $m_{\rm Z}$

VERTICES

…

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REACTIONS WITH QUARKS

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INTERACTION THRESHOLDS

- Neutrinos from the Sun \sim 1-10 MeV, from cosmic rays \sim 1 GeV
- If the neutrino energy is low, some interactions may be kinematically forbidden
- final state particles

$$
p_v = (E_v, 0, 0, E_v)
$$

$$
p_e = (m_e, 0, 0, 0, E_v)
$$

• Charged current scattering with electrons, thresholds for each flavor $E_{V_e} > 0$ $E_{V_\mu} > 11$ GeV $E_{V_\tau} > 3090$ GeV

• For interactions to occur, there must be enough energy in the center-of-mass to produce the

•

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Only electron solar and atmospheric neutrinos can scatter off electrons via CC Considering also NC: only elastic scattering with electrons is possible, not quasi-elastic

INTERACTION THRESHOLDS

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• Charged Current Scattering off nucleons

- Solar neutrinos (\sim 1-10 MeV) that oscillate to muon or tau can only interact via neutral currents
	- no handle on their flavor
	- elastic scattering cross section is smaller than for v_e : it seems they "disappear"
- Atmospheric neutrinos of ~ 1 GeV, that oscillate to v_{τ} : the same

$$
\mathsf{S}^{\mathsf{I}}
$$

$$
(E_V + m_n)^2 - E_V^2
$$

$$
n_{\ell}^2+2m_p m_{\ell}
$$

$E_{V_e} > 0 E_{V_\mu} > 110$ MeV $E_{V_\tau} > 3.5$ GeV

CROSS SECTIONS

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• Simplest reaction, quasi-elastic. Cross section has same form for electrons or nucleon

$$
\nu_{\mu} + n \rightarrow p + \mu
$$
\n
$$
\nu_{\mu}
$$

But $m_n \sim 2000x$ larger than $m_e!$ Cross section much larger for nuclei just due to the heavier target particle

 $s =$ center-of-mass energy

$$
\nu_e + e^- \rightarrow \nu_e + e^-
$$

has two diagrams,
more complex

$$
= (E_v + m_n)^2 - E_v^2 \approx 2m_n E_v
$$

ANTINU CROSS SECTION

• Why the matrix element is different?

- a consequence of the vertex chirality
- neutrino has to be L. From projection rules*, quark also needs to be L.
- So their spins are opposed and the interacting states have Sz = 0. null spin, so no preferred direction

• antinu has to be R. quark continues to have to be L, so the spins are actually aligned. The Mfi will depend on the scattering angle.

neutrino antineutrino
\n
$$
M_{fi} = \frac{g_W^2}{m_W^2} \hat{s}, \qquad M_{\bar{v}q} = \frac{1}{2} (1 + \cos \theta^*) \frac{g_W^2}{m_W^2} \hat{s},
$$
\n
$$
\frac{d\sigma_{vq}}{d\Omega^*} = \frac{G_F^2}{4\pi^2} \hat{s}, \qquad \frac{d\sigma_{\bar{v}q}}{d\Omega^*} = \frac{1}{4} (1 + \cos \theta^*)^2 \frac{d\sigma_{vq}}{d\Omega^*}
$$
\n
$$
\sigma_{vq} = \frac{G_F^2 \hat{s}}{\pi}.
$$
\n
$$
\sigma_{\bar{v}q} = \frac{G_F^2 \hat{s}}{3\pi},
$$
\n
$$
\frac{\sigma_{\bar{v}q}}{\sigma_{vq}} = \frac{1}{3}.
$$

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*

 $\overline{u}_L \gamma^\mu u_R = \overline{u}_R \gamma^\mu u_L = \overline{v}_L \gamma^\mu v_R = \overline{v}_R \gamma^\mu v_L = \overline{v}_L \gamma^\mu u_L = \overline{v}_R \gamma^\mu u_R \equiv 0$

0

DEEP INELASTIC SCATTERING

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• Cross sections as a function of Lorentz-invariant y

$$
\sigma^{vN} = \frac{G_F^2 m_N E_v}{\pi} \left[f_q + \frac{1}{3} f_{\overline{q}} \right].
$$

$$
\sigma^{\overline{vN}} = \frac{G_F^2 m_N E_{\overline{v}}}{\pi} \left[\frac{1}{3} f_q + f_{\overline{q}} \right].
$$

•

where f_q and $f_{\overline{q}}$ are the fractions of the nucleon momentum respectively carried by the quarks and the antiquarks,

$$
f_{q} = \int_{0}^{1} x[u(x) + d(x)] dx \text{ and } f_{\overline{q}} = \int_{0}^{1} x[\overline{u}(x) + \overline{d}(x)] dx.
$$

$$
\frac{\sigma^{vN}}{\sigma^{\overline{v}N}} = \frac{3f_{q} + f_{\overline{q}}}{f_{q} + 3f_{\overline{q}}}.
$$

$$
\frac{\sigma^{vN}}{\sigma^{\overline{v}N}} = 1.984 \pm 0.012.
$$

 $f_{q} \approx 0.41$ and $f_{\overline{q}} \approx 0.08.$

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$$
y\equiv \frac{p_2\cdot q}{p_2\cdot p_1}.
$$

• y-dependent component larger for nu-bar • Higher σ for nuN because higher σ for valence quarks

$$
v_{\mu} \xrightarrow{p_1} \overbrace{q}^{p_3} \overbrace{y}^{p_3}
$$

nos as probes of nucleon structure!

switched for q

NEUTRINO DETECTORS

HIGH OR LOW ENERGY ?

ADVANTAGES DISADVANTAGES

REACTOR

LOW ENERGY SOLAR

HIGH FLUX SIMPLE FINAL STATES WELL-KNOWN CROSS SECCTIONS

RADIOACTIVITY BACKGROUNDS LOWER SIGNAL SMALL EXTENT

HIGH ENERGY ATMOSPHERIC ACCELERATOR

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NO RADIOACTIVITY BACKGROUNDS BETTER PARTICLE ID HIGHER CROSS SECTION

LOW FLUX COMPLEX FINAL STATES UNCERTAINTY IN CROSS SECTIONS

SCINTILLATION DETECTORS

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Liquid scintillators: high light yield $(\sim 10k$ ph./MeV), low radioactivity, fast, good pulse-shape discrimination • Solid scintillators: easier to build/assemble, good for segmentation, can be denser (shorter radiation length)

Charged particle excites scintillator molecules

SCINTILLATOR DETECTORS

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Plus many (smaller) short baseline detectors at reactors - Poltergeist, Palo Verde, Bugey, Chooz, Double-Chooz, RENO or beam - MiniBoone

SNO+

Acrylic Vessel (AV) 12 m diameter

Rope system Hold-up and -down Low Radioactivity

Ultra-Pure Water

2 km underground \sim 70 muons/day

Target Material

- 1. Water: 905 tonnes
- 2. LAB Scintillator: 780 tonnes
- 3. Tellurium loading: +3.9 tonnes

Purification plant

\sim 9300 PMTs

• When a particle travels above the speed of light (in a medium with refractive index n, $v > c/n$, there is constructive interference of wave fronts Emission of light at a fixed angle $\cos \theta = c/nv$ Similar to waves from boats or sonic boom

WATER CHERENKOV DETECTORS

• Water Cherenkov detectors

- Neutrino produces charged particle
- Cherenkov light forms cone around path
- PMTs in wall see circle, or ellipse

Cherenkov effect

CHERENKOV PATTERNS

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WATER CHERENKOV DETECTORS

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HIGH ENERGY CALORIMETERS

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• CDHS experiment at CERN (1976-1984)

- Goal: study deep inelastic scattering of HE neutrinos
- Neutrino beam from 400 GeV SPS protons
- (Massive!) 1500 tons magnetized iron + wire chambers + calorimeters

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- Oscillation experiment at long baseline (810 km)
- Low flux \rightarrow high mass 14 kton!

NOvA detectors

A NOVA cell

Extruded PVC cells filled with 11M liters of scintillator instrumented with λ-shifting fiber and APDs

Far Detector 14 kton 896 layers

32-pixel APD **Fiber pairs** from 32 cells **Far detector:** 14-kton, fine-grained, low-Z, highly-active tracking calorimeter \rightarrow 344,000 channels

Near detector: 0.3-kton version of

the same \rightarrow 20,000 channels

NOVA

NOVA

NOvA - FNAL E929

Run: 18975 / 43
Event: 628855 / SNEWSBeatSlow UTC Mon Feb 23, 2015 14:30:1.383526016

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NOVA RESULTS

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NOVA RESULTS

Selected ν_e ND event. Bottom: Selected π^0 ND event.

Figure 4.3: Example event topologies from data files. Top: Selected ν_{μ} ND event. Middle:

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- Noble liquid detectors are the technology of choice for many Dark Matter and Neutrino Physics experiments (LAr has also been employed in HEP (e.g. ATLAS))
- Dark Matter
	- Liquid Xenon: e.g. ZEPLIN, LUX, Xenon, LZ, XLZD • Liquid Argon: e.g. ArDM, DEAP, DarkSide, MiniCLEAN (also Liquid Neon)
	-
- Neutrino Experiments
	- Liquid Argon is the chosen target for many ongoing and future neutrino experiments: e.g. ICARUS, ArgoNEUT, MicroBooNE, SBND, ProtoDUNE, DUNE • Bubble chamber quality images, only in HD! — interactions with unprecedented detail • Full 3D reconstruction, calorimetry and particle ID • Can operate on wide range of energies (MeV to GeV)
- Advantages of Liquid Argon Time Projection Chambers • Pure - high electron mobility - scalable to very large masses • Abundant in the atmosphere (1%) , therefore not expensive
-
-
-
-
-

LIQUID ARGON

LIQUID ARGON, ACTIVE FIELD

Other: Yale TPC and Bo (2008-09), LArIAT (2015), (Mini) CAPTAIN, CCM (2019-now)

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LAR TPC PRINCIPLE

- Ionization charges drift in strong electric field
- Collected in sense plane
- Overlap in 3 sets of wires gives position in plane • Collected charge provides calorimetry and particle ID

- Scintillation light gives ref. time
- Drift time provides 3rd coordinate

direction Time

DUNE PROTOTYPES AT CERN

SP Single Phase **DP** Dual Phase liquid Cathode nduction nduction. lection gas liquid X_{Λ} Ζ Y, time **Dune Single Phase Prototype** (ProtoDUNE-SP)

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MUCH MORE ON DUNE IN LECTURE 3.

QUESTIONS?

THE SOLAR NEUTRINO PROBLEM

- Hans Bethe (1939)
	- Star's power source is nuclear fusion of 4 protons into He, not chemical or gravitational processes
	- Provides two mechanisms, the pp Chain and the CNO cycle
	- Enough energy for estimated age of the Sun, 4.5 billion years Note: no mention

HOW DO STARS SHINE?

of neutrinos …

• Having excluded chemical energy (enough only for a few thousand years), proposed

The combination of four protons and two electrons can occur essentially only in two ways. The first mechanism starts with the combination of two protons to form a deuteron with positron emission, vis.

$$
H + H = D + e^{+}.
$$

The deuteron is then transformed into He⁴ by further capture of protons; these captures occur very rapidly compared with process (1). The second mechanism uses carbon and nitrogen as catalysts, according to the chain reaction

- Lord Kelvin (1865)
	- slow gravitational collapse, estimating 20 Myr for age of the Sun
	- Evidence from geology and evolution of life said it had to be much larger

MARCH 1, 1939

PHYSICAL REVIEW

Energy Production in Stars*

H. A. BETHE Cornell University, Ithaca, New York (Received September 7, 1938)

BAHCALL & DAVIS

SOLAR NEUTRINOS. I. THEORETICAL*

John N. Bahcall California Institute of Technology, Pasadena, California (Received 6 January 1964)

The principal energy source for main-sequence stars like the sun is believed to be the fusion, in the deep interior of the star, of four protons to form an alpha particle.¹ The fusion reactions are thought to be initiated by the sequence ${}^{1}H(p,$ $e^{\dagger}\nu$ ²H(ρ , γ)³He and terminated by the following sequences: (i) 3 He(3 He, 2p)⁴He; (ii) 3 He(α, γ)⁷Be- $(e^{\prime\prime}\nu)^7$ Li $(\rho, \alpha)^4$ He; and (iii) 3 He $(\alpha, \gamma)^7$ Be $(\rho, \gamma)^8$ B- $(e^{\dagger} \nu)^8$ Be* $(\alpha)^4$ He. No direct evidence for the existence of nuclear reactions in the interiors of stars has yet been obtained because the mean free path for photons emitted in the center of a

star is typically less than 10^{-10} of the radius of the star. Only neutrinos, with their extremely small interaction cross sections, can enable us to see into the interior of a star and thus verify directly the hypothesis of nuclear energy generation in stars.

The most promising method² for detecting solar neutrinos is based upon the endothermic reaction $(Q = -0.81 \text{ MeV})$ $^{37}Cl(\nu_{\text{solar}}, e^{-})^{37}\text{Ar}$, which was first discussed as a possible means of detecting neutrinos by Pontecorvo³ and Alvarez.⁴ In this note, we predict the number of absorptions of

VOLUME 12, NUMBER 11

PHYSICAL REVIEW LETTERS

16 Мавсн 1964

SOLAR NEUTRINOS. II. EXPERIMENTAL*

Raymond Davis, Jr. Chemistry Department, Brookhaven National Laboratory, Upton, New York (Received 6 January 1964)

The prospect of observing solar neutrinos by means of the inverse beta process ${}^{37}Cl(\nu, e^{-}){}^{37}Ar$ induced us to place the apparatus previously described¹ in a mine and make a preliminary search. This experiment served to place an upper limit on the flux of extraterrestrial neutrinos. These results will be reported, and a discussion will be given of the possibility of extending the sensitivity of the method to a degree capable of measuring the solar neutrino flux calculated by Bahcall in the preceding paper.²

The apparatus consists of two 500-gallon tanks of perchlorethylene, C_9Cl_4 , equipped with agitators and an auxiliary system for purging with helium. It is located in a limestone mine 2300 feet below the surface³ (1800 meters of water equivalent shielding, m.w.e.). Initially the tanks were swept completely free of air argon by purging the tanks with a stream of helium gas. $36Ar$ carrier (0.10 cm^3) was introduced and the tanks exposed for periods of four months or more to allow the 35-d ³⁷Ar activity to reach nearly the saturation value. Carrier argon along with any $37Ar$ pro-

3 counts in 18 days is probably entirely due to the background activity. However, if one assumes that this rate corresponds to real events and uses the efficiencies mentioned, the upper limit of the neutrino capture rate in 1000 gallons of C_2Cl_4 is ≤ 0.5 per day or $\varphi \overline{\sigma} \leq 3 \times 10^{-34}$ sec⁻¹ (³⁷Cl atom)⁻¹. From this value, Bahcall² has set an upper limit on the central temperature of the sun and other relevant information.

On the other hand, if one wants to measure the solar neutrino flux by this method one must use a much larger amount of C_2Cl_4 , so that the expected ³⁷Ar production rate is well above the background of the counter, 0.2 count per day. Using Bahcall's expression,

$$
\sum \varphi_{\nu}(\text{solar}) \, a_{\text{abs}}
$$

= $(4 \pm 2) \times 10^{-35} \text{ sec}^{-1} \, (^{37}\text{Cl atom})^{-1}$,

then the expected solar neutrino captures in 100 000 gallons of C_2Cl_4 will be 4 to 11 per day, which is an order of magnitude larger than the counter background. On the basis of experience

- Two landmark papers (1964): the birth of a field
- Bahcall calculated the expected solar neutrino flux
- Davis described his experiment to detect

them

the star. Only neutrinos, with their extremely small interaction cross sections, can enable us to see into the interior of a star and thus verify directly the hypothesis of nuclear energy generation in stars.

HOW MANY NEUTRINOS?

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- Sun powered by fusion of protons into Helium. Protons turn into neutrons, so this emits $\rm v_e$, not $\rm \overline{v}_e$ • For each 4p fusion, 2 v are emitted, plus 26.7 MeV. So, one neutrino is emitted per each 13.3 MeV (2.1x10-12 J) produced
- Sun´s luminosity 3.826 x 1026 J/s
- Neutrinos emitted = **1.8x1038 ν/s** • Flux in the Earth? D=149x106km=1.5x1013cm
- **• 6x1010 ν/cm2/s** (same as near a nuclear reactor)
- **•** Only an overall balance of a sequence of reactions, more complex than this.

• 1 MeV = $1.6x10^{-13}$ J

SOLAR MODELS

• Assumptions

- Mass conservation
- Hydrostatic equilibrium
-
- Nuclear energy production
-
- Ingredients and constraints • Initial composition
- Mass, luminosity, age
-

-
-

 \bullet

• Predictions • Solar neutrino fluxes • Surface Helium abundance, depth of convective zone • Temperature and sound speed profiles (vs. radius)

PP CHAIN & CNO CYCLE

SOLAR NEUTRINO SPECTRUM

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CHLORINE EXPERIMENT

$|v_{\mathbf{e}}|^{37}$ Cl $\mapsto 37$ Ar + e⁻

 $E > 814$ keV: sensitive mostly to ${}^{8}B$, ⁷Be v

-
-
-
-
-

• The pioneering solar neutrino experiment by Ray Davis • Homestake mine (USA), 1478 m deep • Big tank with 600 tons of CCl4 (solvent) • Chemical extraction of argon from tank (2 atoms/day)! • Detection of the 37Ar decays

Ray Davis, Nobel 2002

Radiochemical method proposed by Pontecorvo & Alvarez

CHLORINE RESULTS

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SNU solar neutrino units =

interactions per second per 1036 atoms

• 25 year average: **2.56 ±0.16 ±0.16 SNU**

• Solar Model (BP2000): **7.6 ±1.2 SNU**

GALLIUM EXPERIMENTS

• Lower energy threshold (E> 233 keV): sensitive to all solar neutrinos (mostly pp)

- Also radiochemical method, but with Gallium
- GALLEX/GNO (Gran Sasso, Italy), SAGE (Baksan, Russia)

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${}^{71}Ga + \nu_e \rightarrow {}^{71}Ge + e^{-}$

KAMIOKANDE-II

POINTING TO SUN! (b) Ee ≥ 10.1 MeV 0.5 -0.5 $COS(\theta$ sun)

- Water Cherenkov Detector, Kamioka mine
- 2 ktons of water seen by 948 PMTs
- Can measure direction and energy
- High threshold (E> 9 MeV)

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Cerenkov Light Masatoshi Koshiba, neutrino electron Nobel 2002 electron neutrinc

Also observes suppression

SOLAR NEUTRINO PROBLEM

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PROPHECY OF DOOM?

- Earth's funeral bell […] the **neutrino** […] Something so penetrating [...] **could be used to look into the hearts of suns.**[...]
- solar neutrinos were detected. But **there were far too few of them**. [...] nothing wrong with the theory, or with the equipment. **The trouble lay inside the Sun.** [...]
- humanity was under **sentence of death** […] The Sun would not blow up for at least a thousand years; and who could weep for the fortieth generation?
- Arthur C. Clarke, "The Songs of Distant Earth" (1986)

ASTROPHYSICAL SOLUTIONS ?

- Temperature dependence of fluxes
	- Φ ⁽⁸B) ~ T²⁵ (only in very central region)
	- ϕ (7Be) ~ T¹¹ (a bit wider region)
- To explain the results only with astrophysics, the 7Be flux would have to be more suppressed than 8B
- But a T decrease would lower 8B much more than 7Be, so the simple astrophysical solutions didn't work
- Heavy tweaking of input parameters (cross sections) or physics (plasma effects) was necessary, and still the fit was not so good.

A. DAR, SURVEYS HIGH ENERG. PHYS. 12 (1998)

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THE ATMOSPHERIC NEUTRINO ANOMALY

NEUTRINOS FROM COSMIC RAYS

- Interaction of primary cosmic rays (mostly protons) produces many pions, that decay to muons. The decay chain produces a v_{μ} , a \overline{v}_{μ} and a v_{e} (or $\overline{v_{e}}$) $\overline{\mathbf{v}_{\mathbf{e}}}$ v_μ , a \overline{v}_μ and a v_e
- Large uncertainties in primary flux. Also, magnetic field deflects the lower energy CR. So v flux depends on geomagnetic location

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 $\pi^{\pm} \rightarrow \mu^{\pm} + \nu_{\mu}(\overline{\nu}_{\mu})$
 $\downarrow e^{\pm} + \nu_{e}(\overline{\nu}_{e}) + \overline{\nu}_{\mu}(\nu_{\mu})$

KAJITA, ADV. HEP 2012, 1, 504715

• Upward/downward roughly symmetric (less so at low E) Flux much lower than solar neutrinos, sharply decreasing

PROPERTIES OF ATMOSPHERIC NEUTRINOS

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K-II AND IMB

 $p + X \rightarrow \pi^+ + \pi^0 + Y$ $\pi^+ \rightarrow \mu^+ + \overline{\nu_\mu}$
 $\mu^+ \rightarrow e^+ + \overline{\nu_\mu} + \overline{\nu_\mu}$

• Kamiokande-II (1988), IMB (1991) detected atmospheric neutrinos, separating νe from νμ.

• v_e flux observed as expected, but v_μ flux was lower (both experiments) • Absolute fluxes are very hard to predict, but μ/e ratio is very solid…

